

# Supermassive black hole formation at high redshifts

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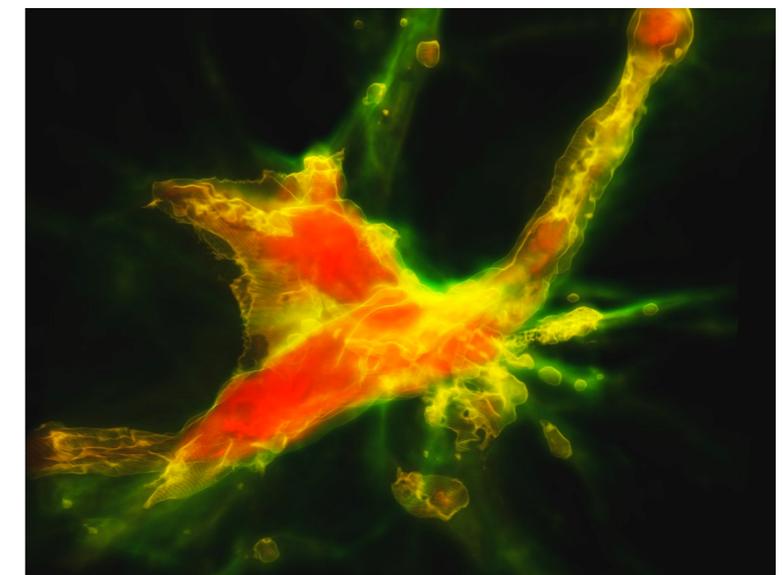


Marta Volonteri, Dominik Schleicher, Melanie Habouzit,  
Tilman Hartwig, Kazu Omukai, Jens Niemeyer, Wolfram Schmidt,  
Marco Spaans, Caroline Van Borm, Stefano Bovino, Tommaso Grassi



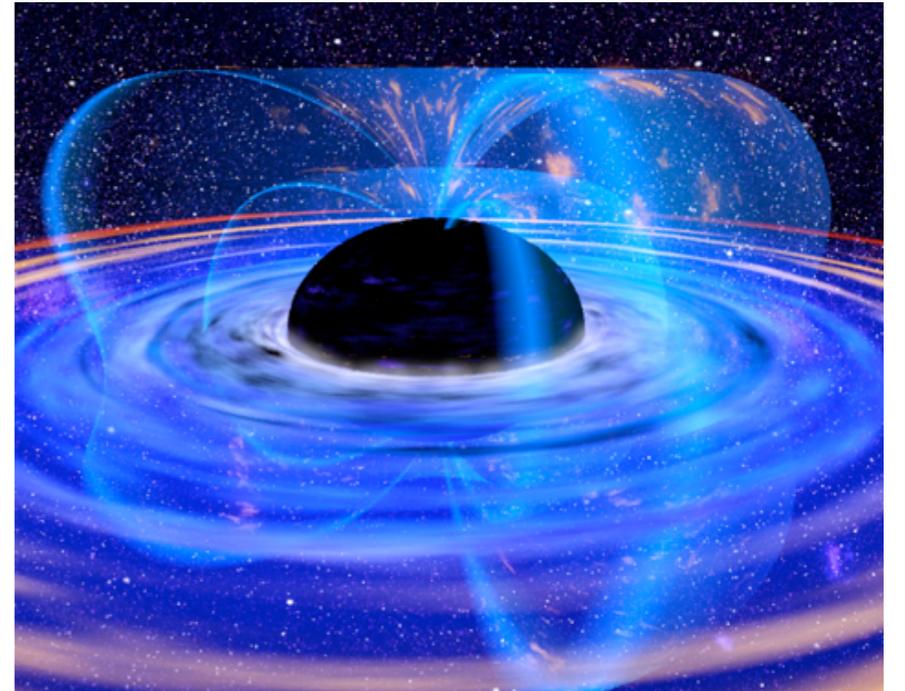
# My research interests

- ✦ Black holes formation & evolution
- ✦ The birth of the first stars, low mass stars, metal poor stars
- ✦ Formation of the first galaxies (Ly $\alpha$  Emitters)
- ✦ Turbulence & magnetic fields
- ✦ Chemistry of ISM & IGM
- ✦ Stellar and AGN feedback



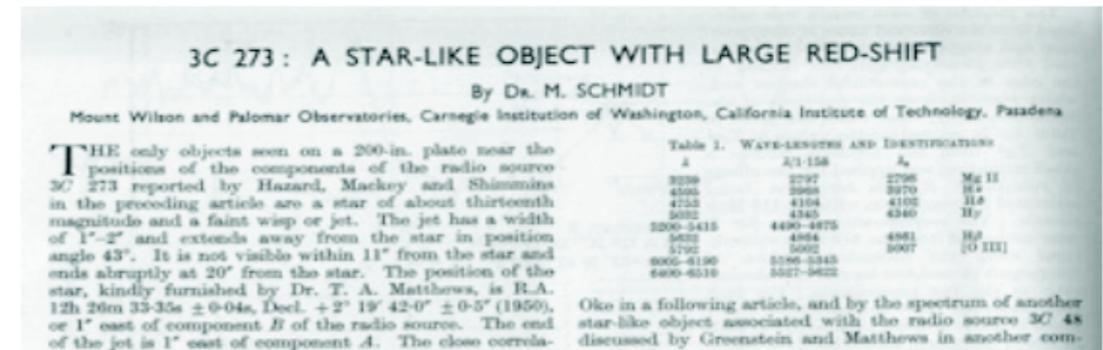
# Outline

- ✦ Introduction
- ✦ Black hole formation scenarios
- ✦ Direct collapse model
- ✦ Feasibility of direct collapse scenario
- ✦ Alternatives to an isothermal collapse
- ✦ Observational constraints
- ✦ Summary

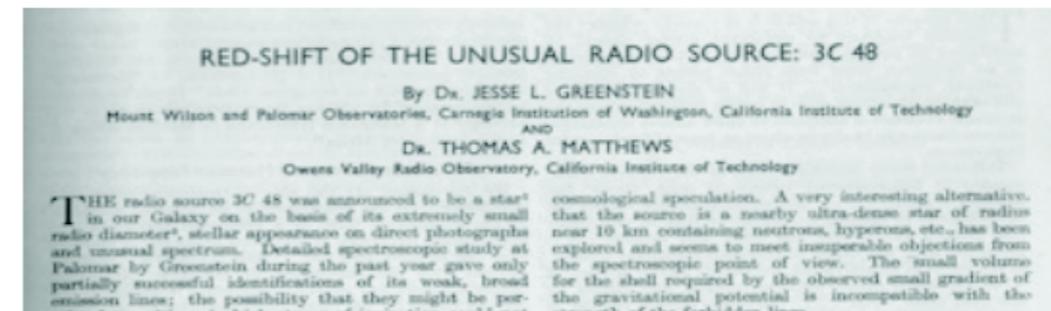


# Milestones

- 1783 - 1795: John Michell and Pierre-Simon Laplace hypothesize existence of “dark stars” or “invisible bodies”
- 1915: Albert Einstein’s General Relativity
- 1916: Karl Schwarzschild finds the “black hole” solution for GR equations
- 1963: Maarten Schmidt, Jesse Greenstein & Thomas Matthews discover Quasars
- 1964: Edwin Salpeter and Yakov Zel’dovich independently hypothesize mass accretion onto a supermassive BH for quasars.
- 1968: John Wheeler coins the term “Black Hole”
- 1970s, beginning of: X-ray source Cygnus X-1 is the first BH candidate with  $M_{\text{BH}} \sim 12 M_{\odot}$
- 1978: Sargent et al. showed that images and spectra of the central region of M87 indicate the presence of a BH with  $M_{\text{BH}} \sim 6 \times 10^9 M_{\odot}$



Schmidt 1963, Nature, 197, 1040



Greenstein & Matthews 1963, Nature, 197, 1041

## NOTES

### ACCRETION OF INTERSTELLAR MATTER BY MASSIVE OBJECTS

Observations of quasi-stellar radio sources have indicated the existence in the Universe of extremely massive objects of relatively small size. The present note discusses the possible further growth in mass of a relatively massive object, by means of accretion of interstellar gas onto it, and the accompanying energy release. Although there is no evidence for (and possibly some evidence against) quasi-stellar radio sources occurring inside ordinary galaxies, for the sake of concreteness we consider the fate of an object of mass  $M > 10^6$  (masses in solar units throughout) in an ordinary spiral galaxy somewhat like ours.

We first re-examine the hypothetical problem of an object of mass  $M$  moving with velocity  $U$  (in km/sec) relative to a completely uniform gas medium of density  $n$  (expressed as H-atoms per  $\text{cm}^3$ ) and thermal speed  $U_a$ . We define (Hoyle and Lyttleton 1939) a characteristic length  $s_2$  and express the rate of accretion in terms of a dimensionless parameter  $\alpha$  to be determined,

$$s_2 = GM/U^2 = (M/U^2) \times 4.3 \times 10^{-4} \text{ pc},$$

$$dM/dt = 2\pi\alpha s_2^2 nU = \alpha M/t_0, \quad (1)$$

Salpeter 1964, ApJ, 140, 796

# Black holes

**Astrophysical black holes are described by two parameters only:**

## Mass

★Stellar mass black holes

(1-10  $M_{\odot}$ ) Cygnus X-1

★Intermediate mass black holes

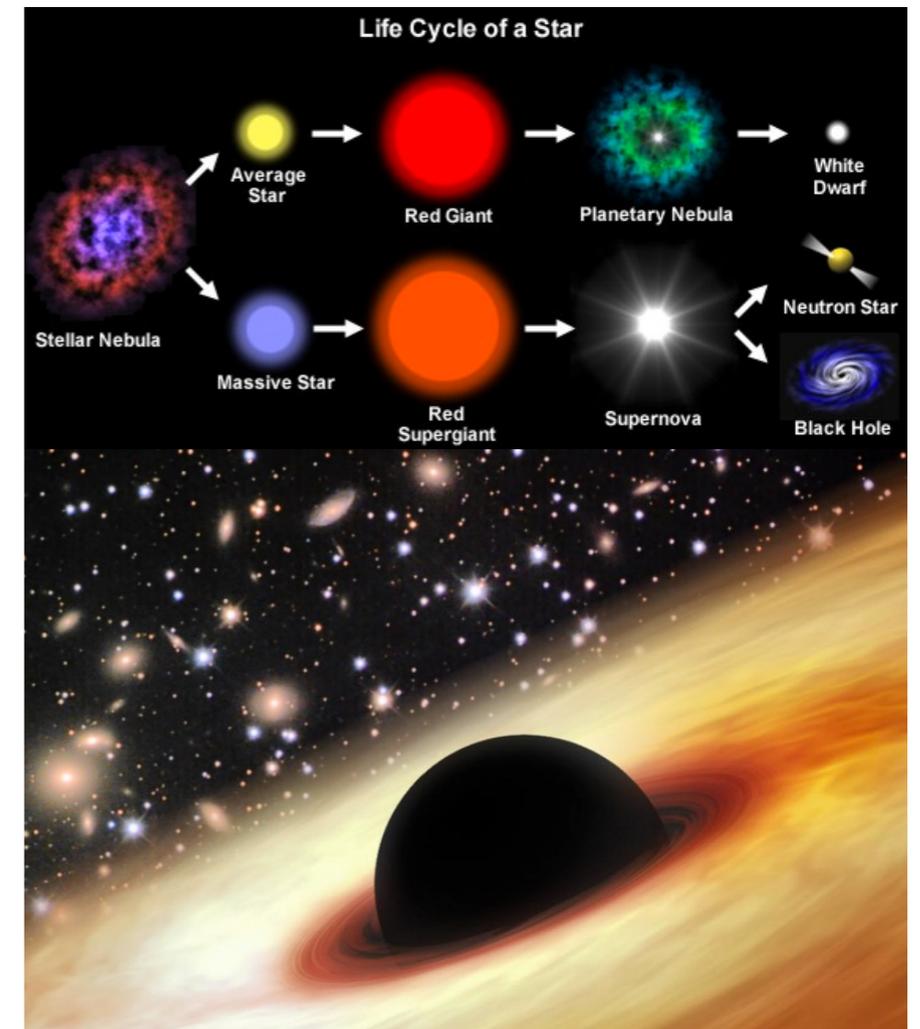
(100- $10^5 M_{\odot}$ )

★Supermassive black holes

$10^6$ - $10^{10} M_{\odot}$

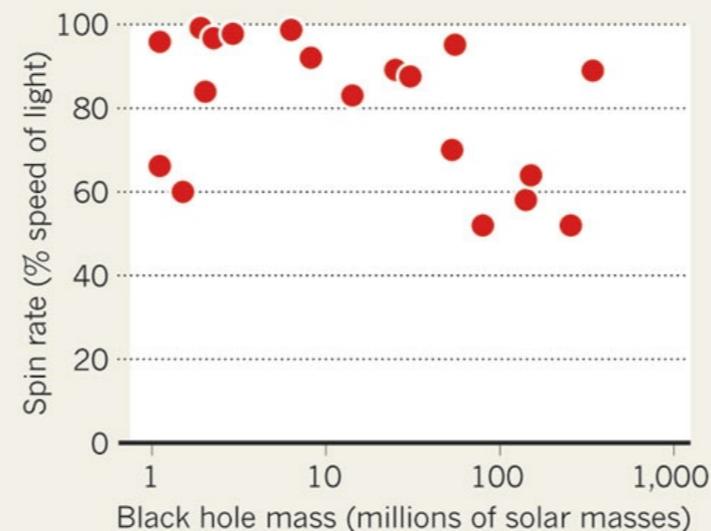
## Spin

speed  $\sim$ 40-90 %c



### SPIN OFF

Some supermassive black holes spin at more than 90% of the speed of light, which suggests that they gained their mass through major galactic mergers.



Risaliti et al. Nature 2013

Image credit: Chris Reynolds

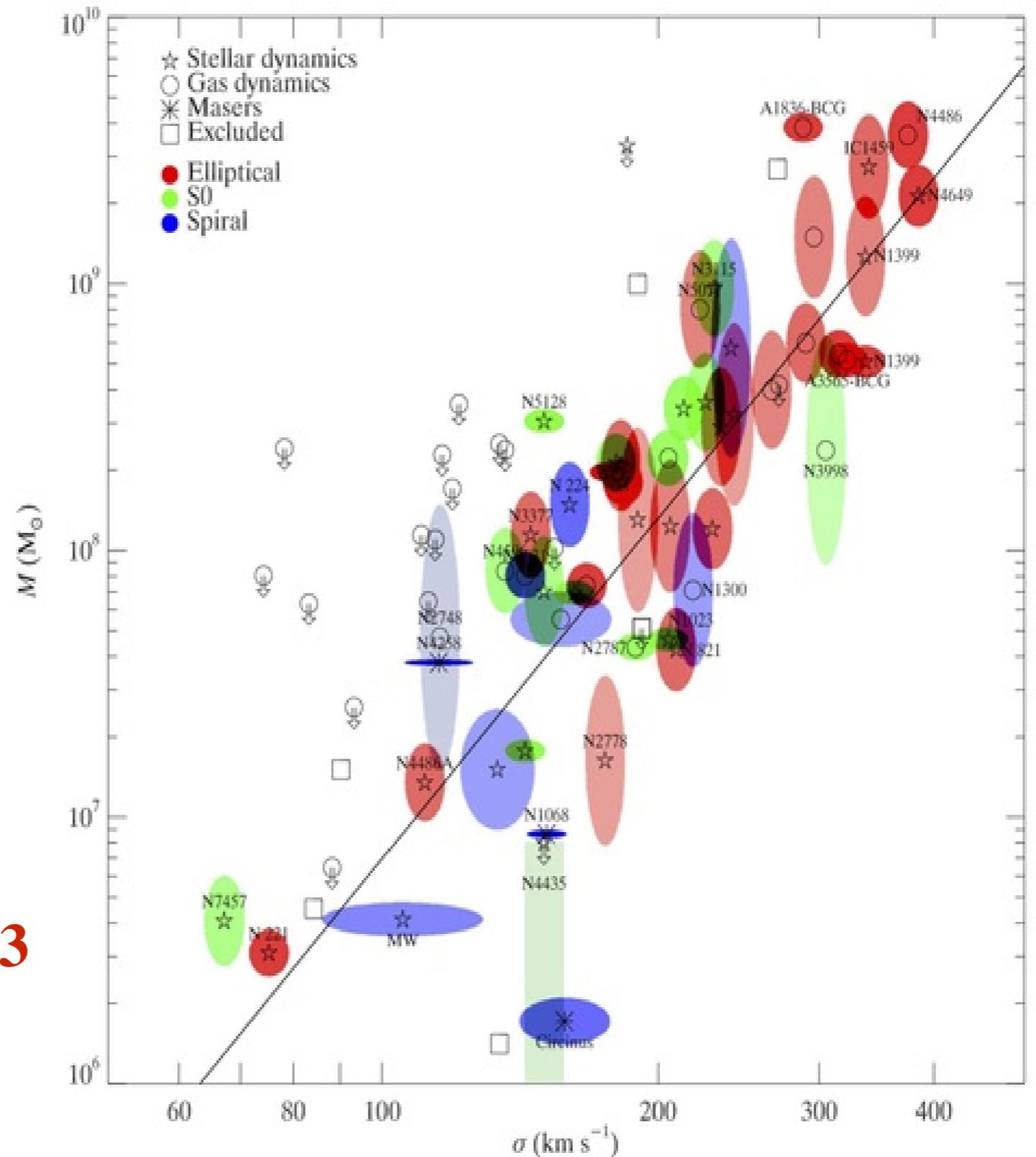
# Co-evolution of BHs and Galaxies

★ Common in the centres of present day galaxies

★ M-Sigma Relation  
(Gultekin +09, Debatista+13)

★ Co-evolution of BHs & host galaxies

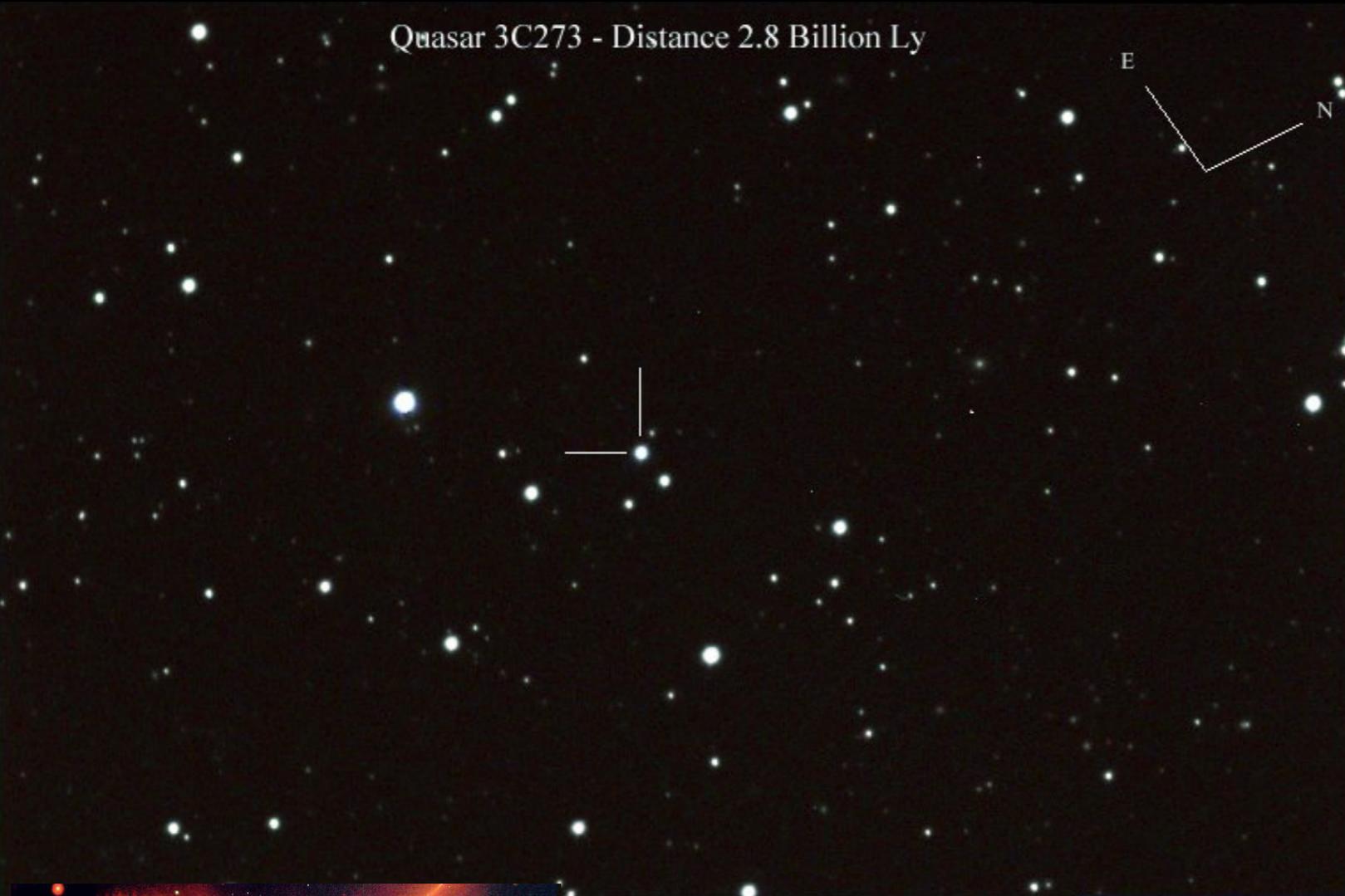
Co-evolution or not?  
Review by Kormendy & Ho 2013



McConnell et al +13, Gultekin et al +9

# Quasars

Quasar 3C273 - Distance 2.8 Billion Ly



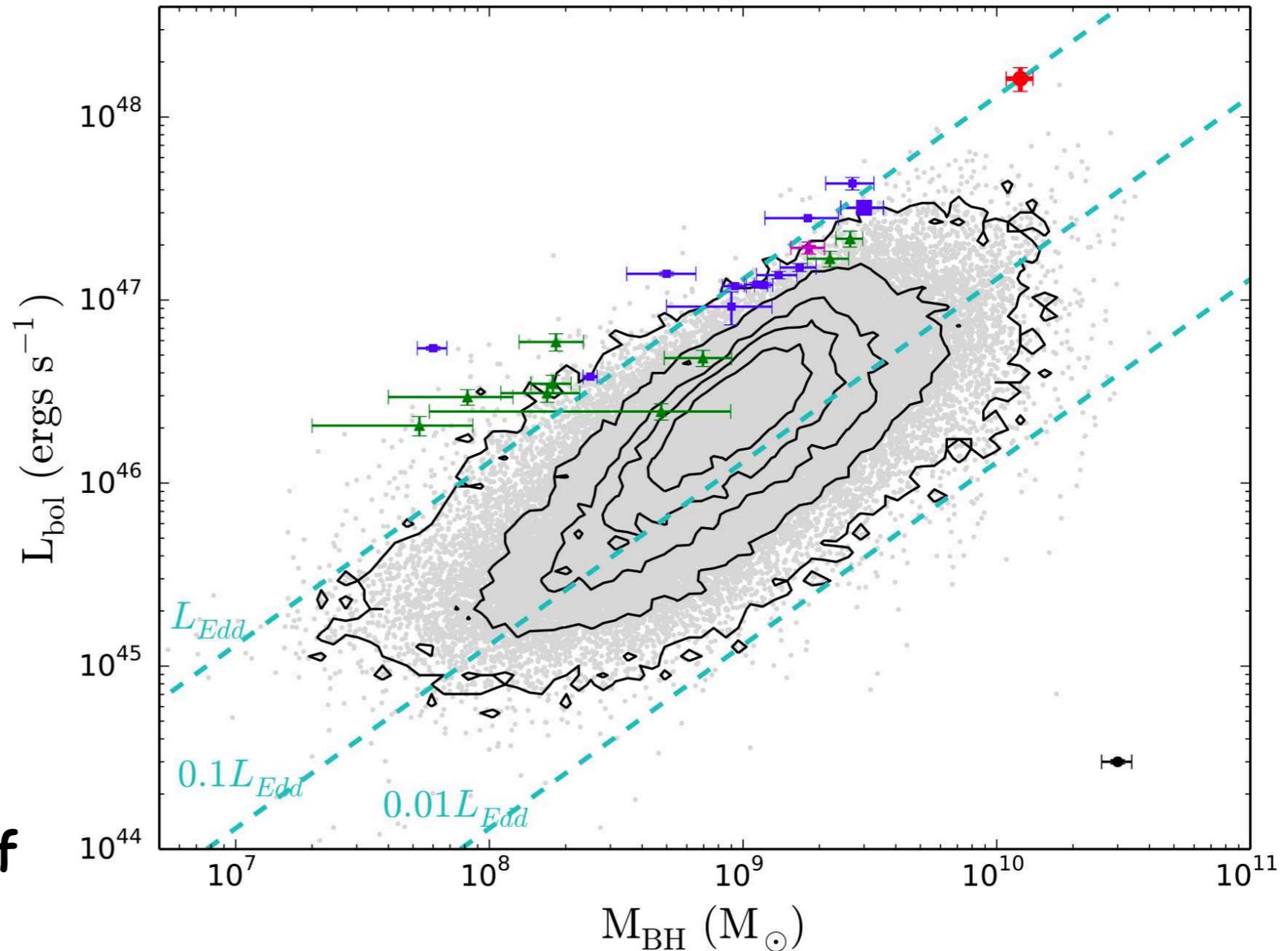
Point-like, or star-like, radio sources which vary rapidly: 'quasi-stellar' radio sources or quasars.

The quasar 3C273 is 640 Mpc ~ 2.6 billion light years away.

The luminosity of 3C273 is more than 100 times the luminosity of our entire galaxy.

# High z Quasars

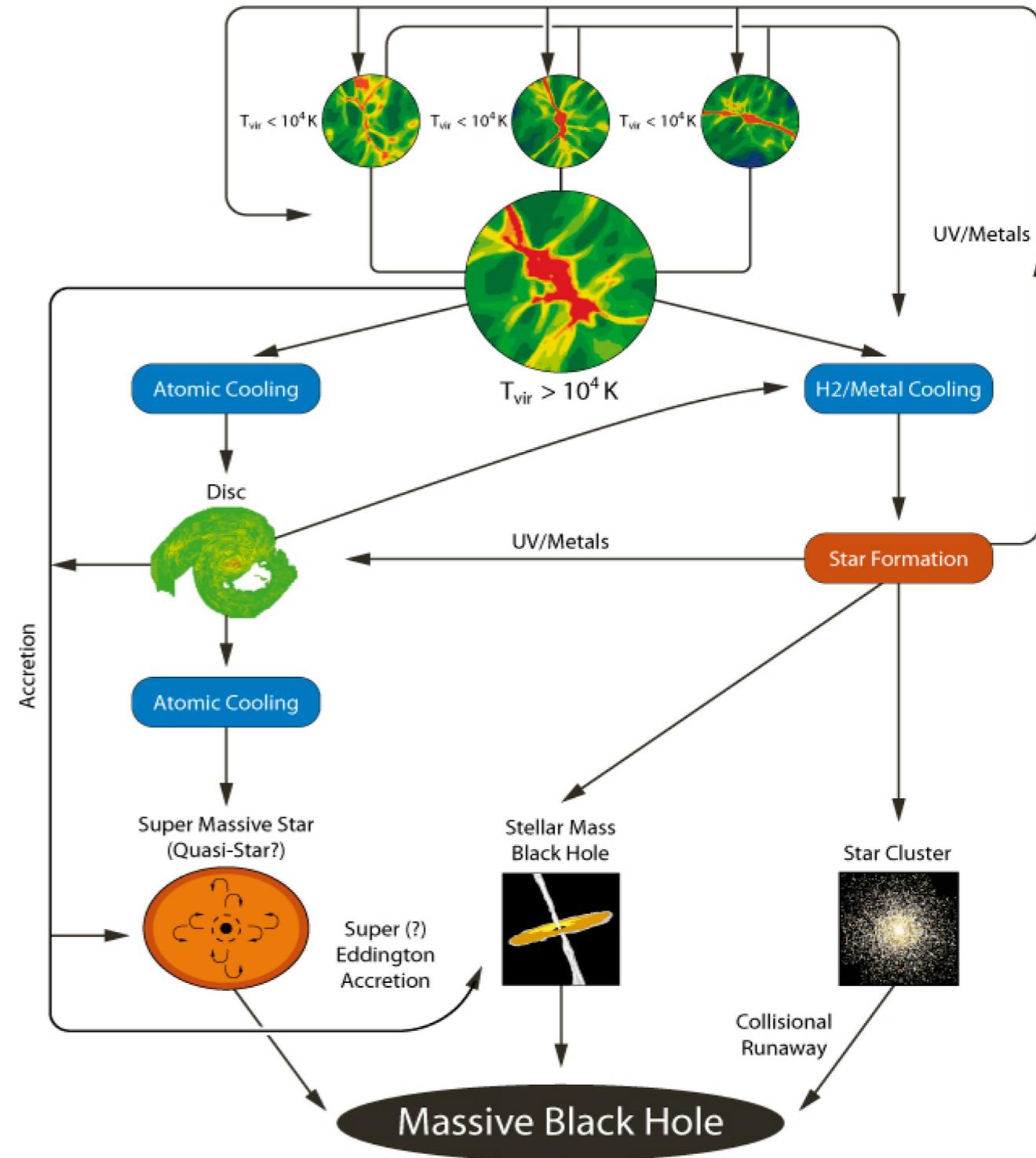
- ★ Supermassive black holes with  $\sim 10^9$  solar masses have been observed at  $z > 6$ .
- ★ The highest-redshift black hole currently observed is at  $z=7.085$  and has  $2 \times 10^9 M_{\odot}$  (Mortlock et al. 2011).
- ★ The most massive black of  $1.3 \times 10^{10} M_{\odot}$  at  $z=6.3$  (Wu et al. Nature 2015)



Wu et al. Nature 2015

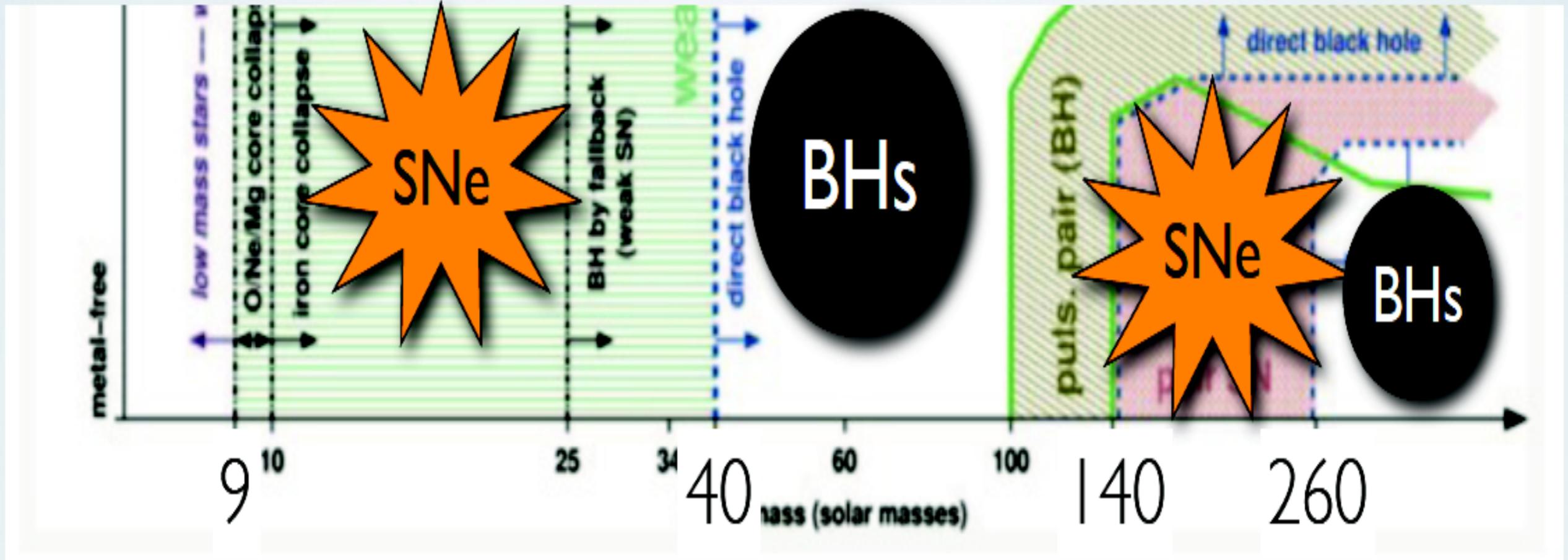
# Black hole formation scenarios

- ★ Various ways to form massive black holes (Volonteri 2010, Haiman 2012)
- ★ Remnants of Pop III stars
- ★ Collapse of a dense stellar cluster via stellar dynamical processes
- ★ Monolithic collapse of a protogalactic gas cloud (Direct collapse)



Regan et al 2009

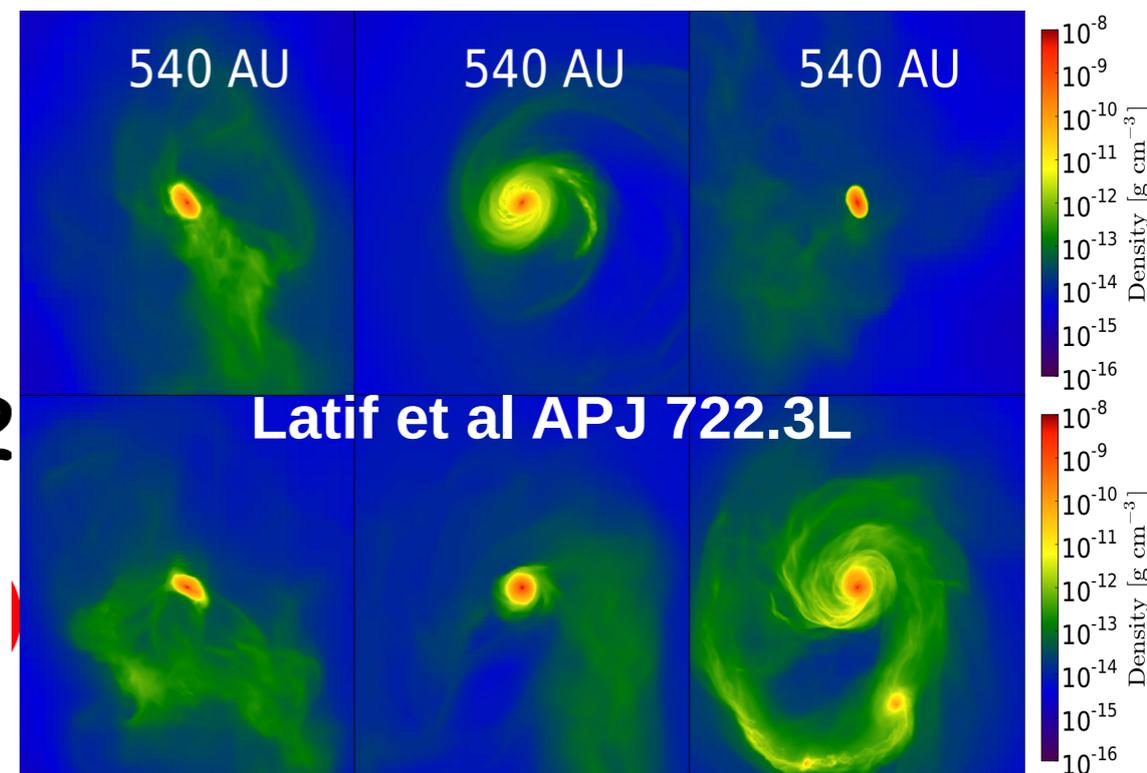
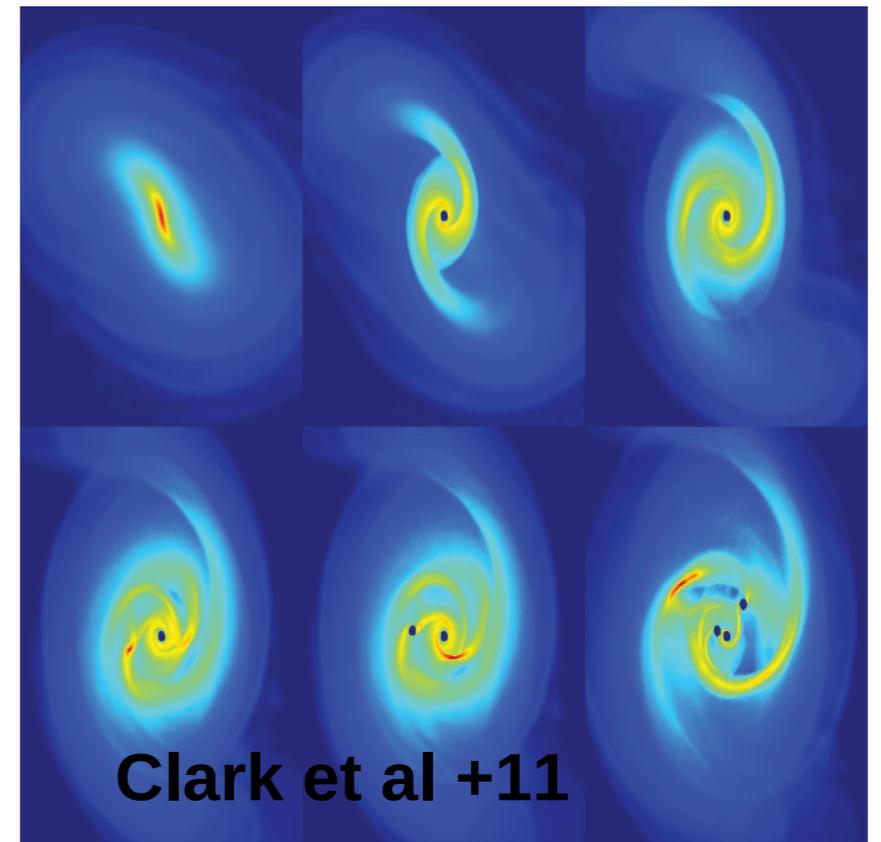
# Black hole seeds from Pop III stars



Initial stellar mass (solar masses)

# Black hole seeds from Pop III stars

- ◆ Form in minihalos of  $10^5 - 10^6 M_{\odot}$  at  $z=20-30$
- ◆ Collapse is triggered by molecular hydrogen cooling
- ◆ Very massive  $200-300 M_{\odot}$  (Bromm 2000. Abel 2002)
- ◆ Current simulations propose low mass stars (Clark+11, Greif +2012, Hosokawa +11, Latif +2013, Hirano +2014)



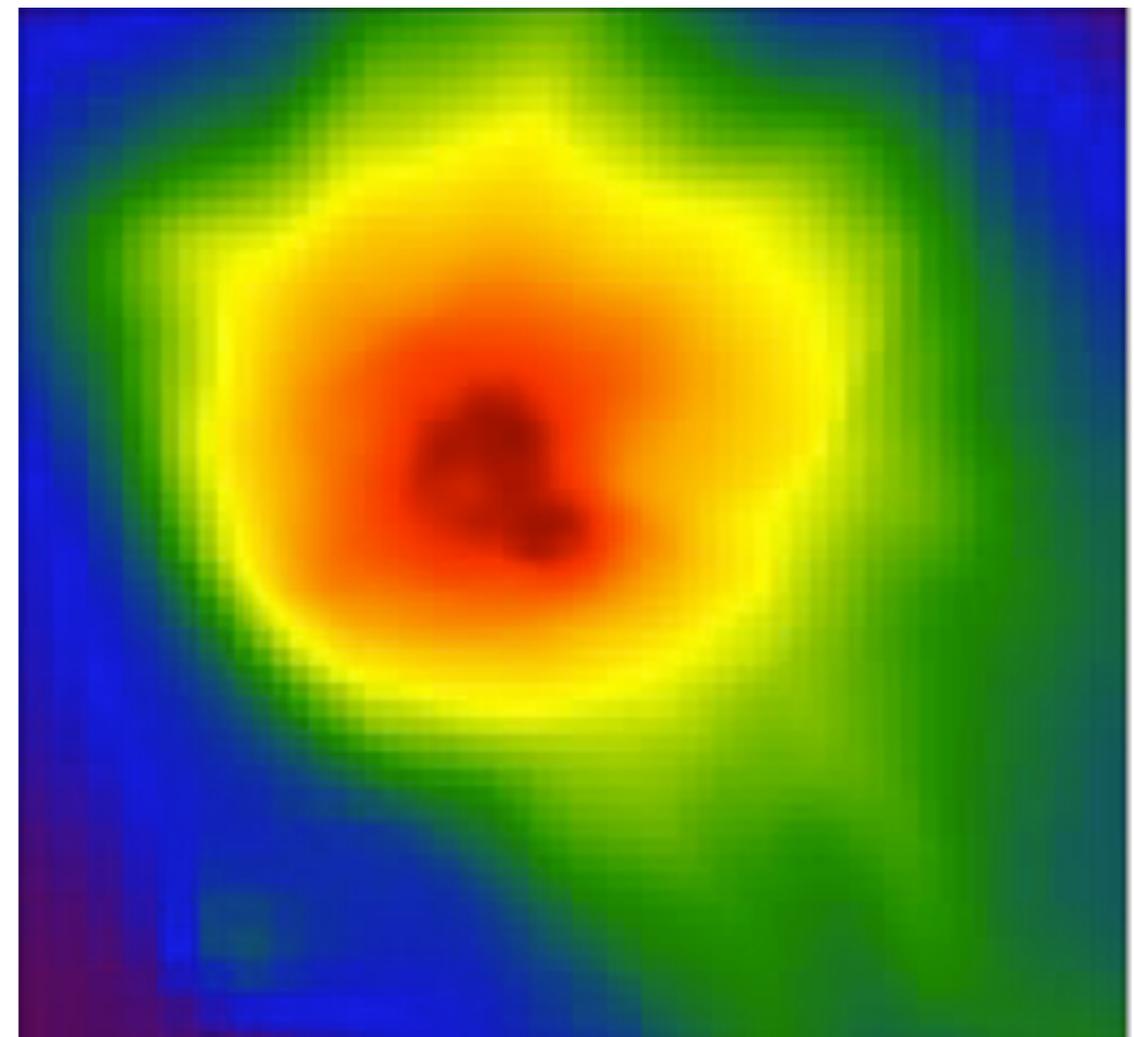
# Fragmentation and clumps migration

- ★ Analytical model for disk fragmentation
- ★ Assumptions:
  - Steady state condition
  - Marginally stable ( $Q=1$ )
  - Embedded in large inflow rates of  $0.01-0.001 M_{\odot}/\text{yr}$
- ★ Solve for thermal balance

- ★ Viscous Heating

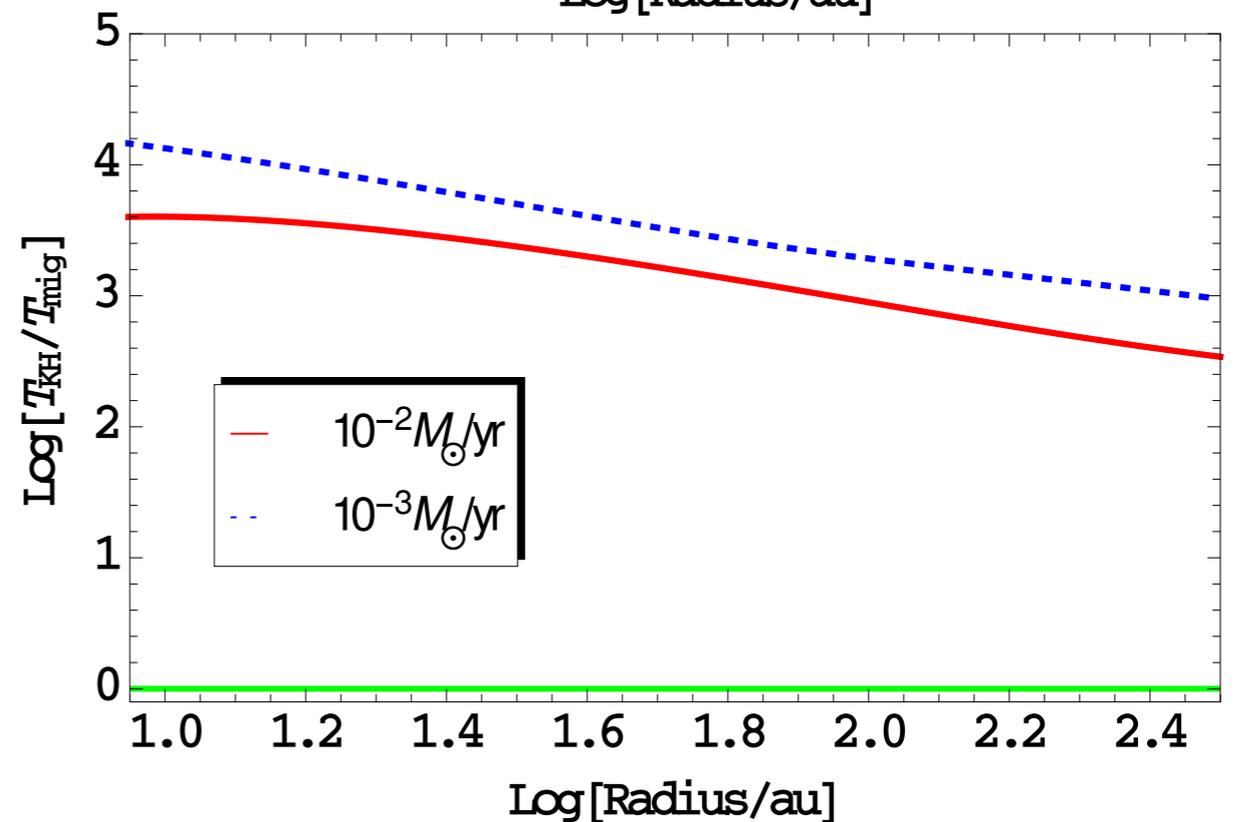
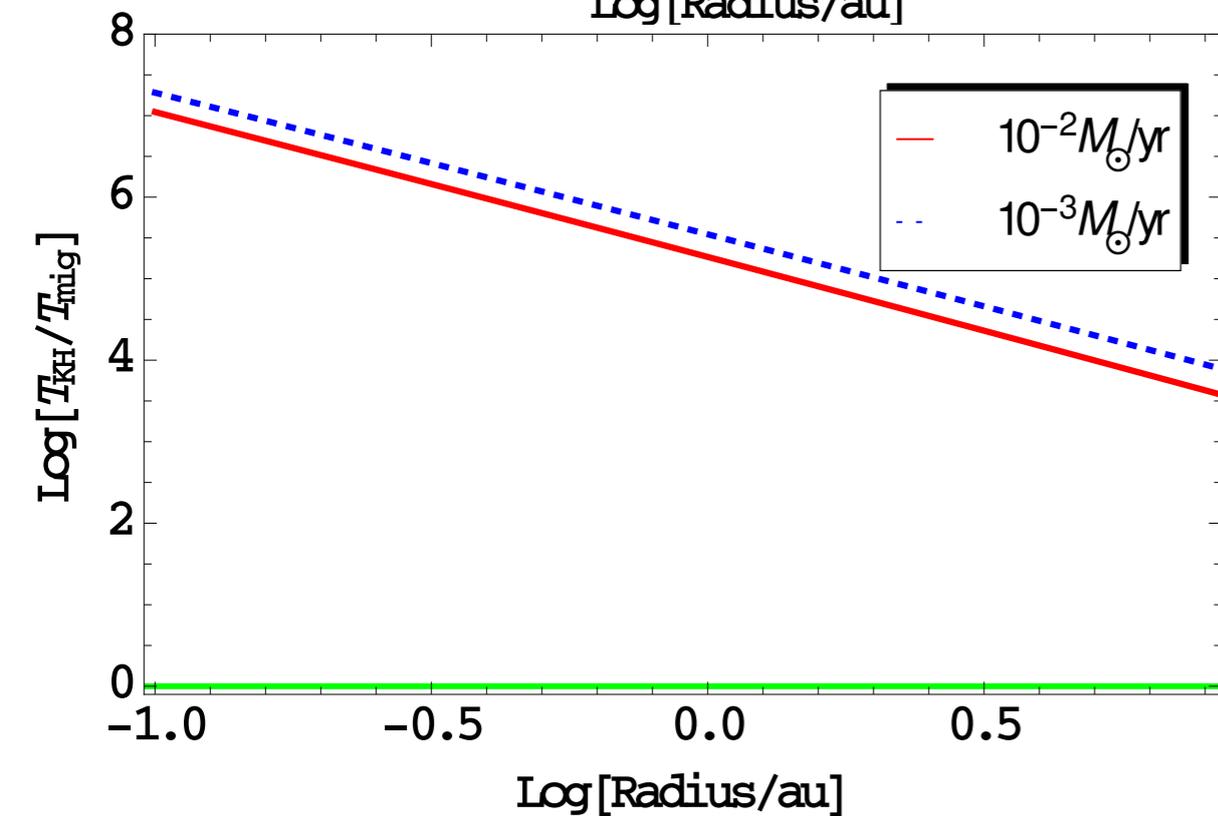
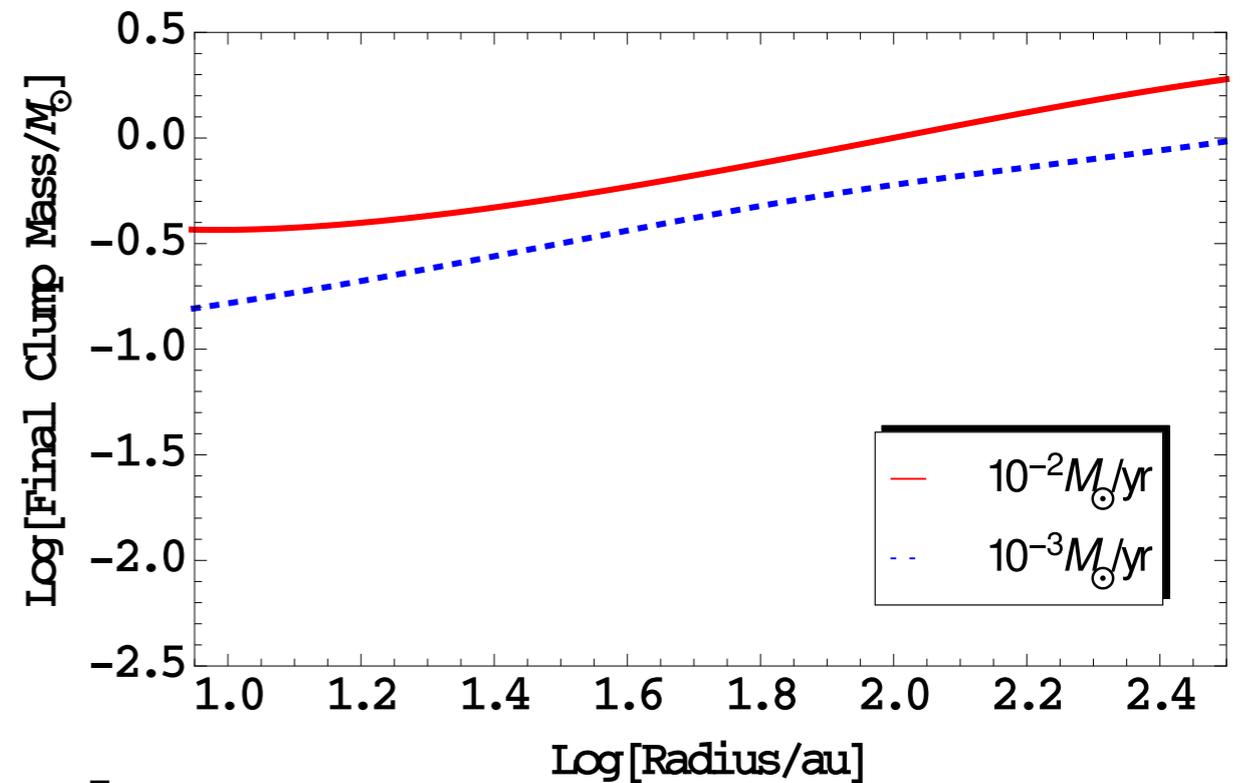
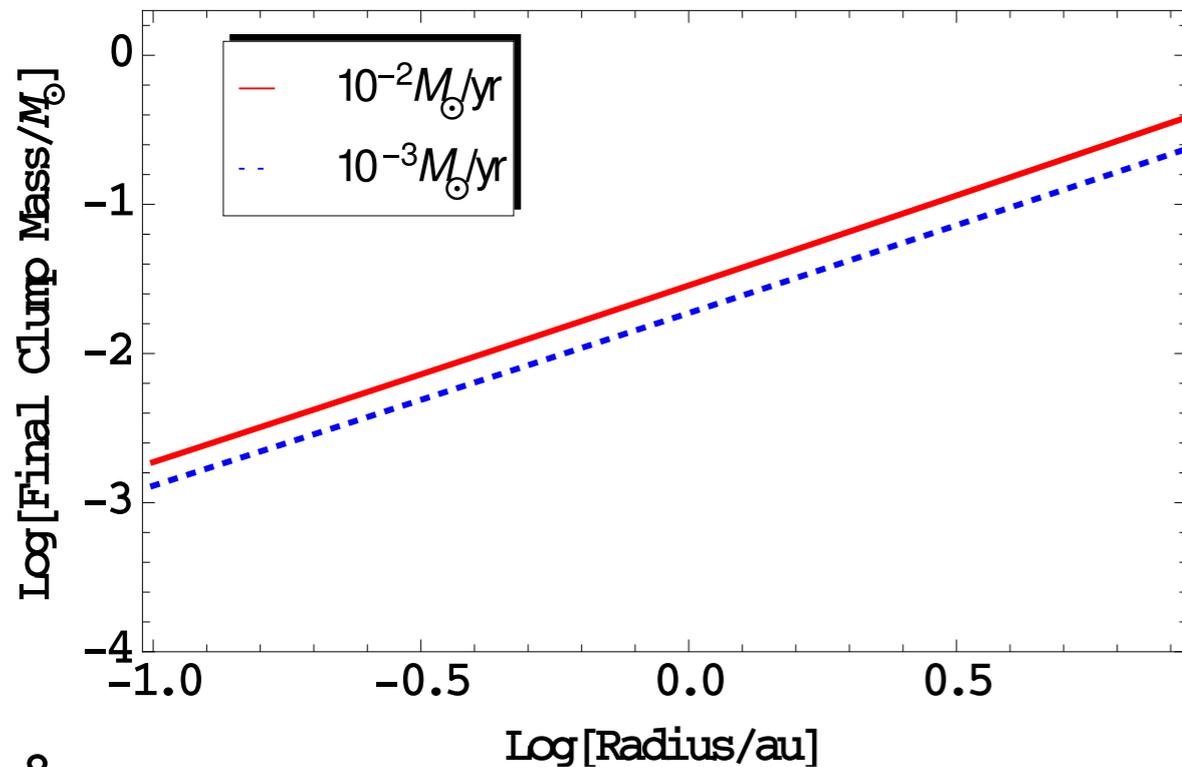
$$Q_+ = \frac{9}{4} \nu \Sigma \Omega^2$$

$$Q_+ = Q_-$$



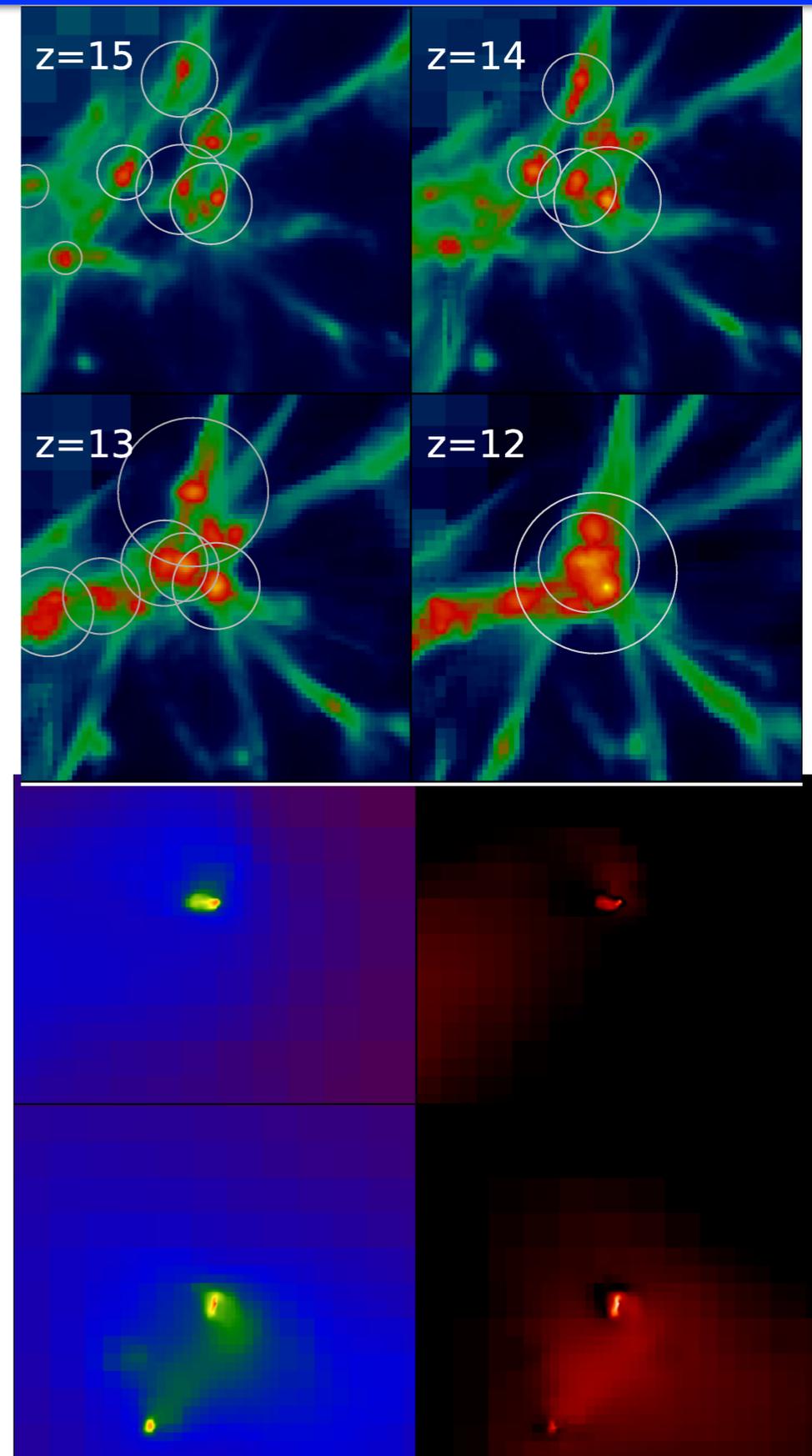
Latif et al. 2013 ApJL

# Clump masses & time scales comparison

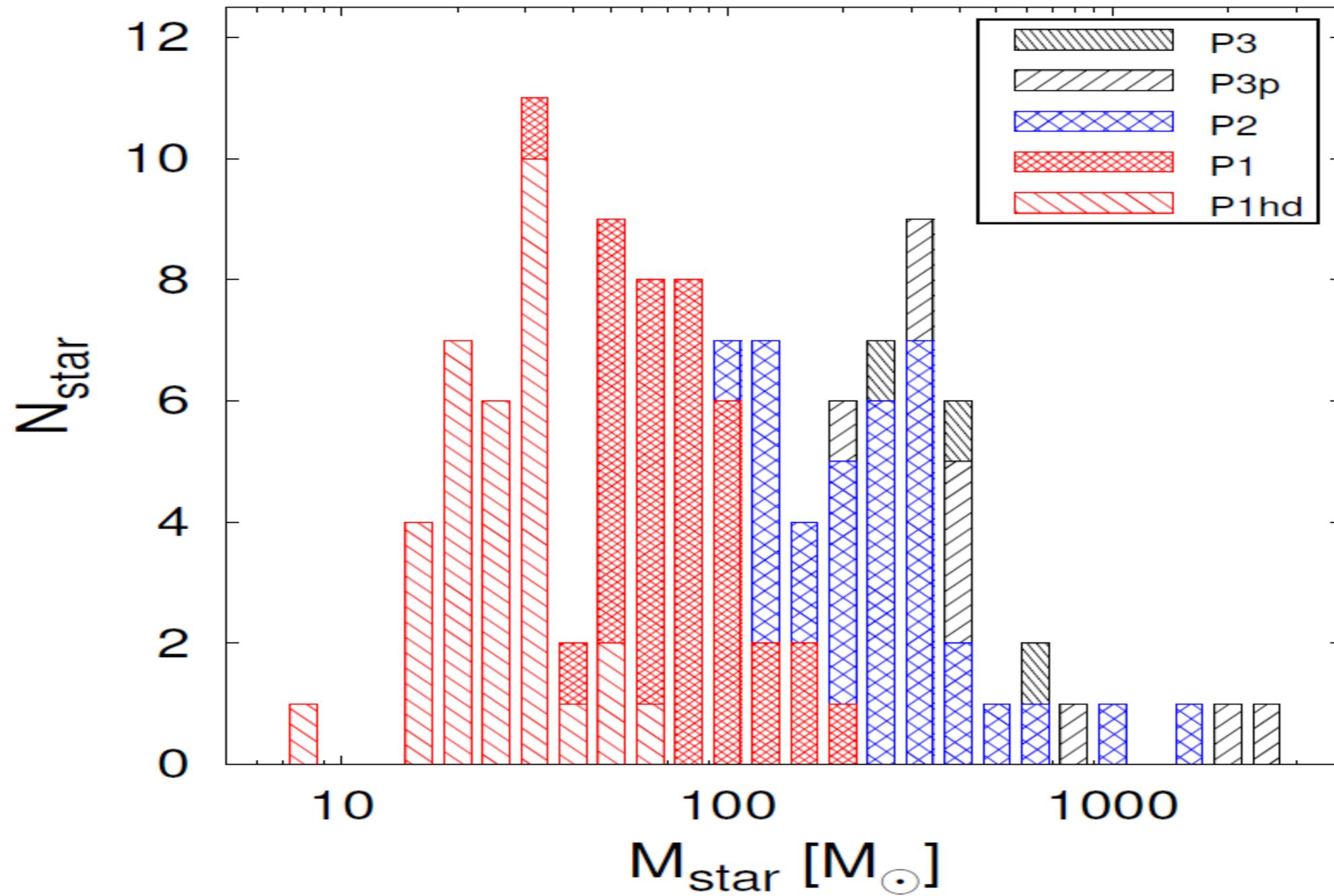


# Formation of low mass stars

- ★ A possible route is enhanced HD cooling
- ★ Mergering of DM halos leads to a high ionization degree and catalyse the formation of HD
- ★ HD molecules cools the gas down to the CMB temperature  $\sim 60$  K at  $z=12$



# Black hole seeds from Pop III stars

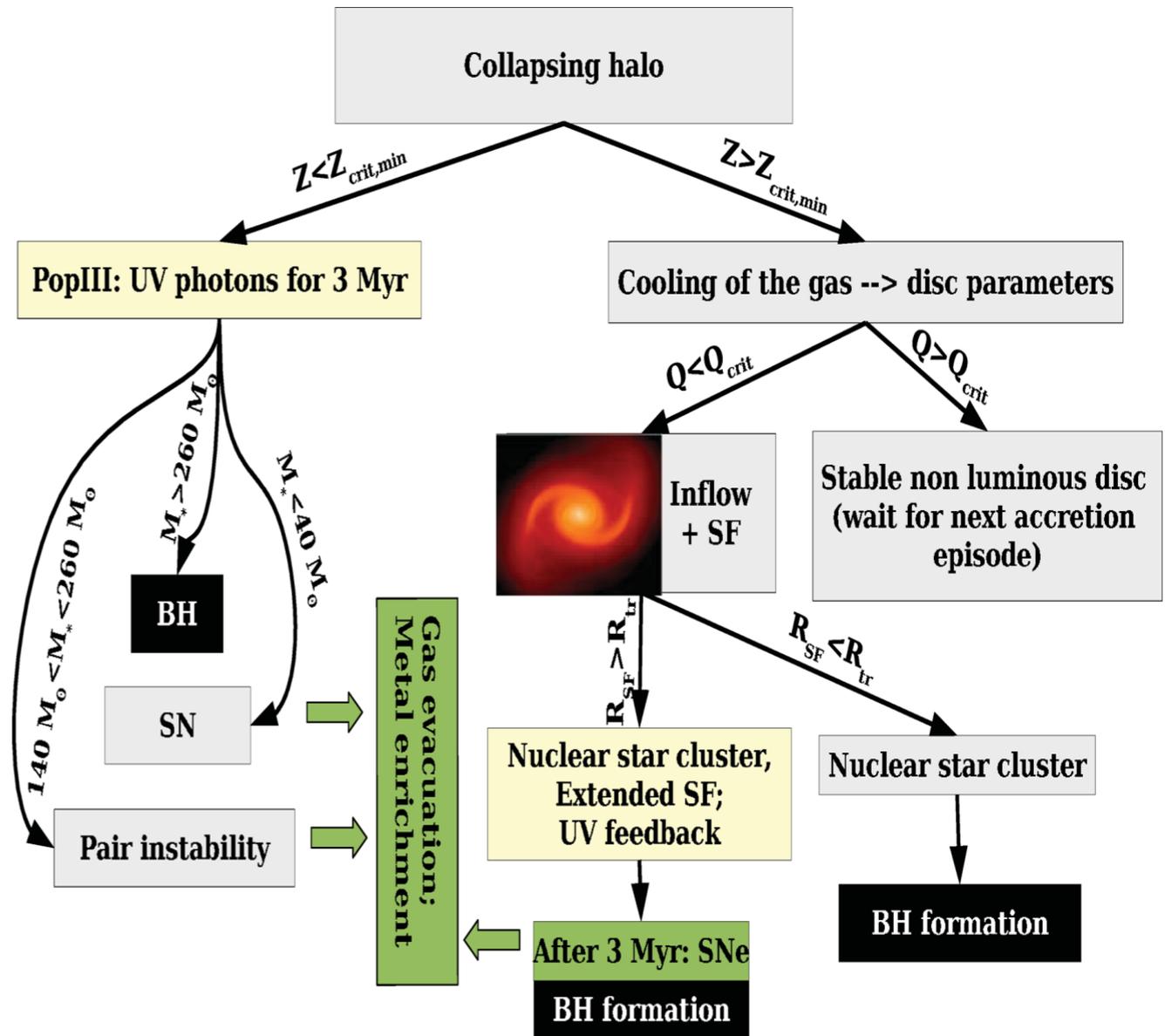
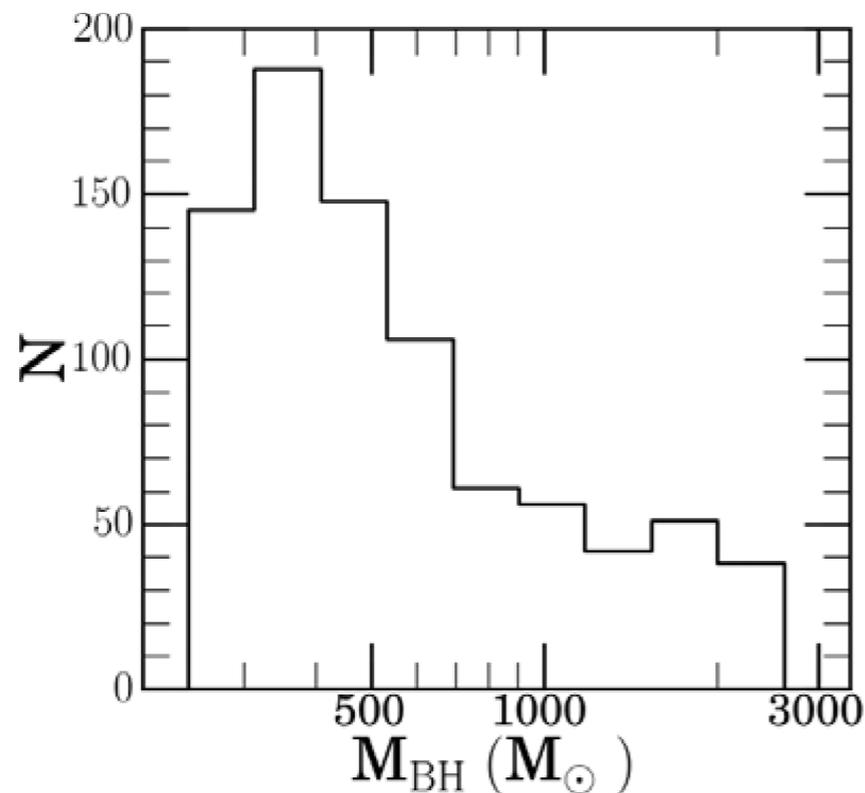


Hirano et al. 2014

**Caution: This does not represent IMF of Pop III star**

# Black hole seeds from stellar dynamical processes

- Metal enrichment
- Nuclear star cluster
- Relativistic Instability



Devecchi et al +12

Also see Portegies Zwart et al. 1999, Omukai et al. 2008 and Latif et al. 2015

# Direct collapse scenario

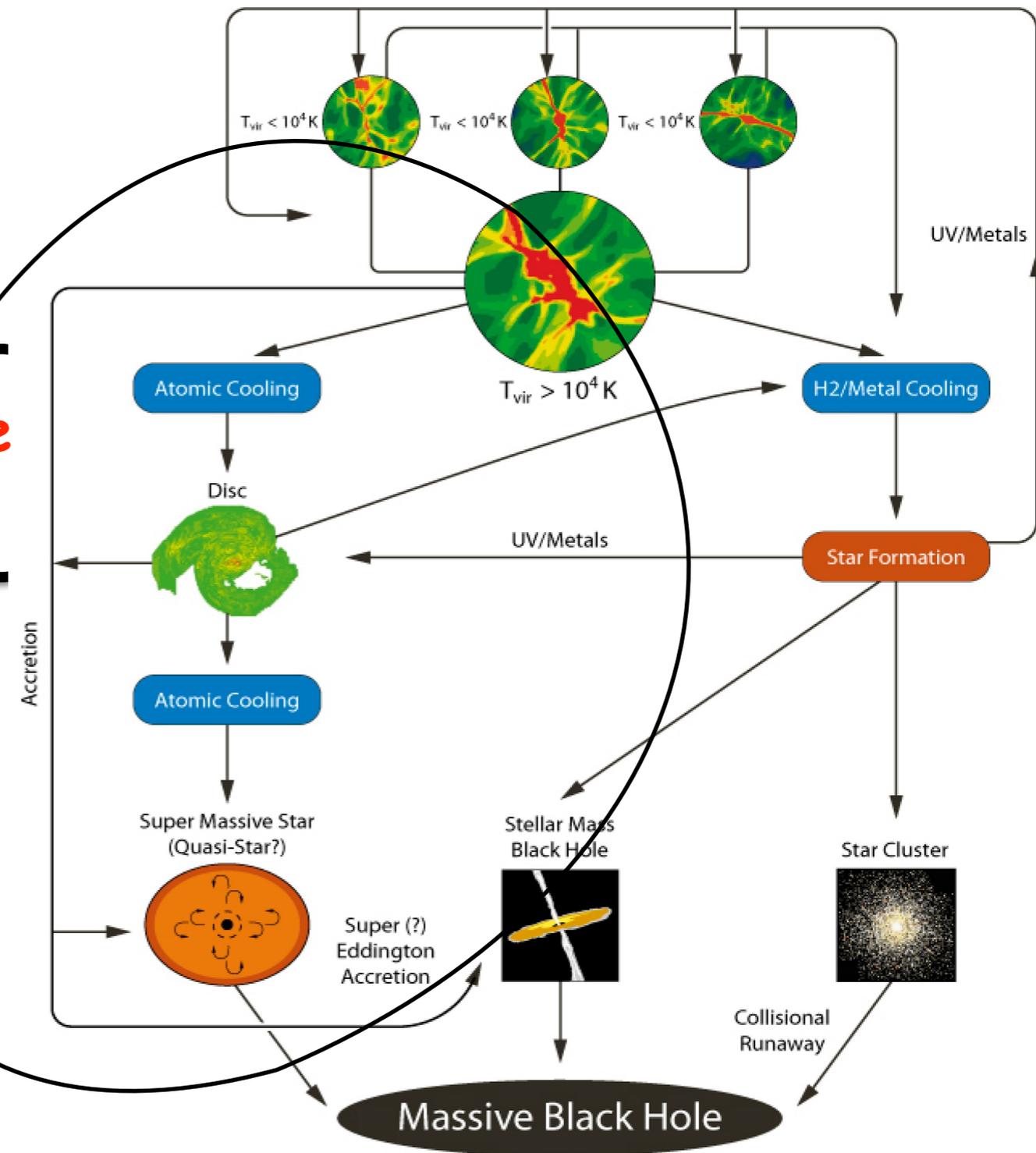
★ Provides massive seeds of  $10^5 - 10^6 M_{\odot}$

★ Key requirement is to have large inflow rate of  $> 0.1 M_{\odot}/\text{yr}$

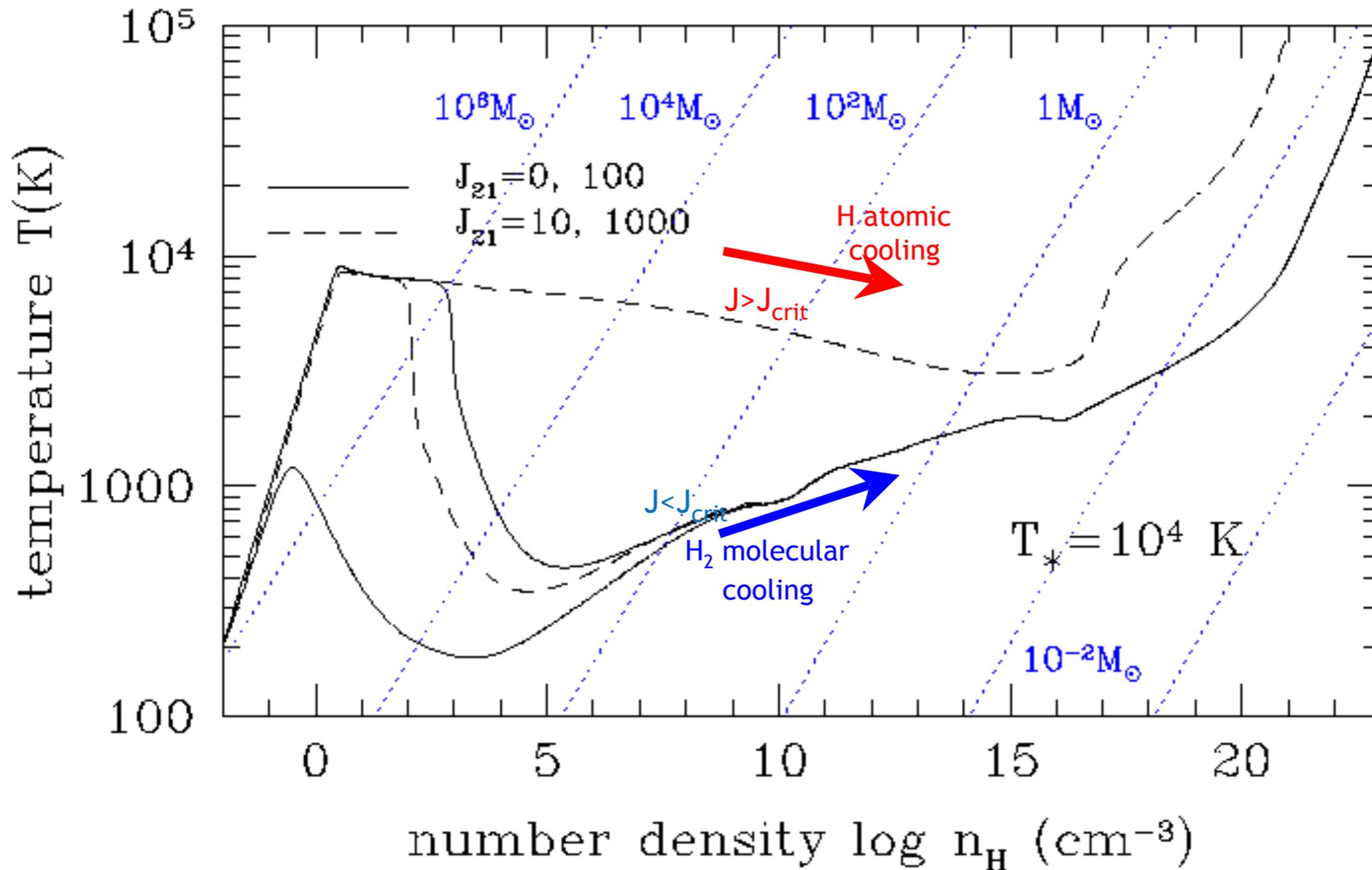
★ Isothermal direct collapse with  $T \sim 8000 \text{ K}$

★ Primordial gas composition

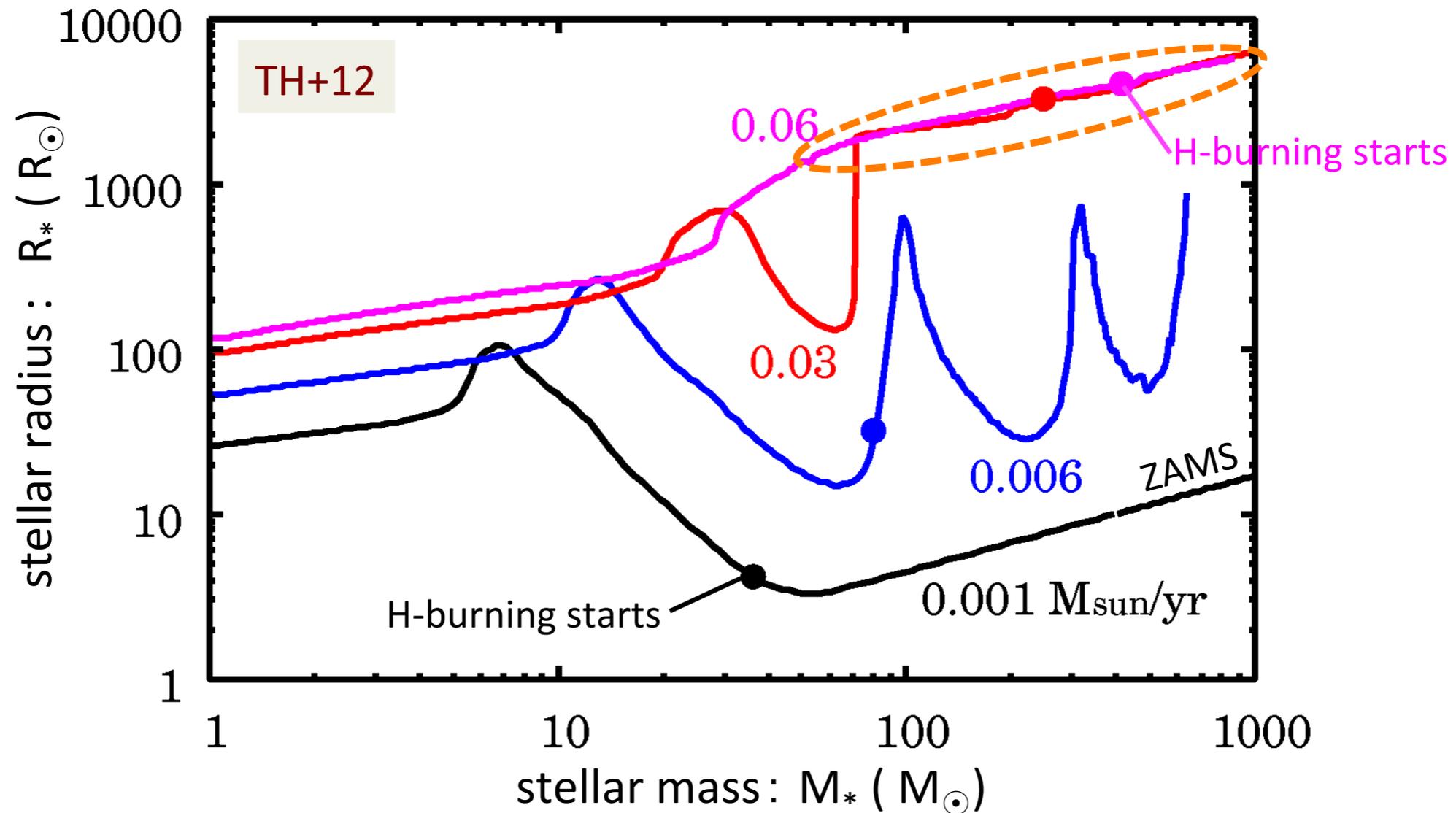
★ Requires strong LW flux to quench  $\text{H}_2$  formation



# Primordial gas chemistry

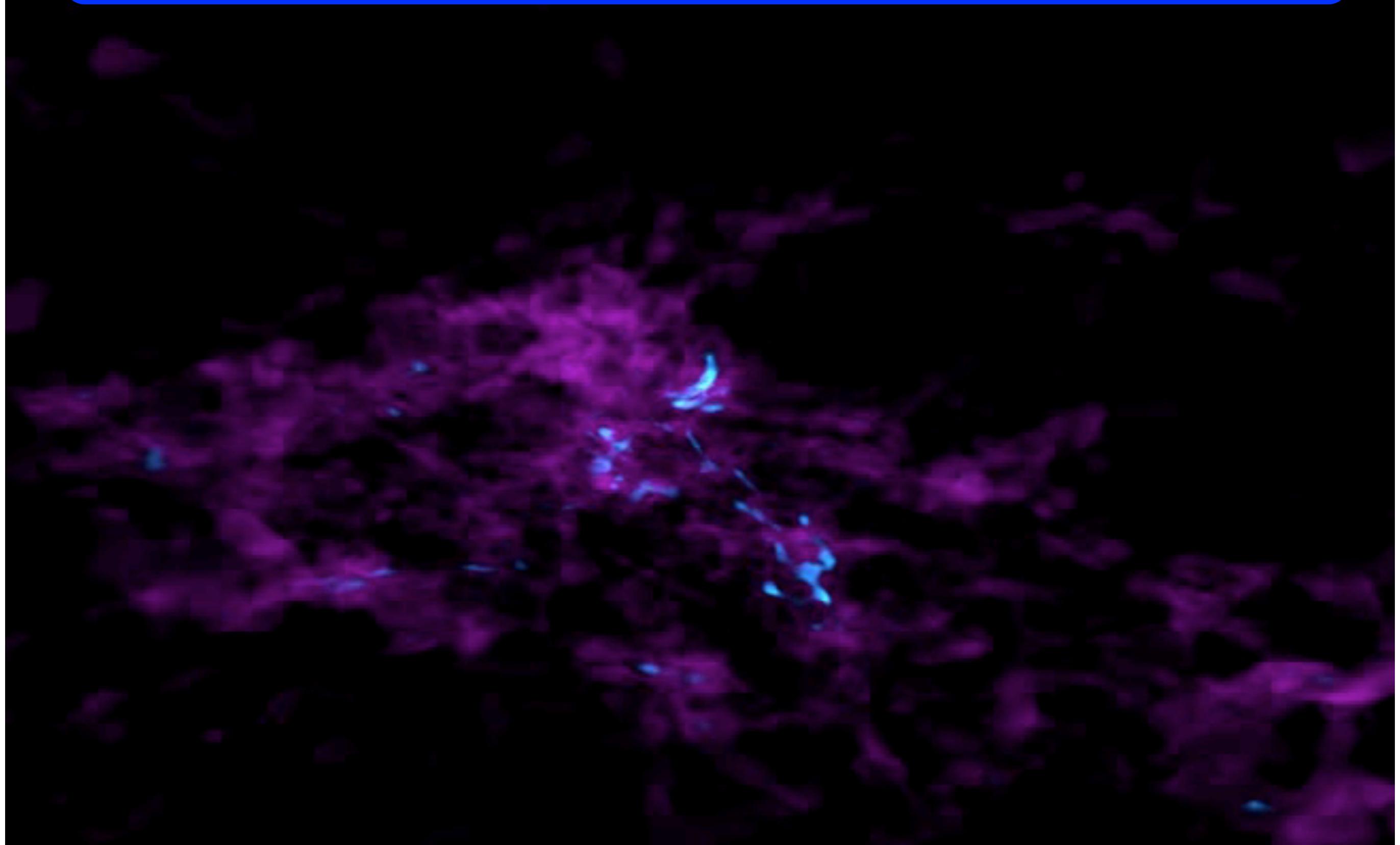


# Supergiant protostar

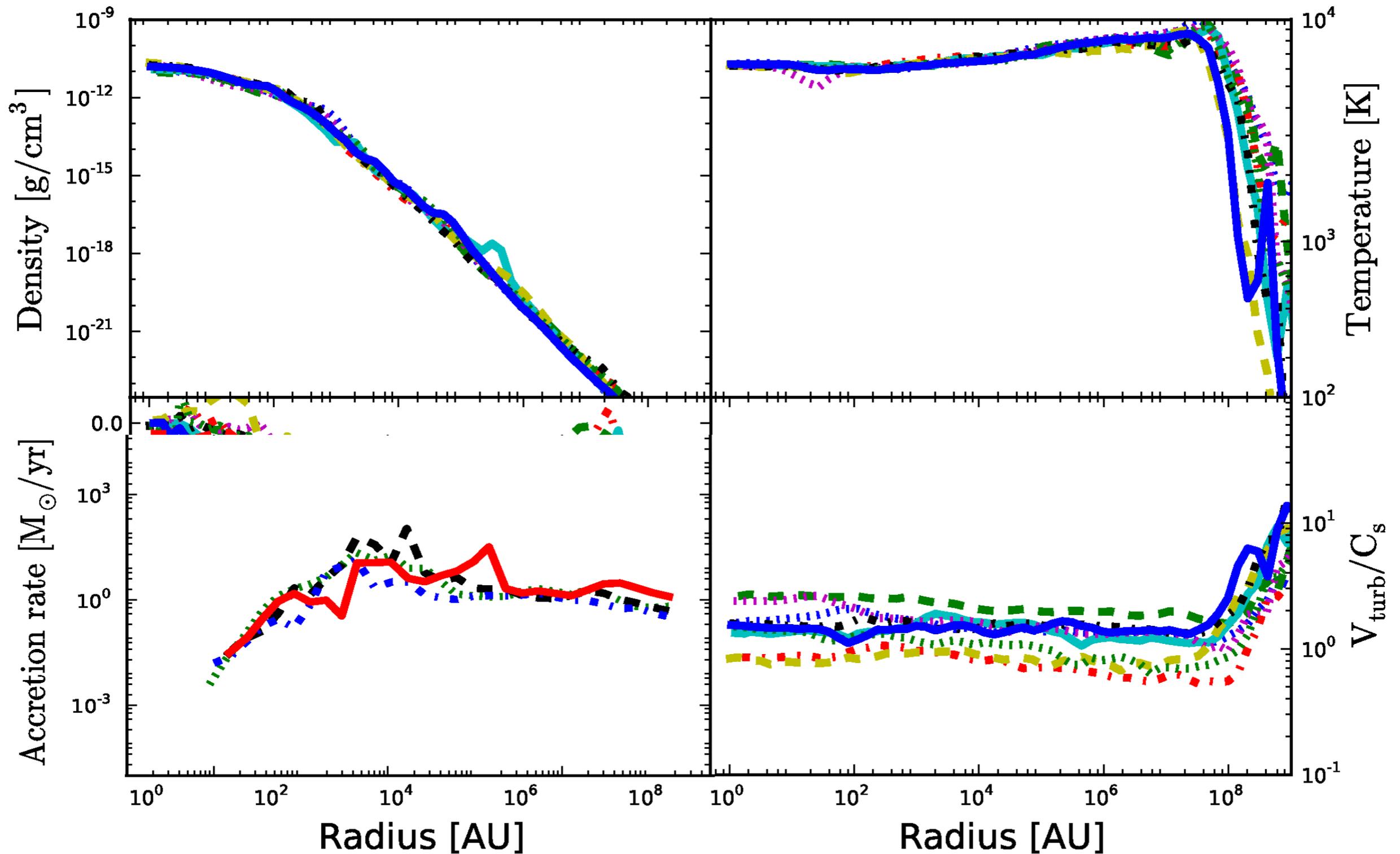


- The protostar never contracts to reach the ZAMS stage, but largely expands with very rapid accretion,  $> 0.01 M_{\odot}/\text{yr}$ .
- large radius  $\rightarrow$  low effective temperature  $\rightarrow$  weak UV feedback

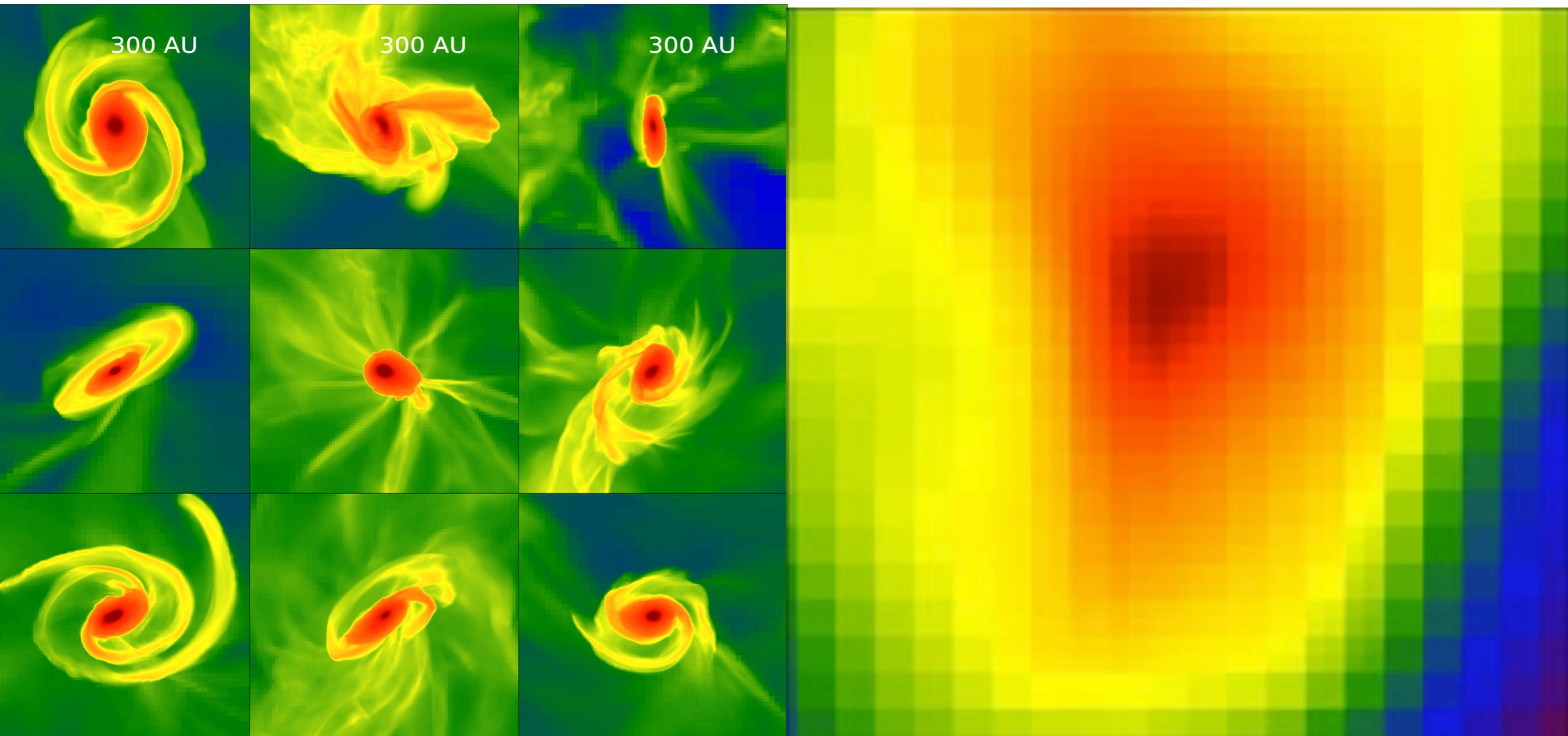
# Cosmological simulations



# Global properties of simulated halos



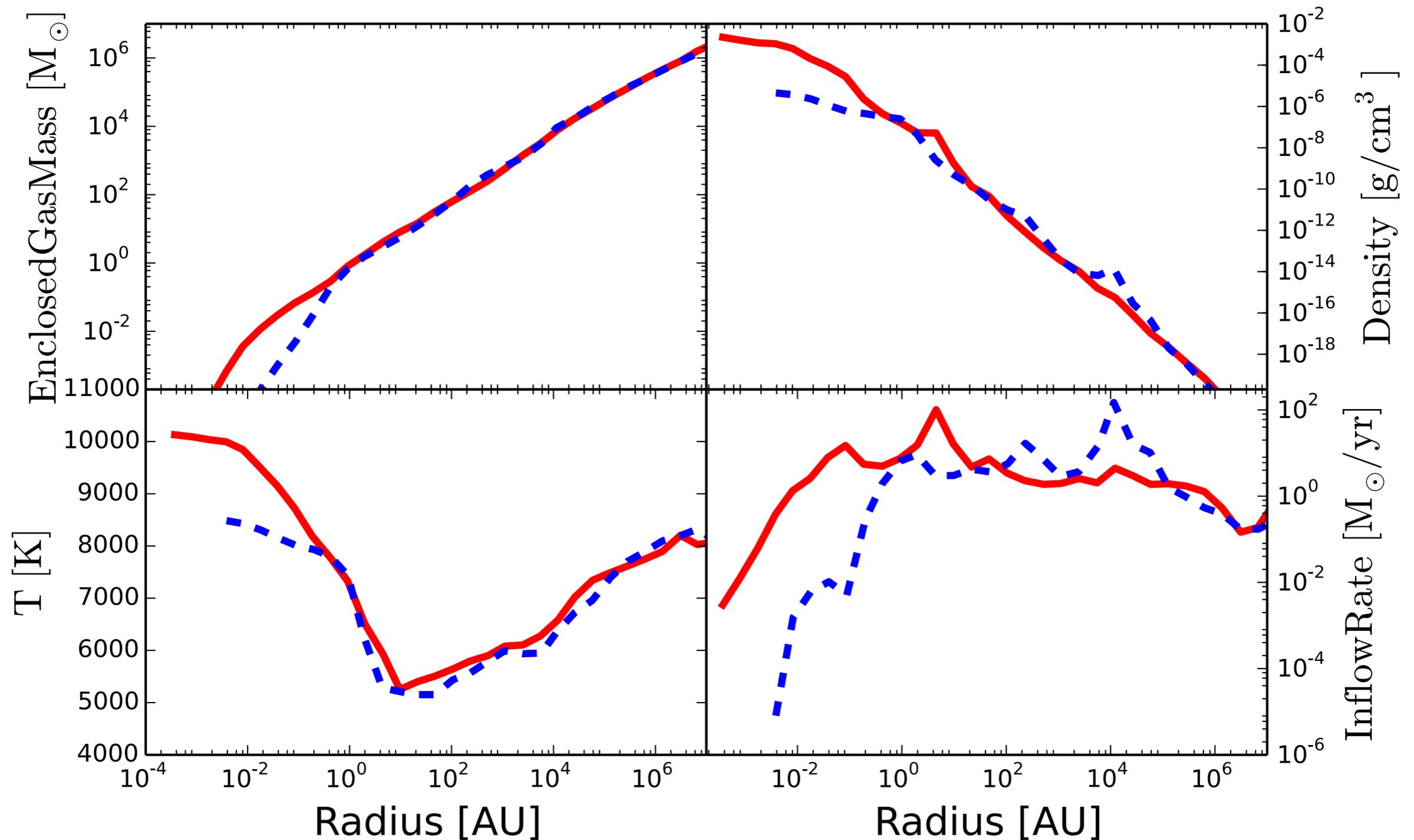
# Simulations exploring the direct collapse



★ Collapse occurs isothermally with  $T \sim 8000$  K

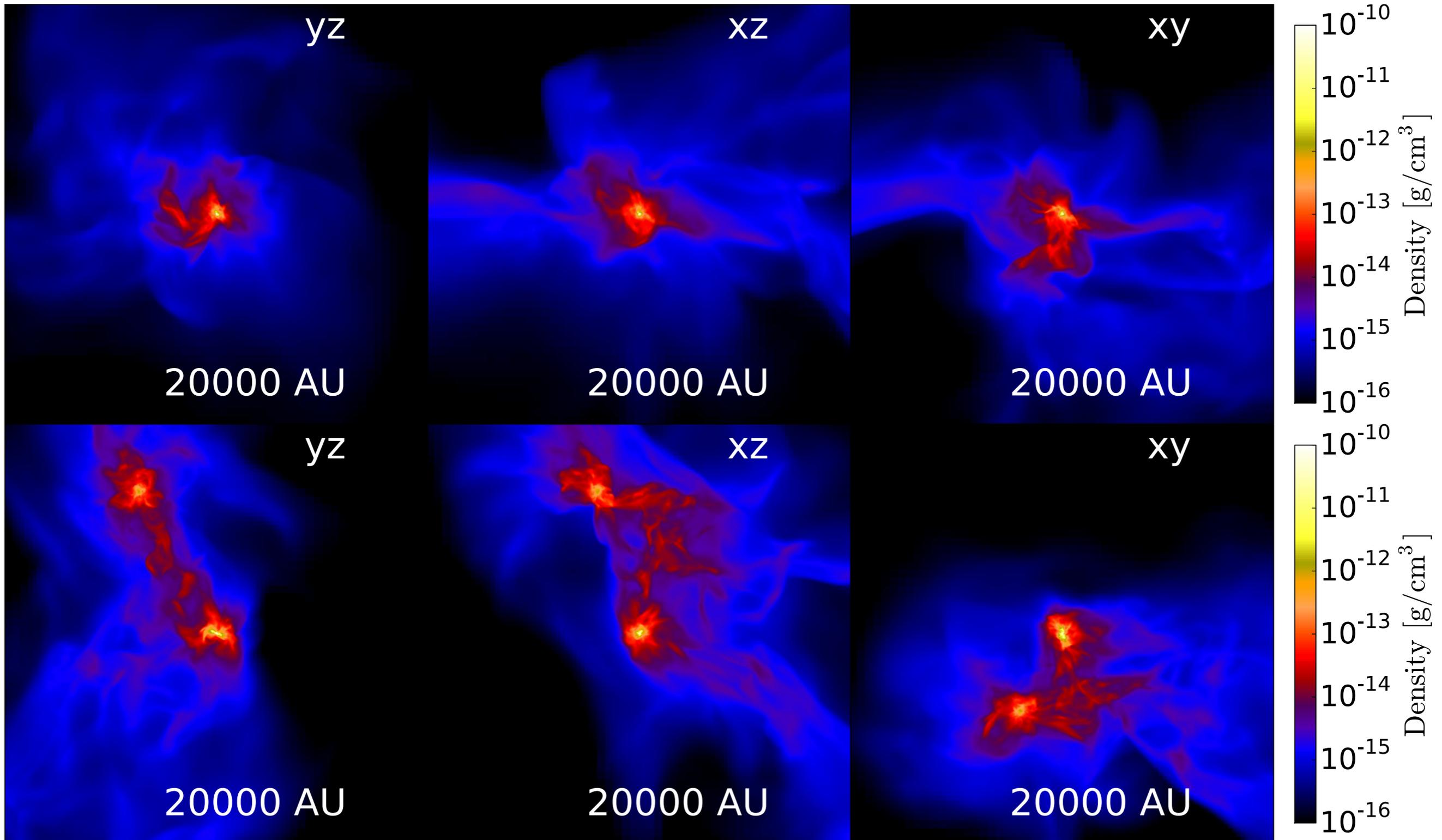
★ Provides large inflow rates of  $\sim 1 M_{\odot}/\text{yr}$

# Impact of $H^-$ cooling & Realistic opacities



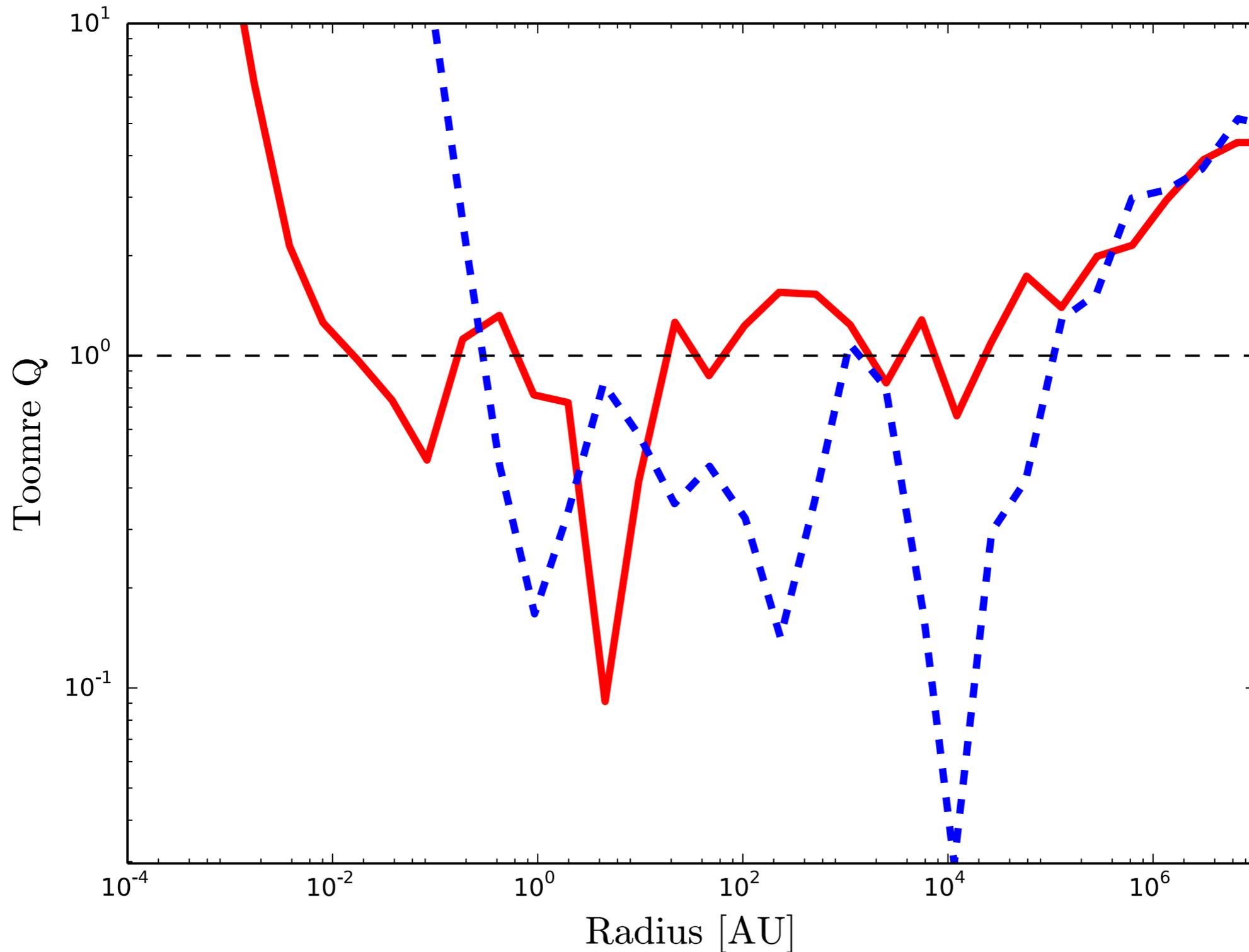
**Latif, Schleicher & Hartwig 2015 (arXiv:1510.02788)**

# Impact of $H^-$ cooling & Realistic opacities



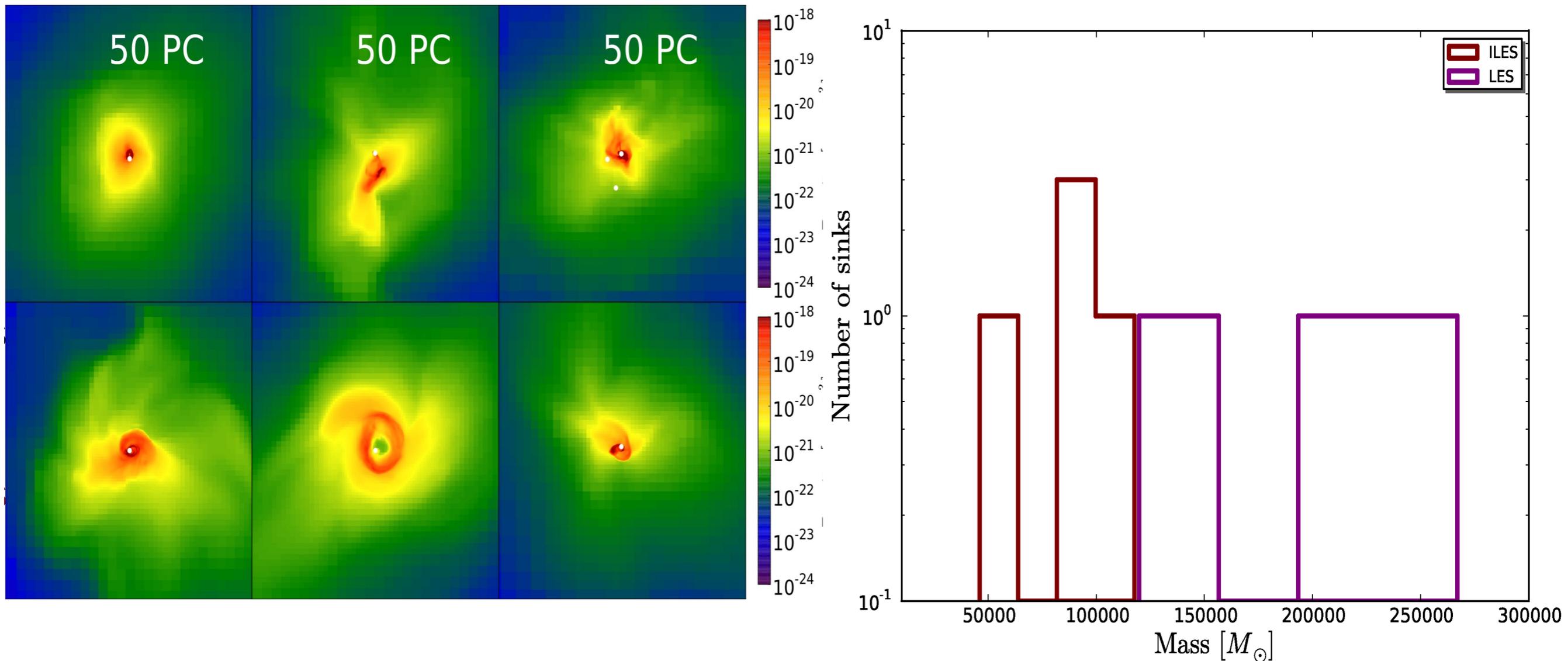
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# Impact of $H^-$ cooling & Realistic opacities



**Latif, Schleicher & Hartwig 2015 (arXiv:1510.02788)**

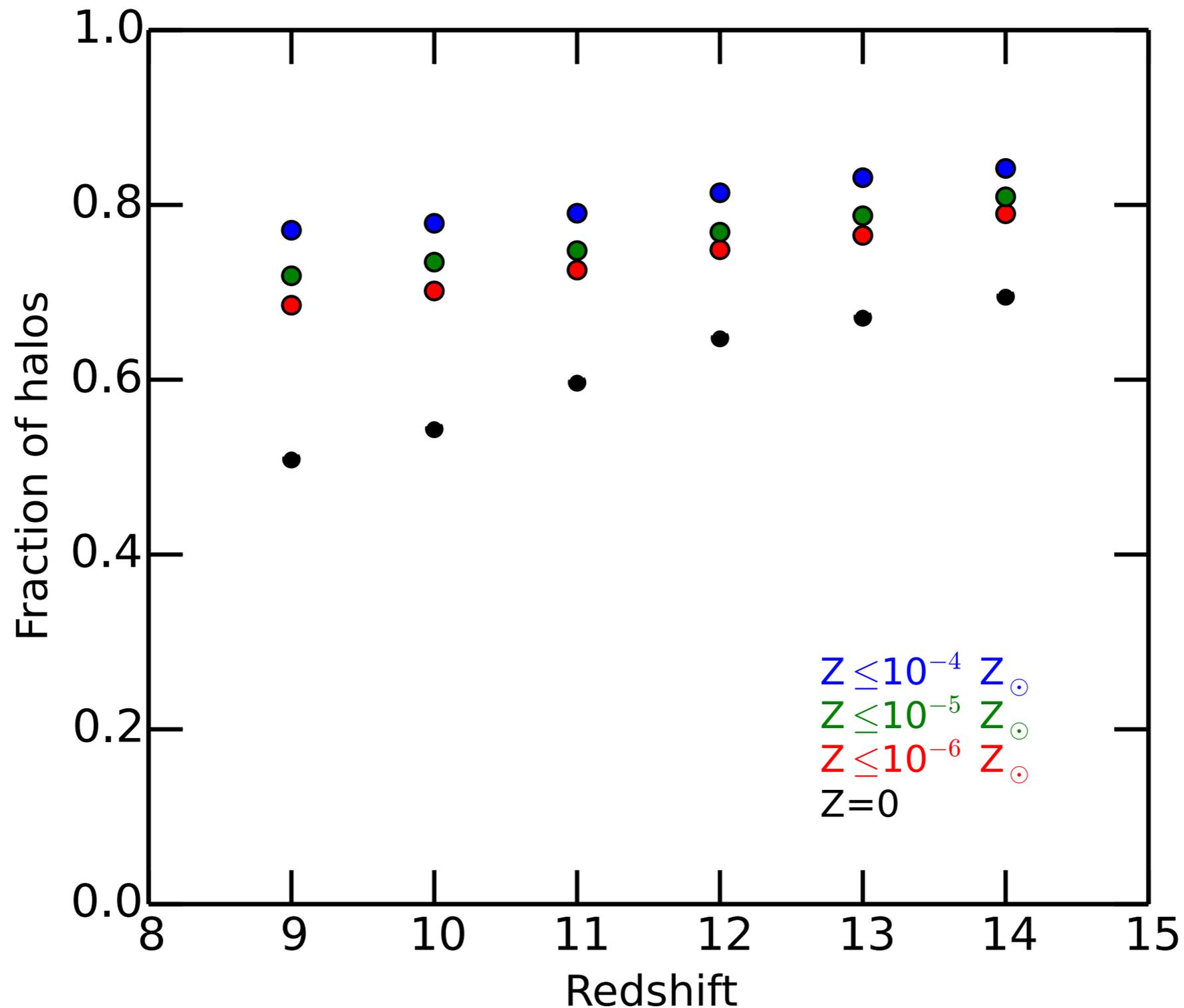
# Masses of protostars/sinks



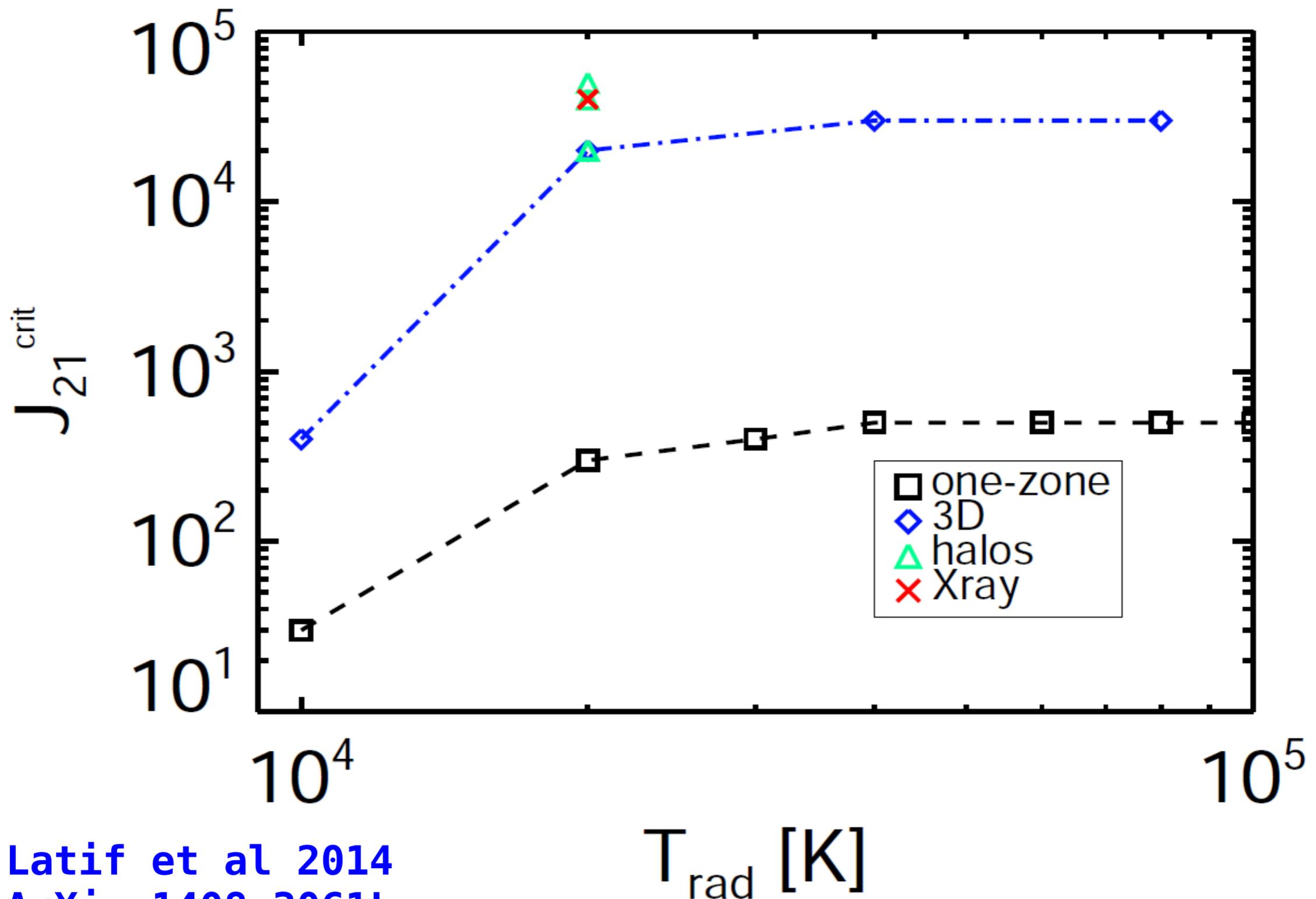
- ✦ Employed sink particles and followed the evolution for 200,000 yrs
- ✦ Massive protostars of about  $10^5 M_{\odot}$  are formed

Latif et al. 2013 MNRAS 436 2989L

# Fraction of metal free halos



# Estimates of $J_{\text{crit}}$ from 3D simulations

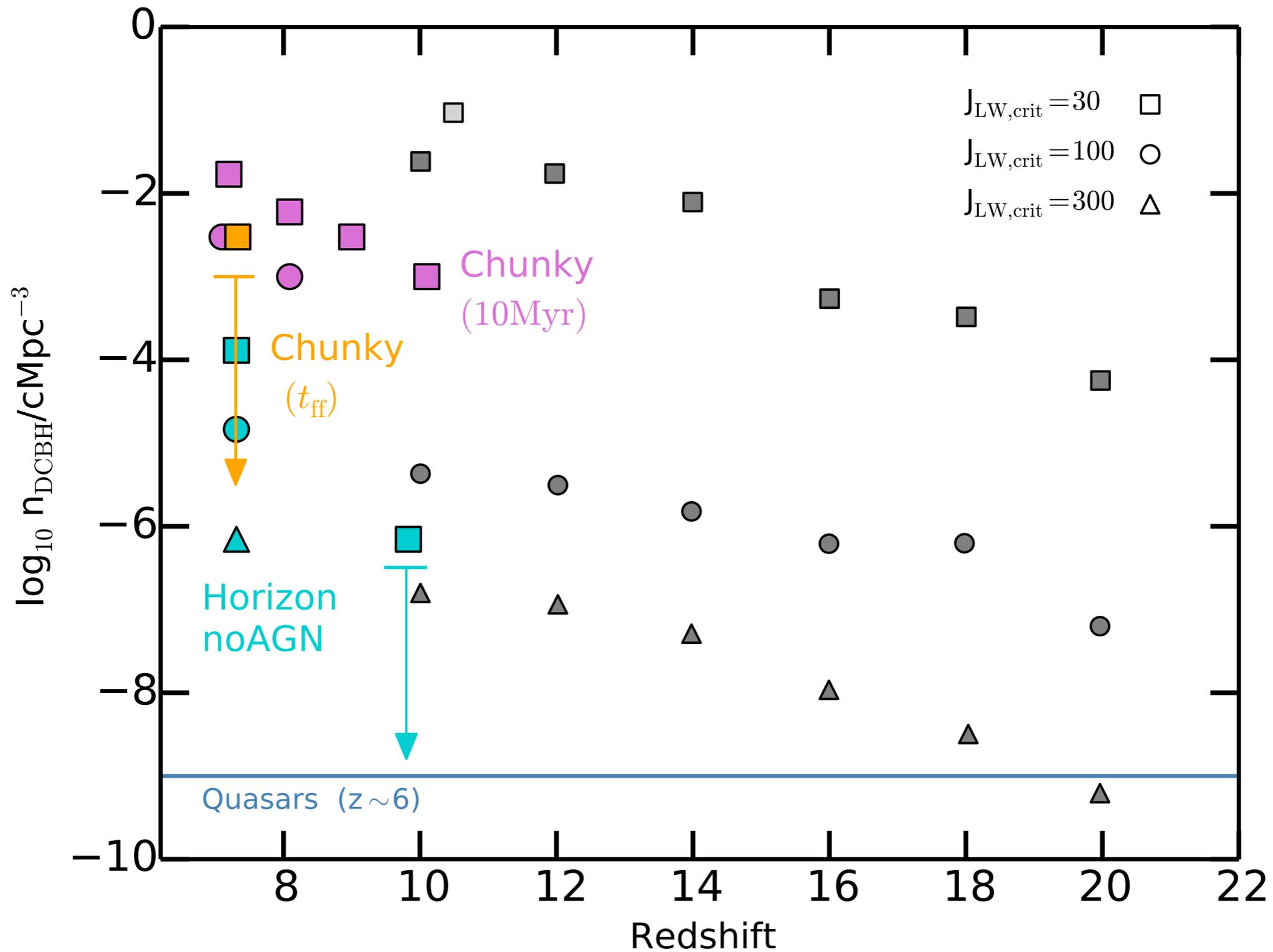


Latif et al 2014

ArXiv:1408.3061L

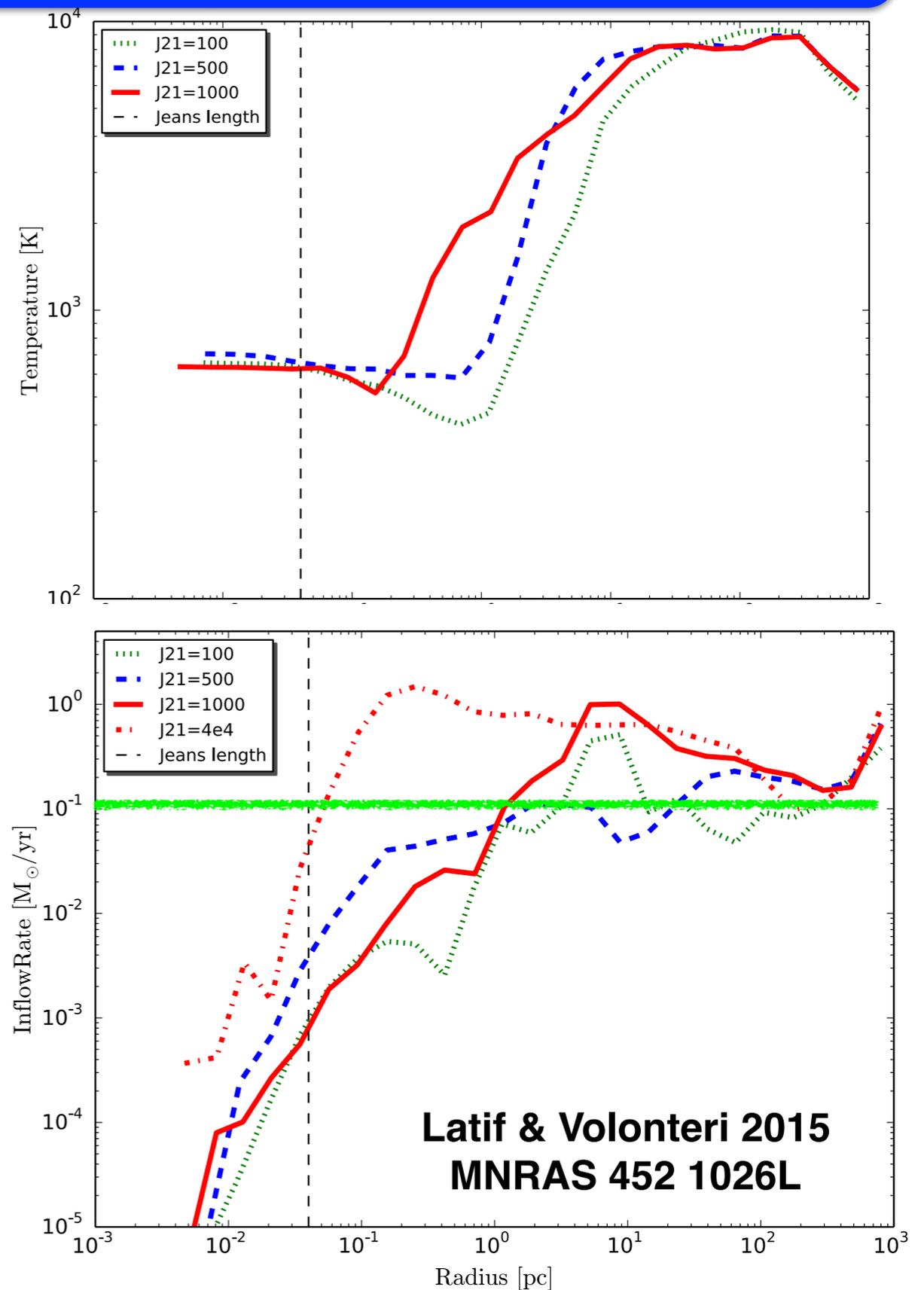
Latif et al. MNRAS 2015 446 3136, Also see Hartwig, ML et al. MNRAS 2015

# Number density of DCBHs

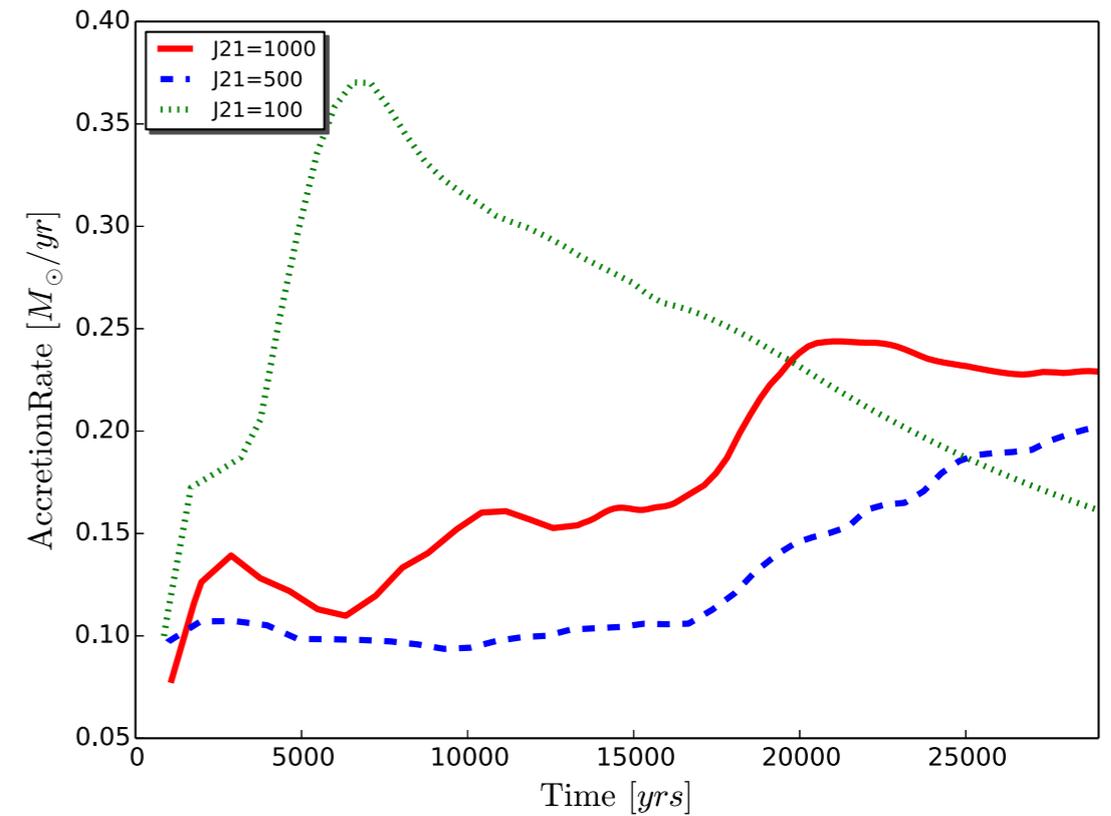
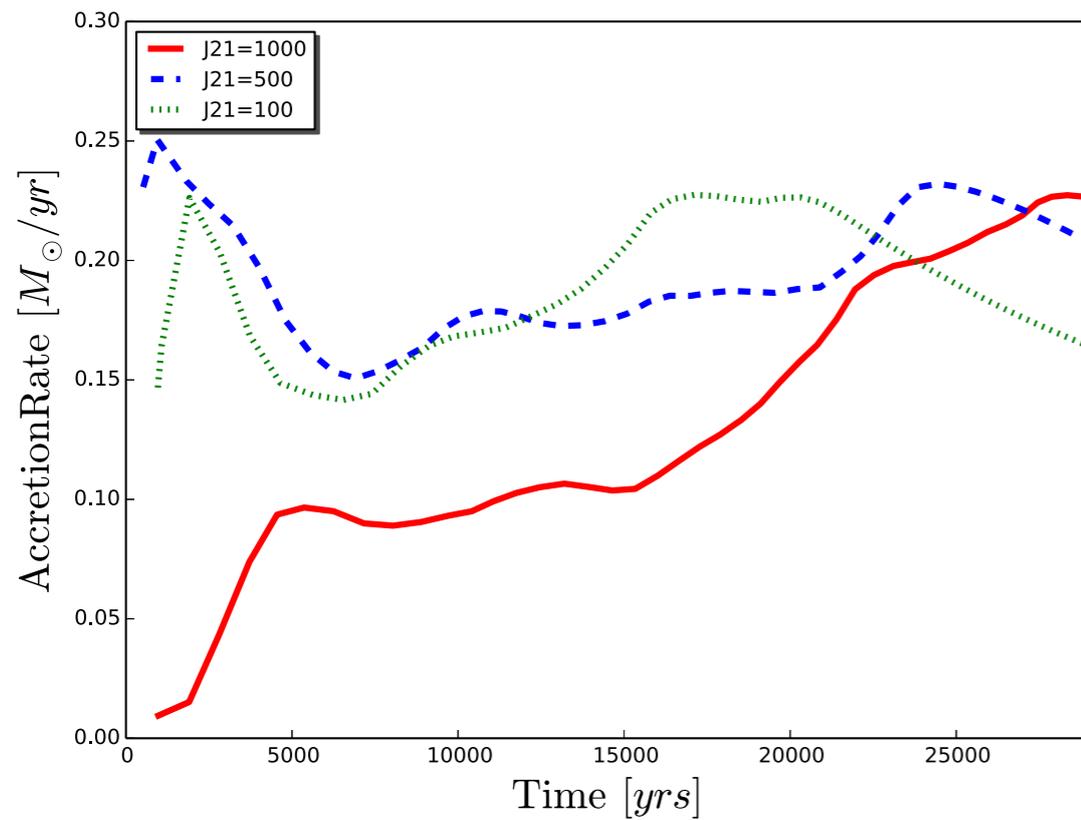


# What if there is a trace amount of H<sub>2</sub>

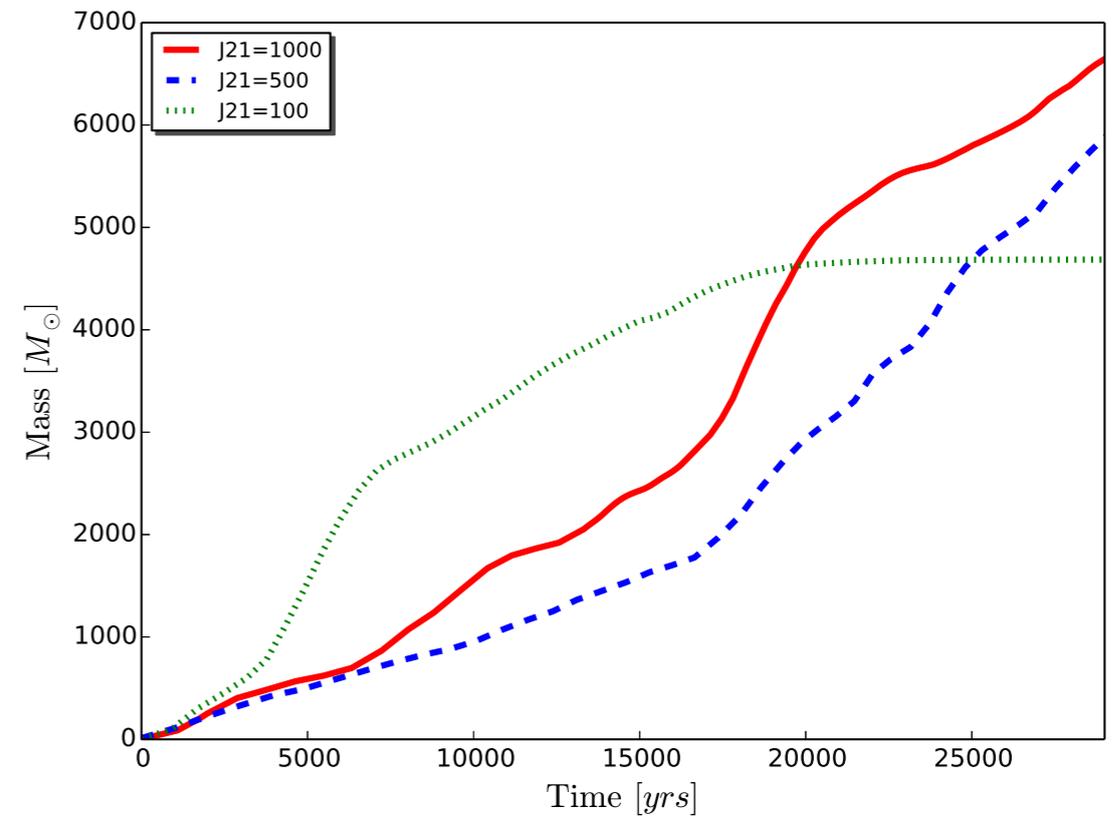
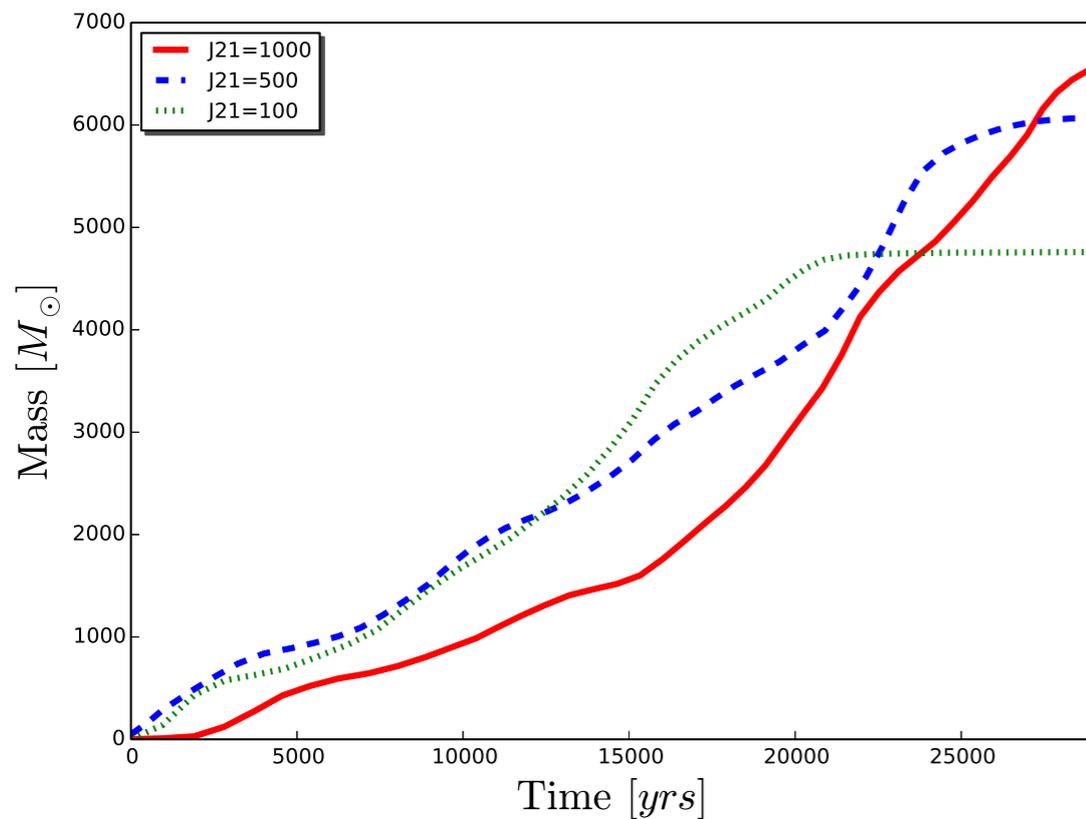
- ❖ Massive stars up to 1000 M<sub>⊙</sub> can be formed in minihalos (Hirano et al 2014, Latif & Schleicher 2015)
- ❖ LW flux helps in suppressing H<sub>2</sub> formation and keeps the gas warm with 8000 K down to ~ pc scales
- ❖ Key requirement for the formation of supermassive star is mass inflow rate of 0.1 M<sub>⊙</sub> /yr



# Sink Masses & accretion rates



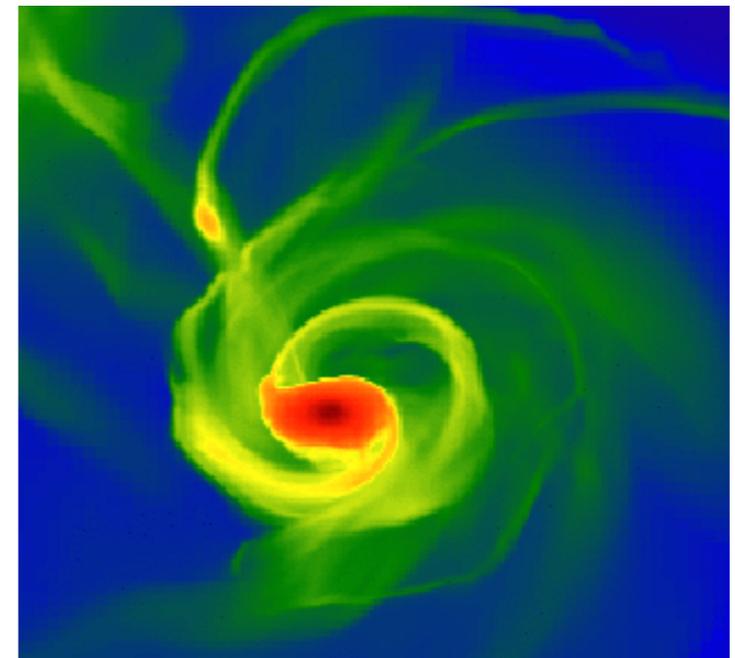
Latif & Volonteri 2015 MNRAS 452 1026L



# What if fragmentation occurs at smaller scales

- ★ Analytical model for disk fragmentation
- ★ Assumptions:
  - Steady state condition
  - Marginally stable ( $Q=1$ )
  - Embedded in large inflow rates of  $0.1 M_{\odot}/\text{yr}$
- ★ Solve for thermal balance

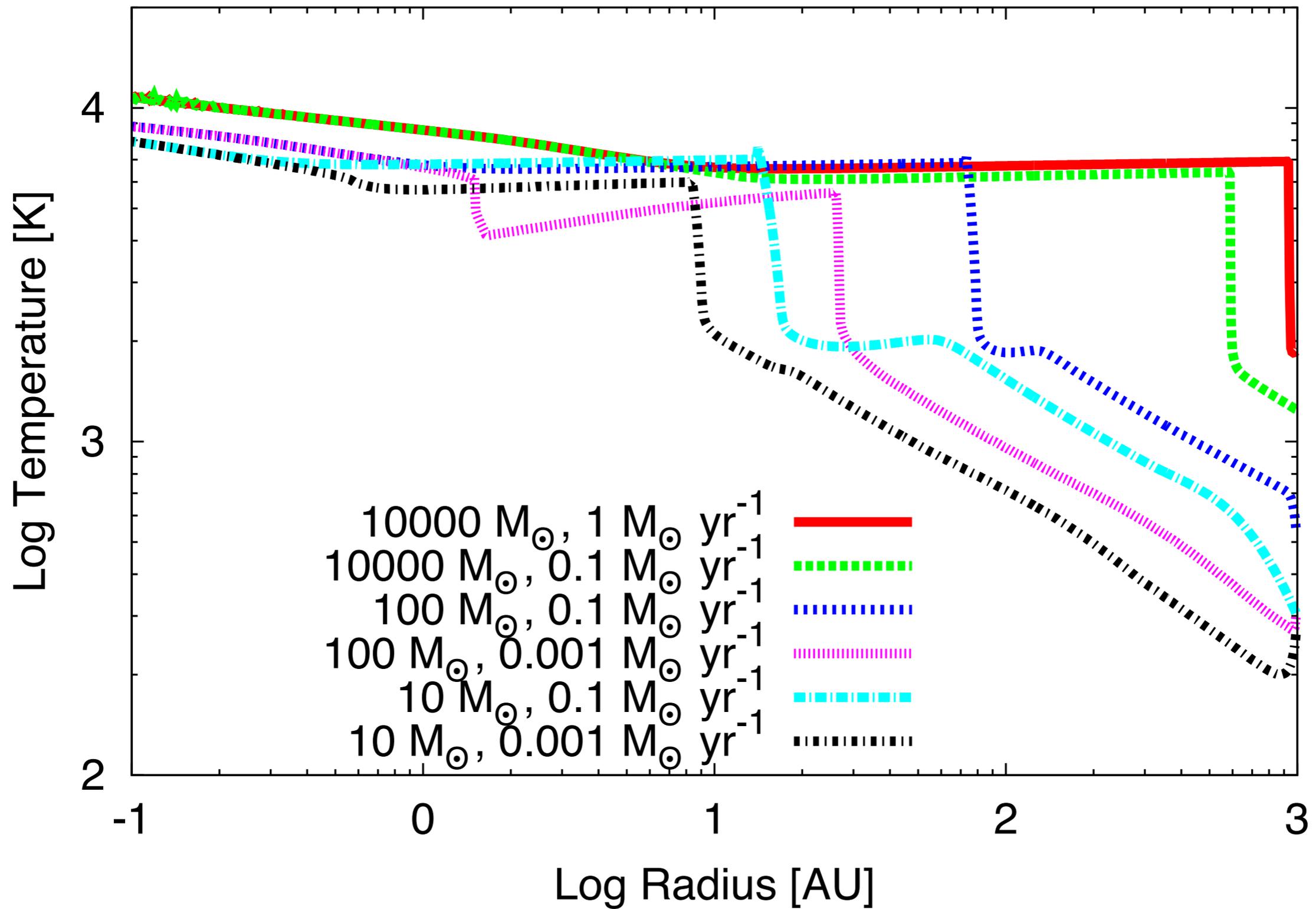
## ★ Viscous Heating



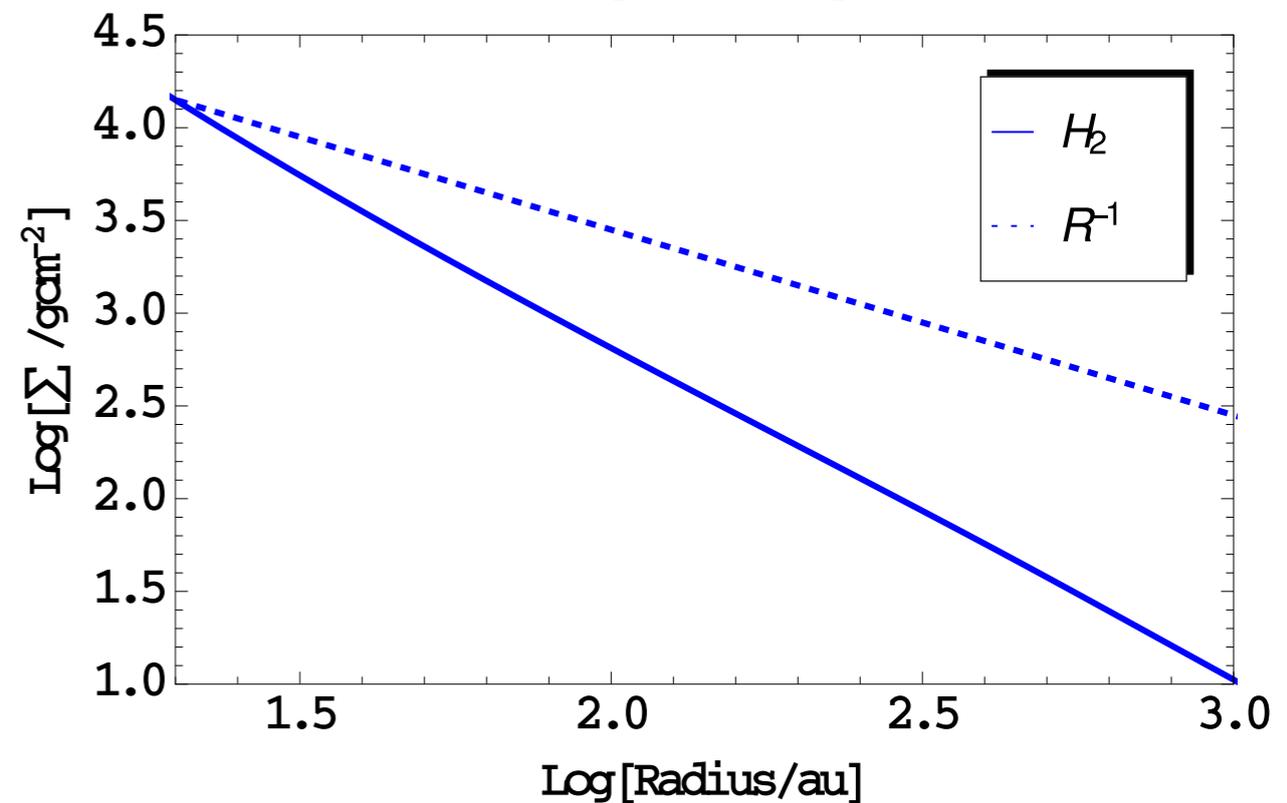
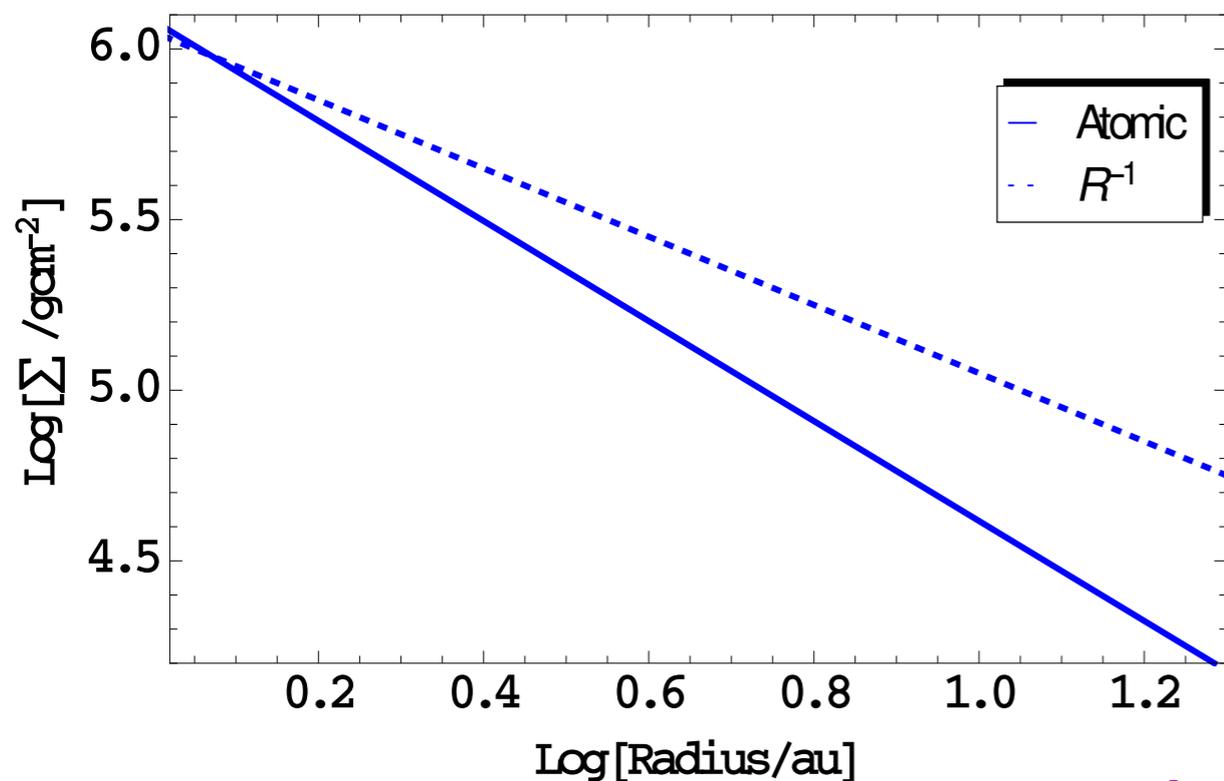
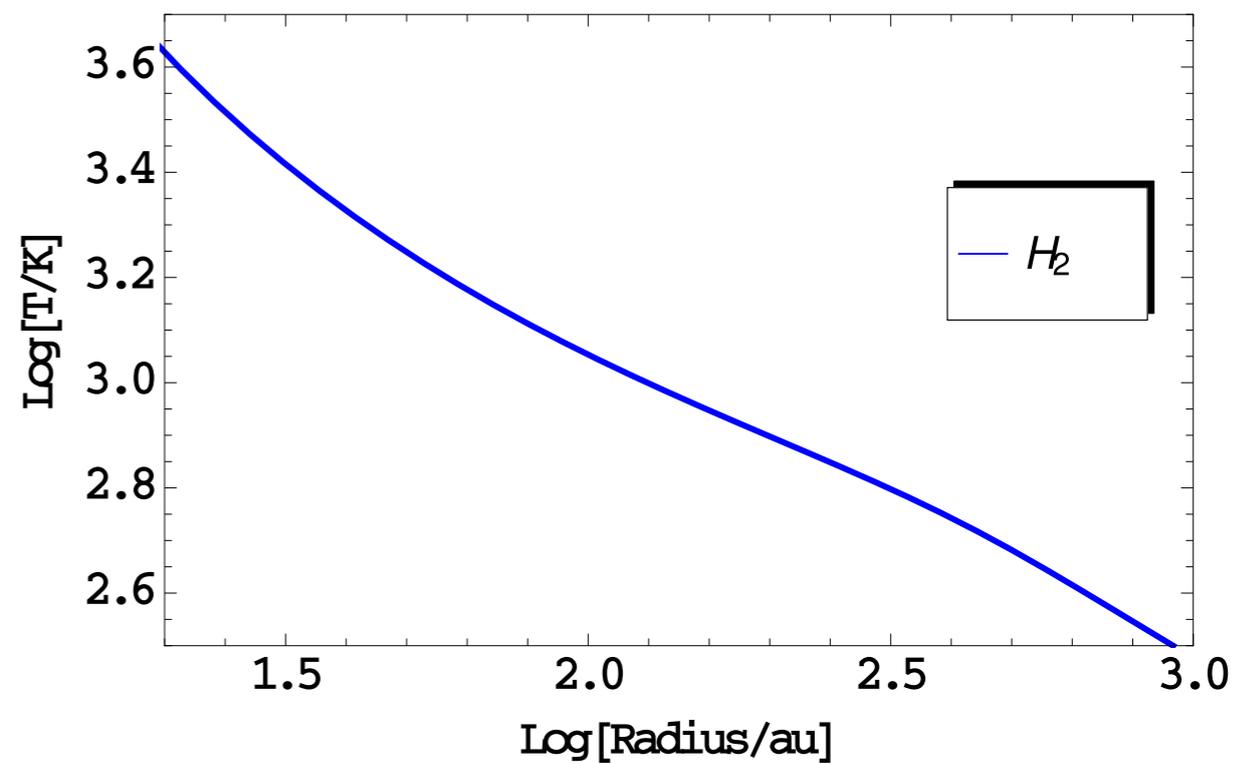
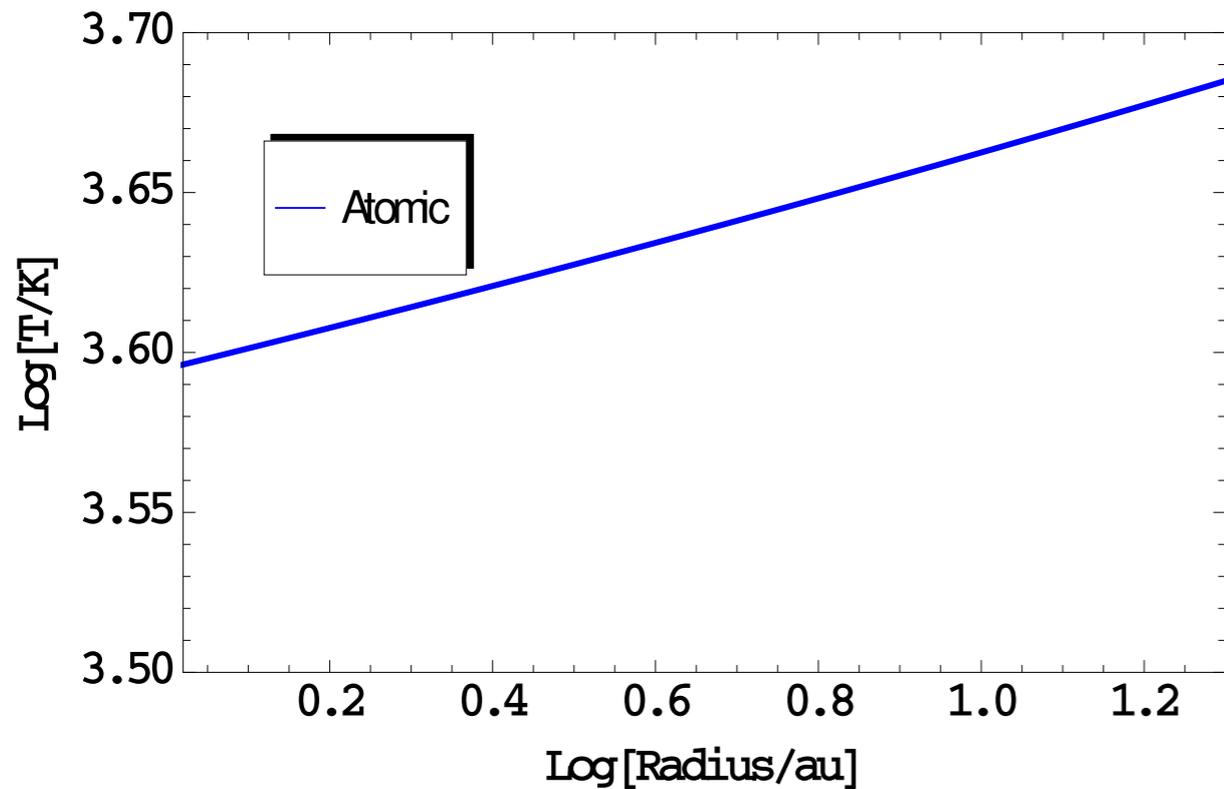
$$Q_+ = Q_-$$

$$Q_+ = \frac{9}{4} \nu \Sigma \Omega^2$$

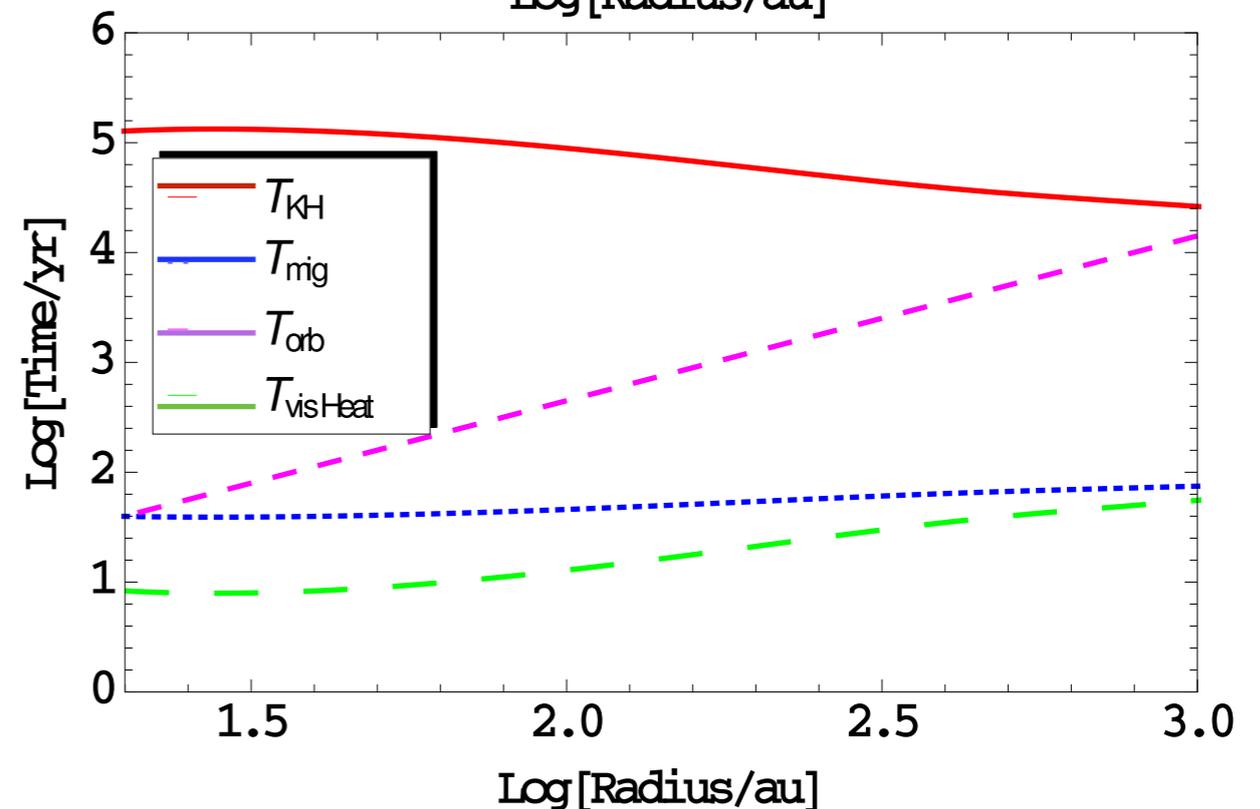
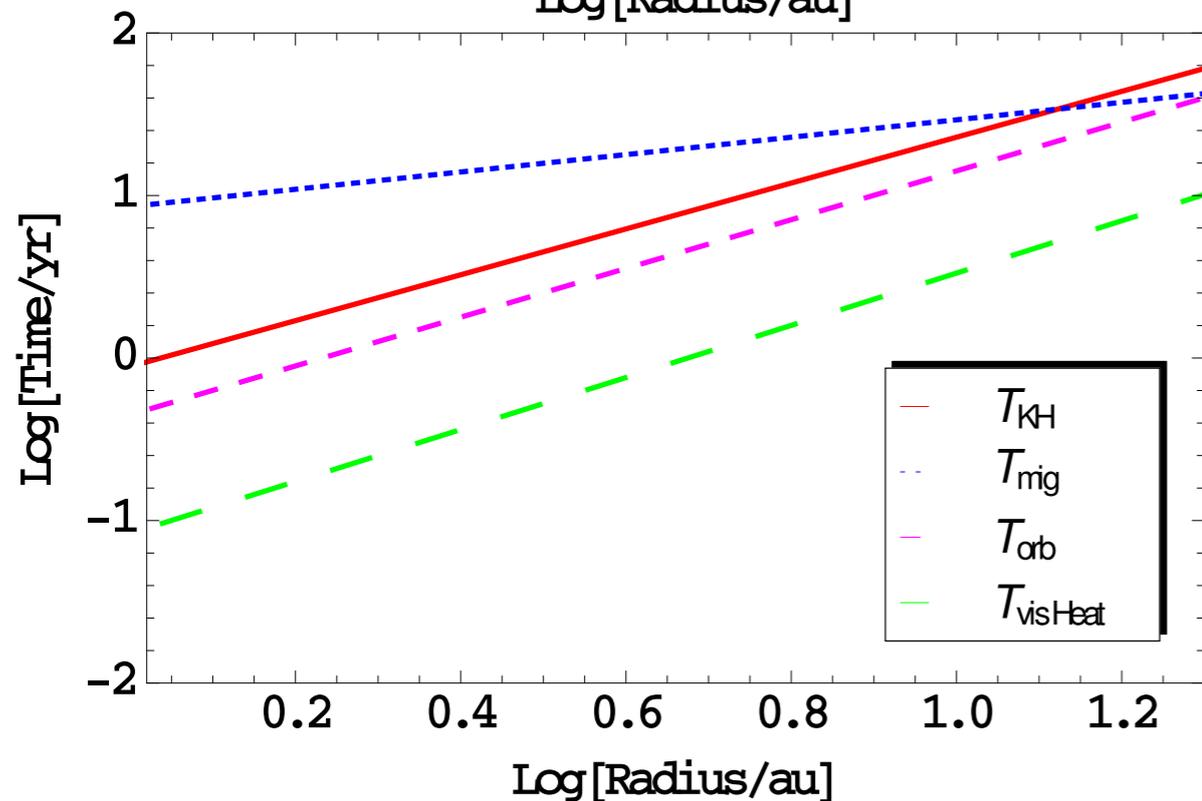
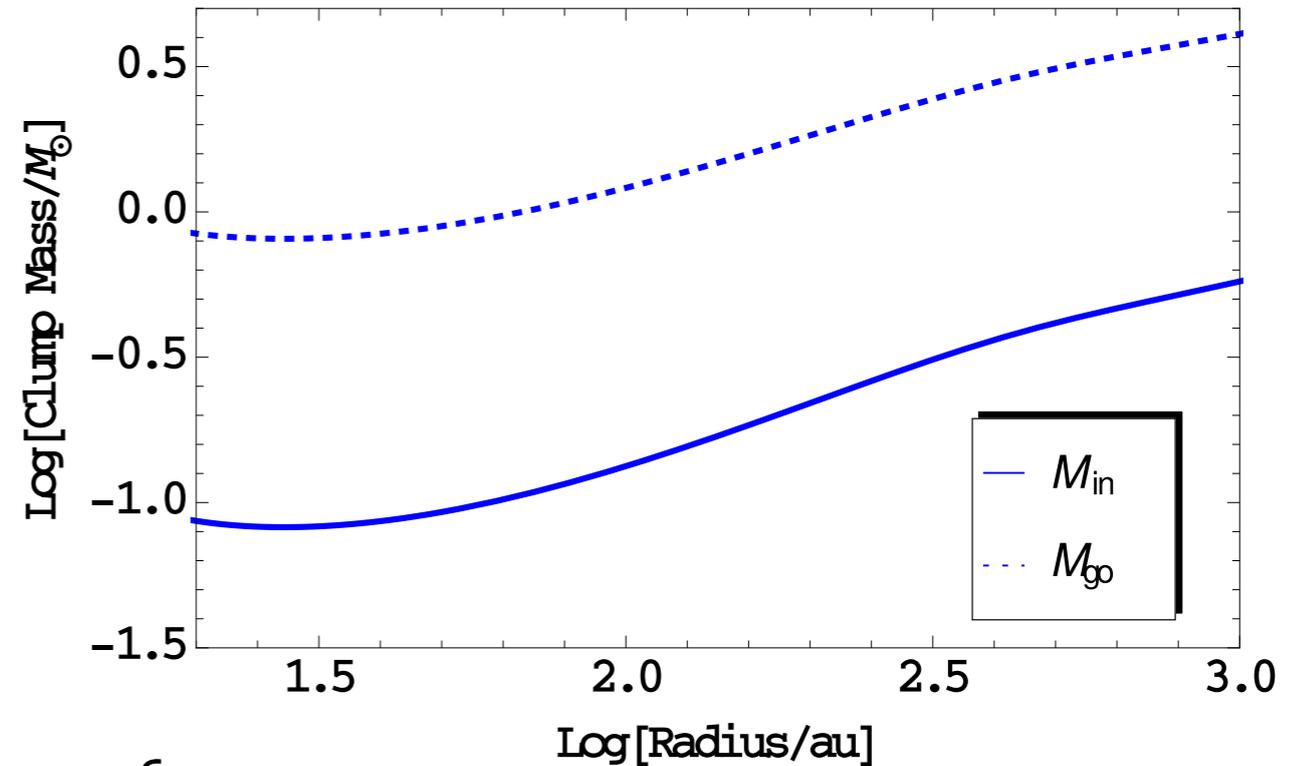
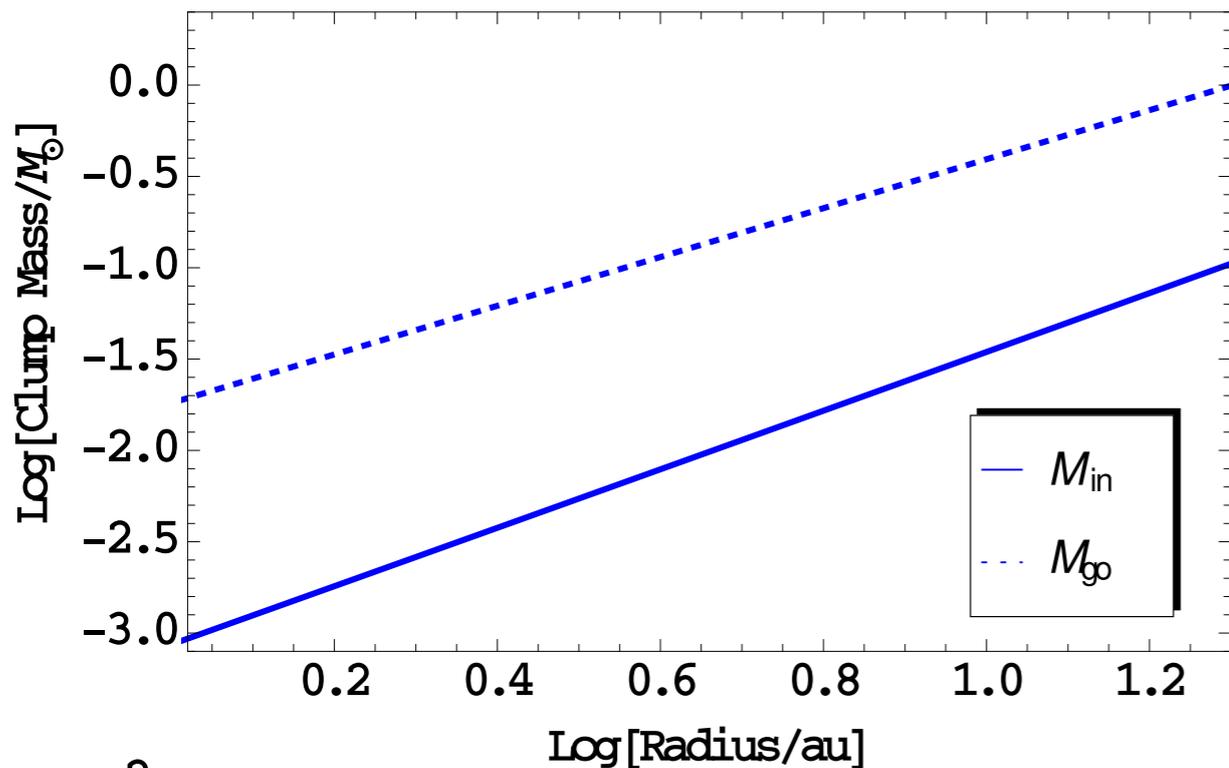
# Thermal properties of disk



# Disk properties for central star of $10 M_{\odot}$



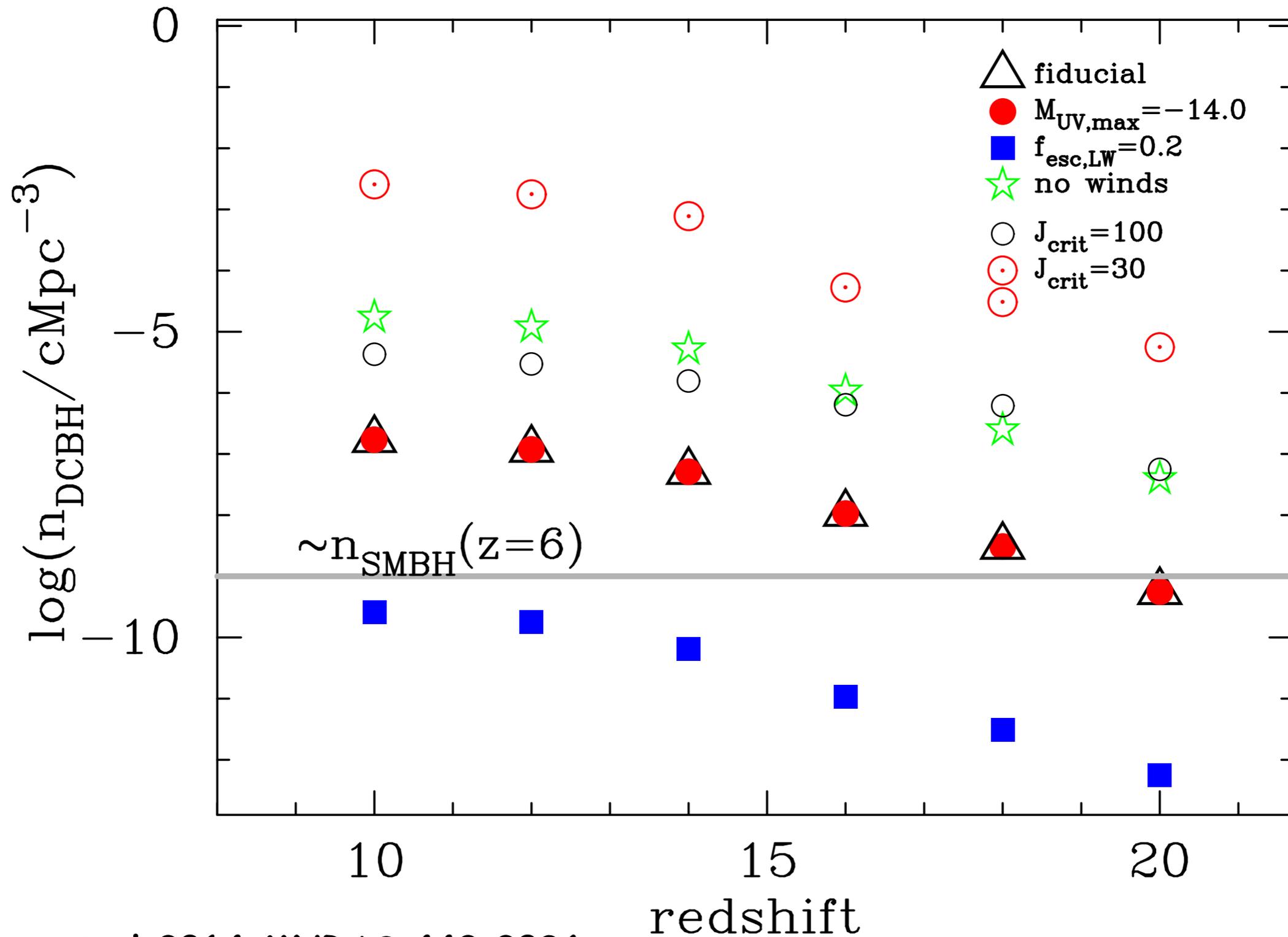
# Clump masses & time scales comparison



## Key findings of this model

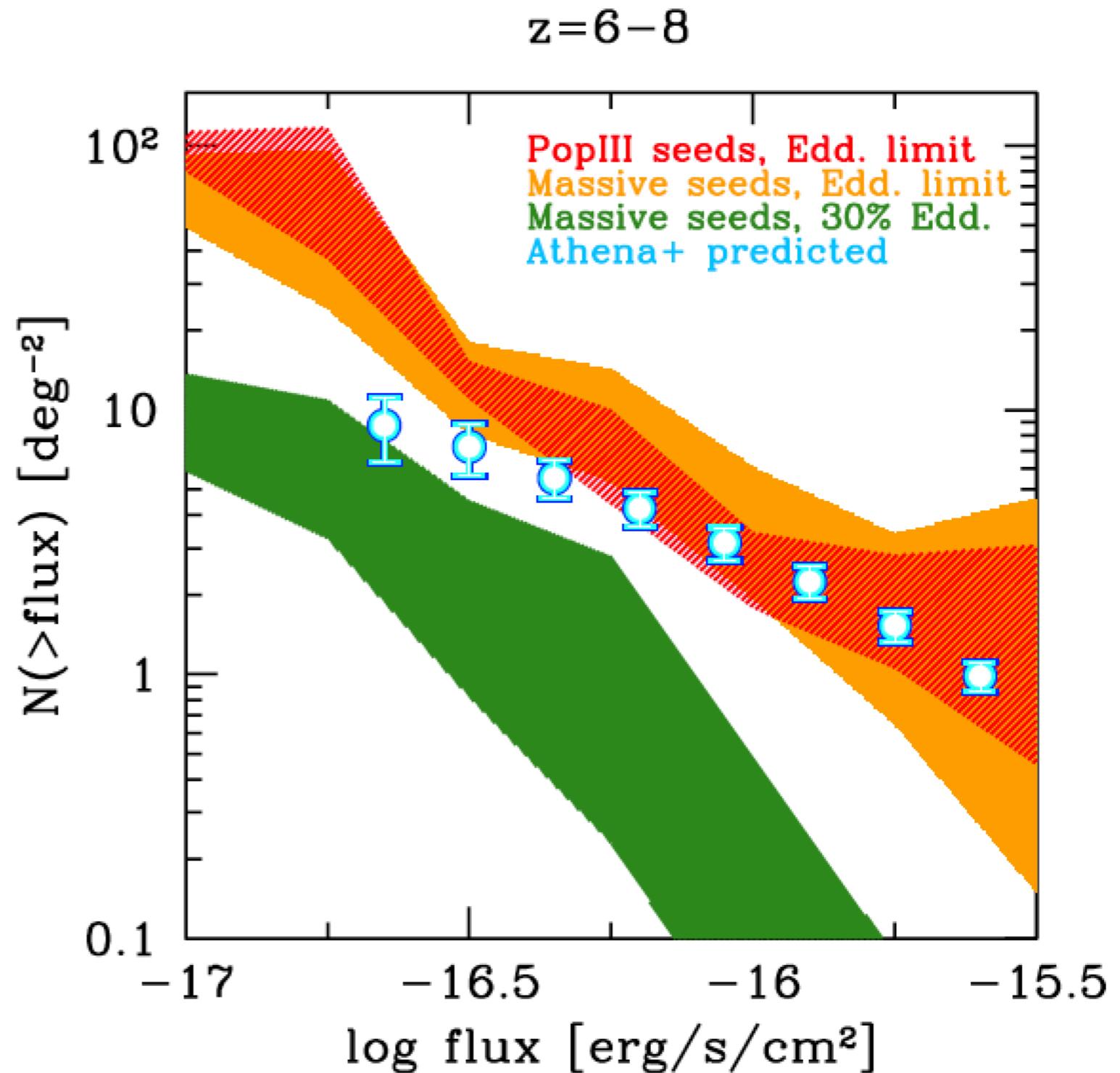
- ★ Temperature of the disk increases due to viscous heating for higher accretion rates
- ★  $H_2$  gets collisionally dissociated (Also see Schleicher et al 2016)
- ★ Clumps are able to migrate inward on short time scales, even tidally disrupted within central 10 AU
- ★ Feedback from the central star only becomes important at later stages for  $10^4 M_{\odot}$

# Number density of DCBHs



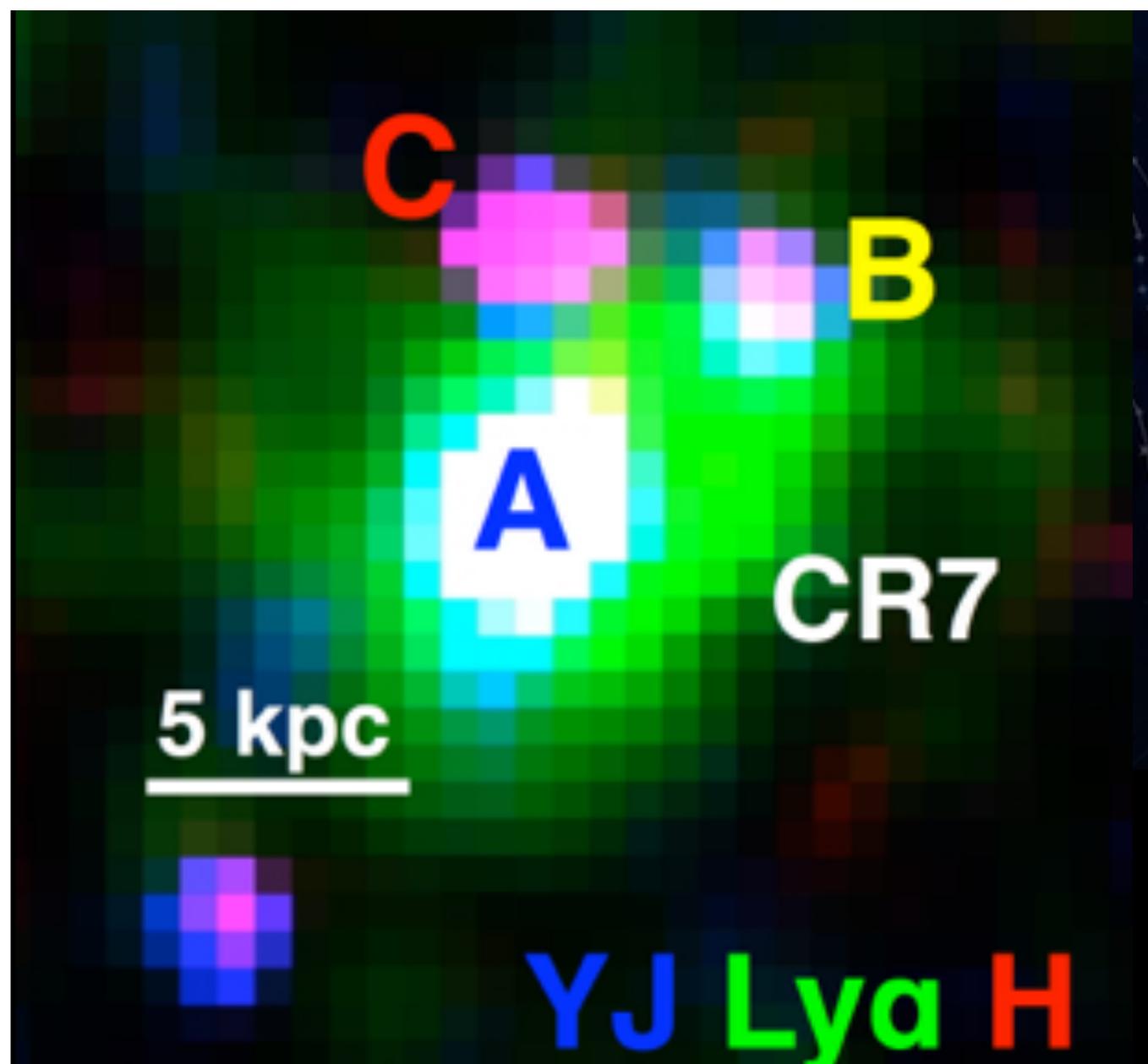
# Observational tests

- ★ ATHENA X-ray observatory
- ★ Expected to probe a few hundred low luminosity AGNs at  $z > 6$
- ★ Provide direct constraints on BH seed formation mechanisms
- ★ Expected launch in late 2020s

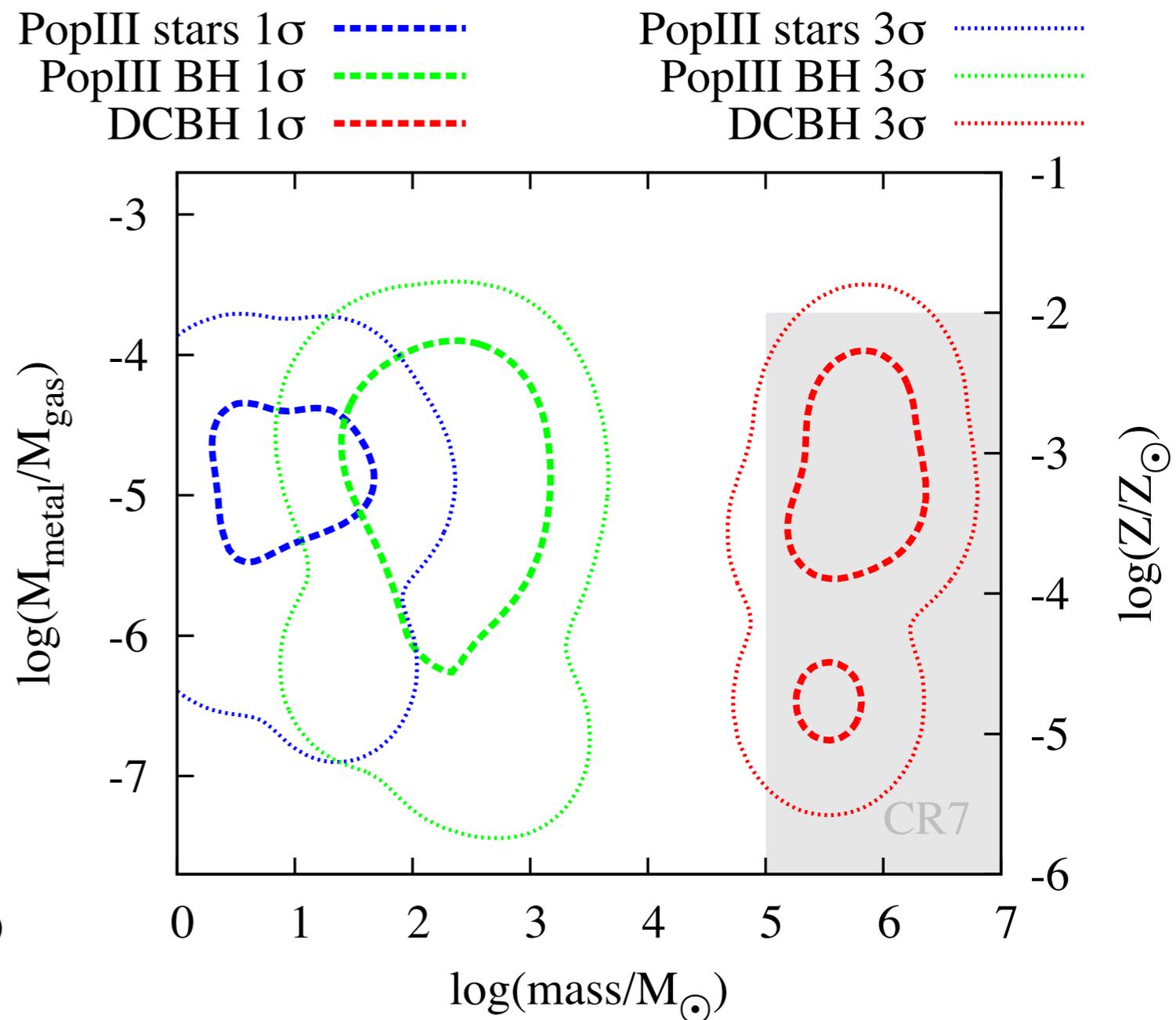
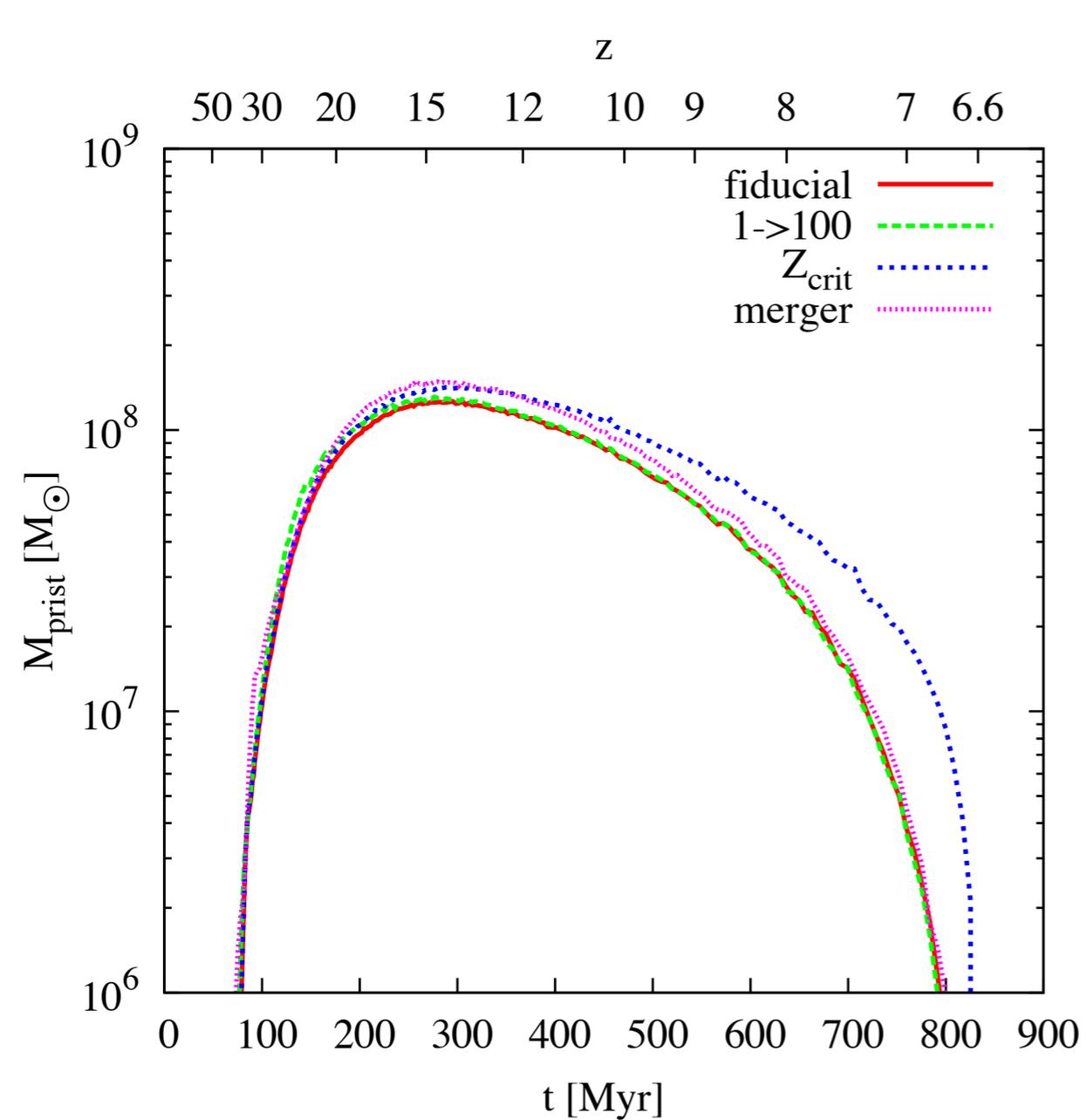


# CR7: Potential host for a DCBH

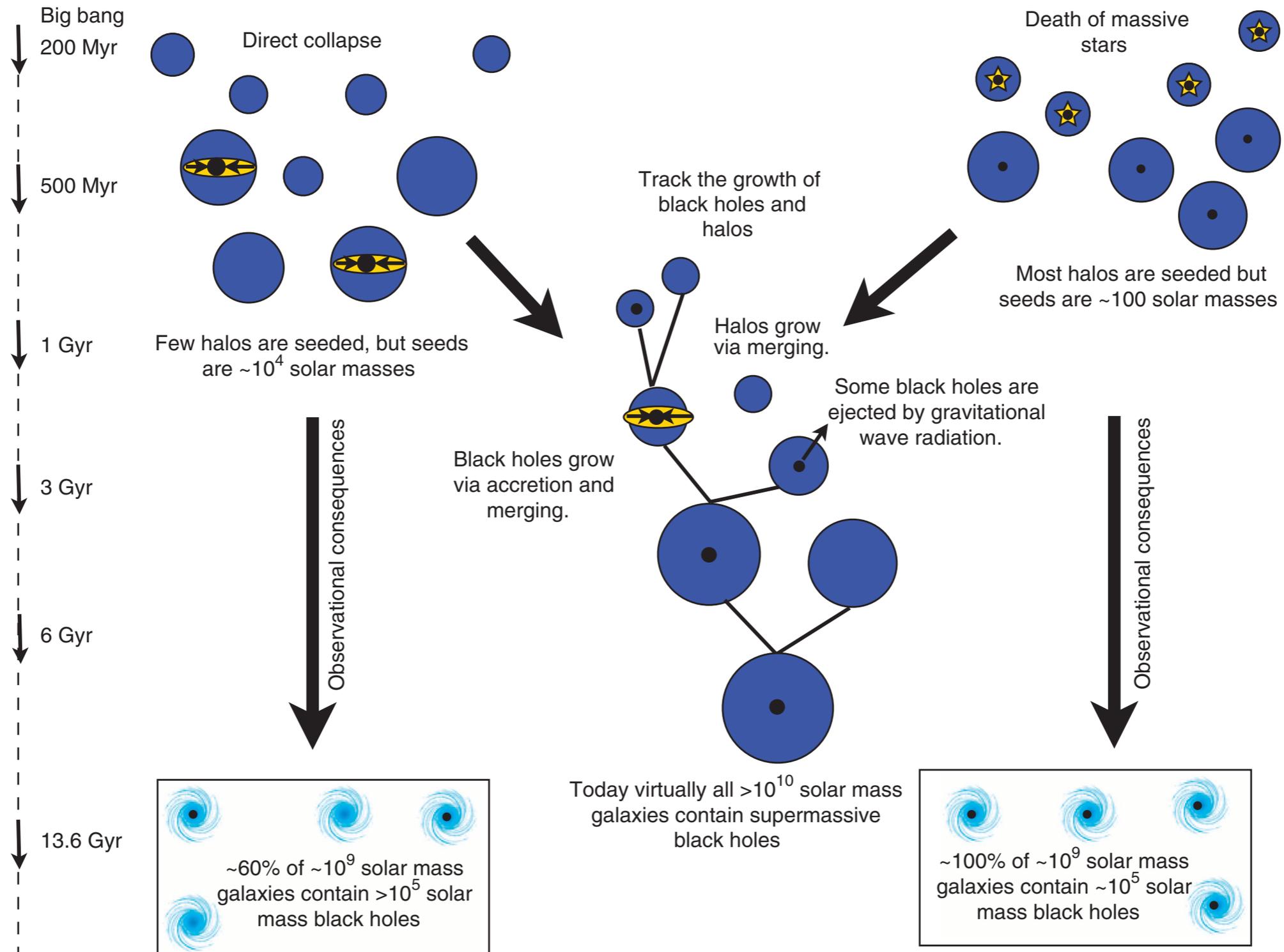
- The brightest Lyman alpha emitter at  $z=6.6$  (CR7)
- Shows strong Lyman alpha & He1640 emission
- No metal lines detected from UV to infrared
- Such strong line emission can be explained either via  $10^7 M_{\odot}$  in Pop III stars with top heavy IMF or a massive BH of  $10^6 M_{\odot}$  residing in metal poor environment



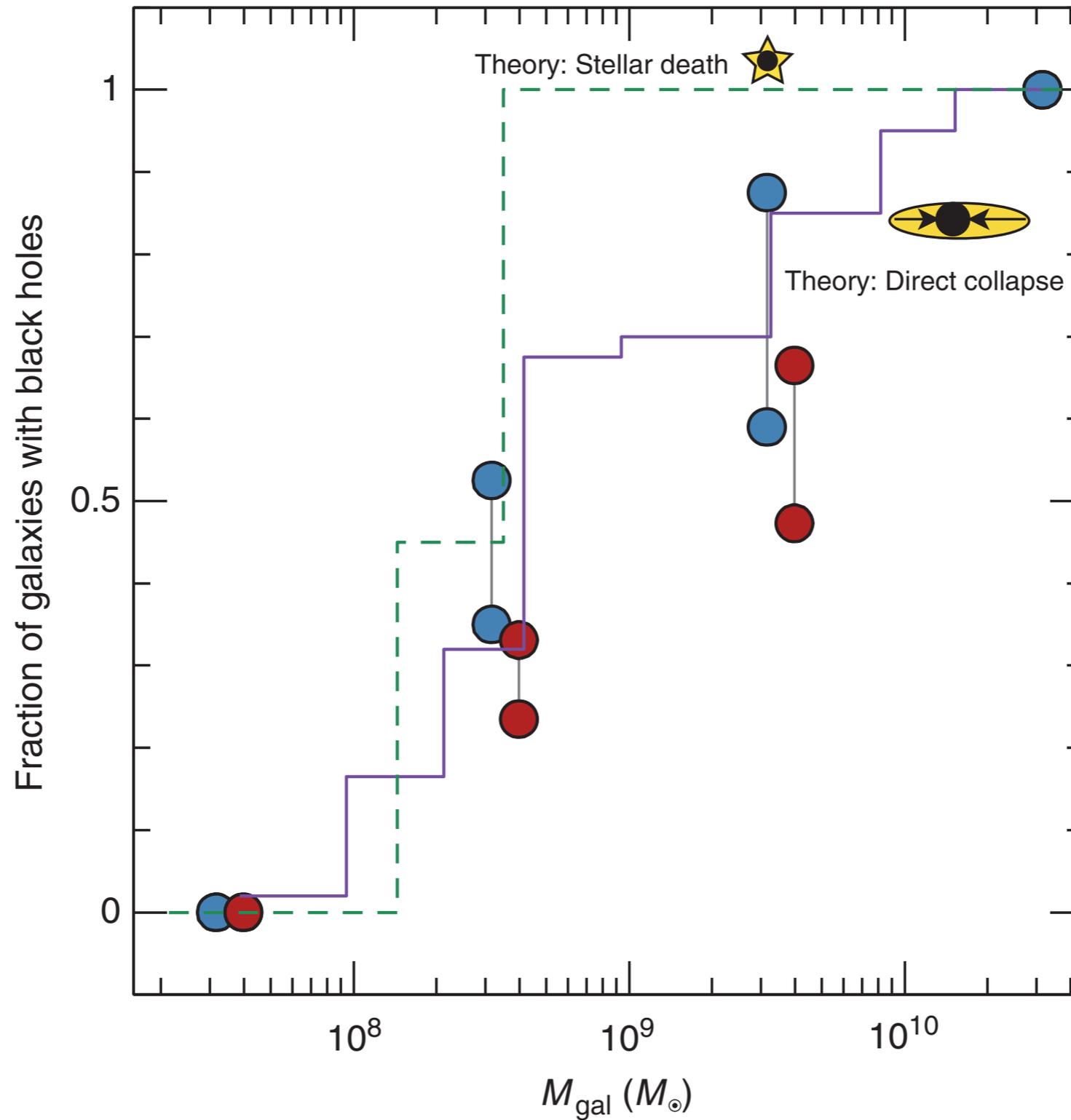
# CR7: Potential host for a DCBH



# "Left over" seeds in low mass galaxies



# "Left over" seeds in low mass galaxies



# Future plans

- ★ The formation and evolution of supermassive BHs at high redshift
- ★ The formation of earliest quasars
- ★ Lyman alpha emission from first galaxies
- ★ Formation of the first and second generation of stars

# Summary

- Direct isothermal collapse provides massive seeds of about  $10^5 M_{\odot}$  but sites are rare
- Large accretion rates of  $\sim 0.1 M_{\odot}/\text{yr}$  are found in simulations with moderate UV flux
- Fragmentation occurs occasionally but clumps migrate inwards
- Viscous heating leads to collisional dissociation of  $\text{H}_2$  and help in stabilising the disk.
- Complete isothermal collapse may always not be necessary to form supermassive stars of about  $\sim 10^5 M_{\odot}$

Thank you 谢谢

# The formation and evolution of supermassive BHs at high redshift

- ★ Derive mass distribution of BHs to provide constraints on their masses and growth mechanisms
- ★ Make predictions for JWST, ATHENA, WFIRST and SKA
- ★ Provide recipes for BH formation in large cosmological simulations
- ★ Compute scaling relations between properties of hosting halo and BH mass
- ★ Build a statistical sample of high resolution simulations
- ★ Self-consistently investigate the impact of UV feedback from a supermassive star and X-ray feedback from BH itself by employing MORAY ray tracer

# The formation of earliest quasars

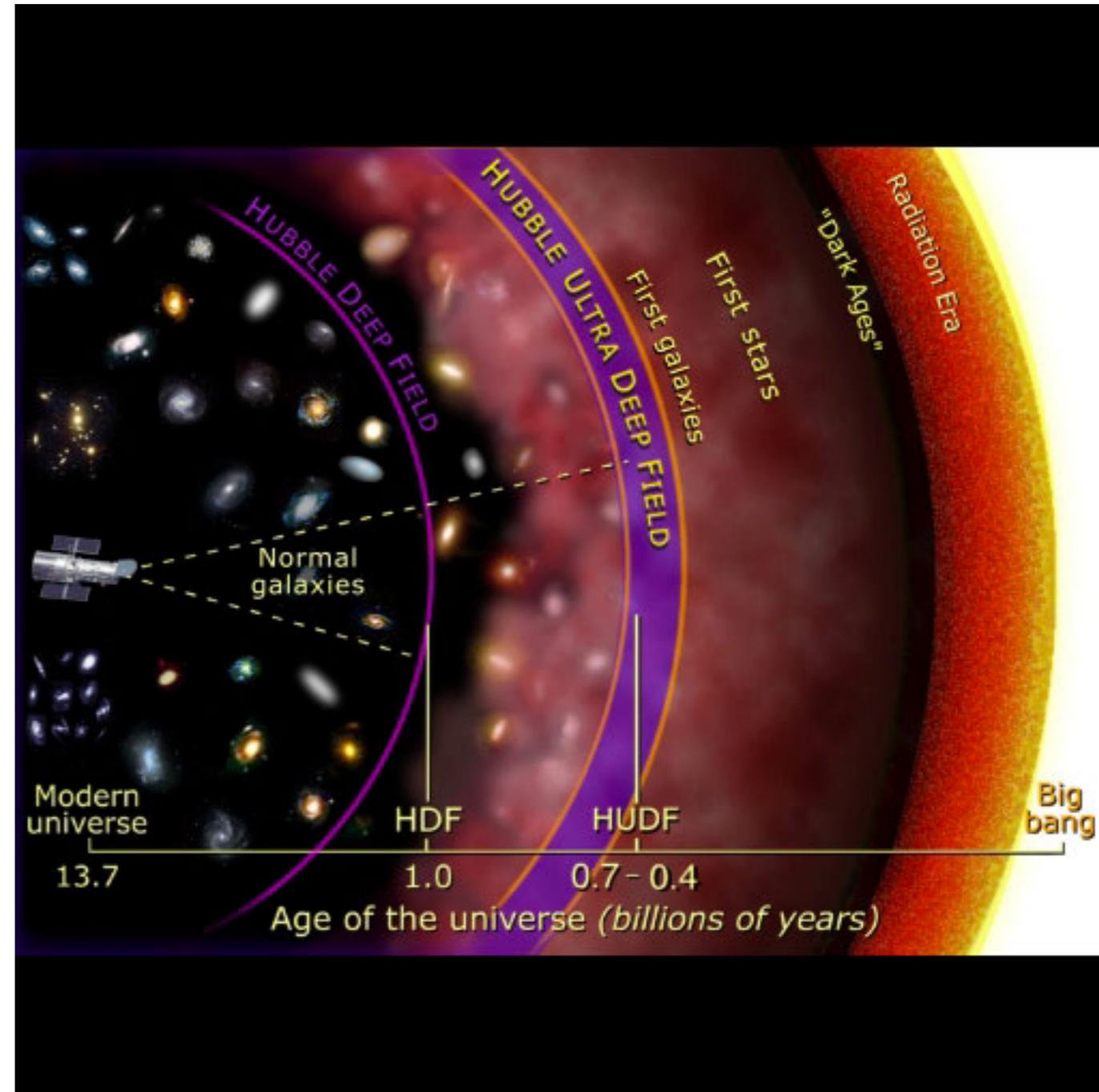
- ★ Investigate in detail the origin of the first quasars
- ★ Compute typical black hole masses in high- $z$  galaxies ( $z \geq 5$ ) and understand under what conditions BHs can grow more efficiently (environment, mergers etc)
- ★ Derive Magorrian relation at high redshift
- ★ High resolution simulations of DM halos of  $10^{11} - 10^{13} M_{\odot}$  including AGN feedback, In-situ star formation, supernova feedback, metal and dust cooling
- ★ Employing the MORAY ray tracer for AGN feedback

# Lyman alpha emission from first galaxies

- ★ Compute observational signatures of Lyman alpha emitting galaxies
- ★ Explore the origin of Lyman alpha emission
- ★ Investigate the number density of peculiar sources like CR7
- ★ Post-process cosmological simulations with and without AGN feedback with Lyman alpha radiative transfer code

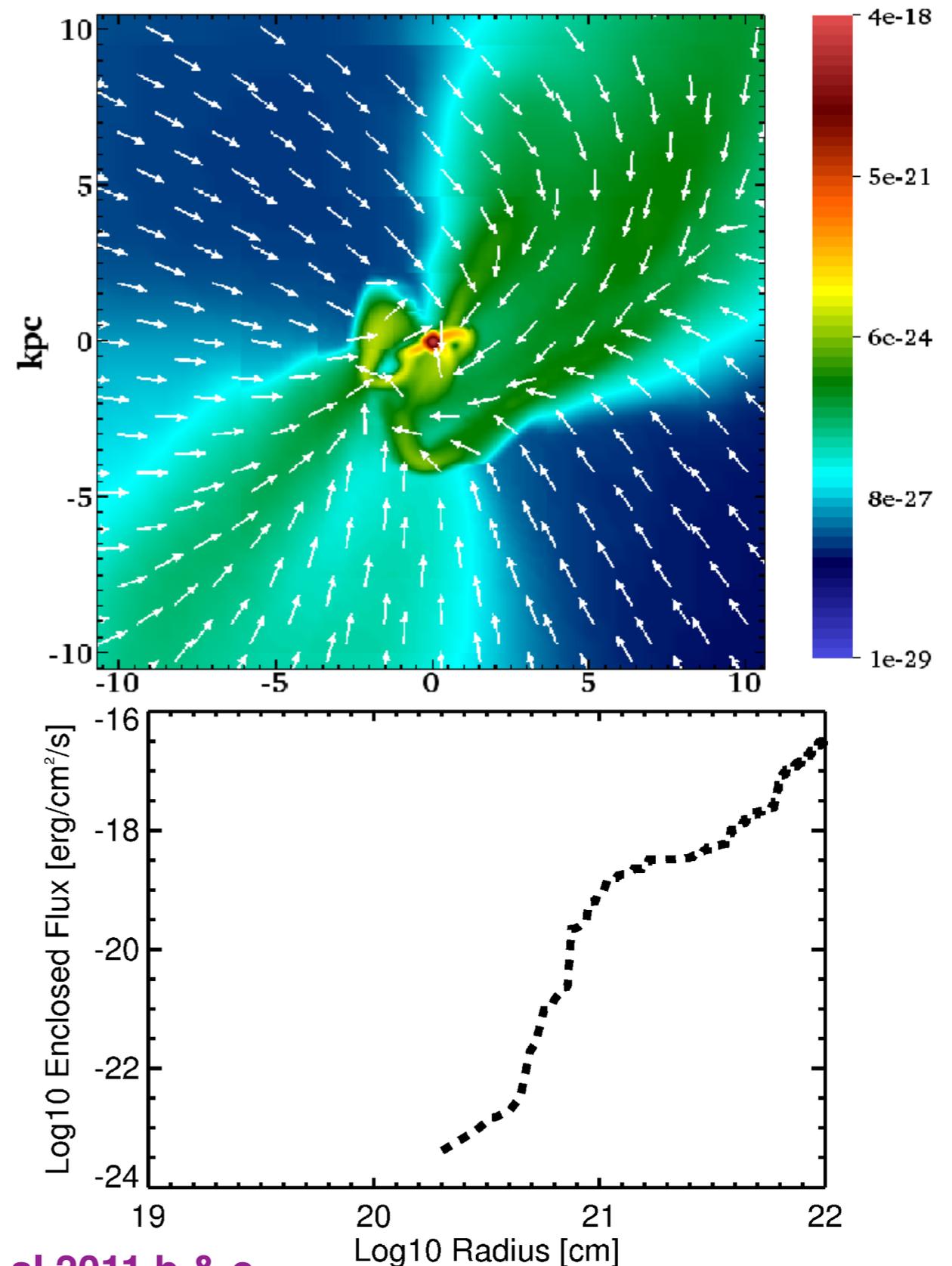
# First galaxies

- ➔ Formed in massive halos at  $z=10$  with  $T_{\text{vir}} \geq 10^4 \text{ K}$
- ➔ First galaxies likely comprise both Pop III and Pop II stars
- ➔ Observed at  $z > 7$  Bouwens + 2011, Ellis+13, Oesch +14
- ➔ First galaxies are known to be strong Lyman alpha emitters typical  $L_{\text{um}} \sim 10^{42} \text{ erg/s}$ , sizes  $\sim$  few kpc.
- ➔ Source & origin not completely known.



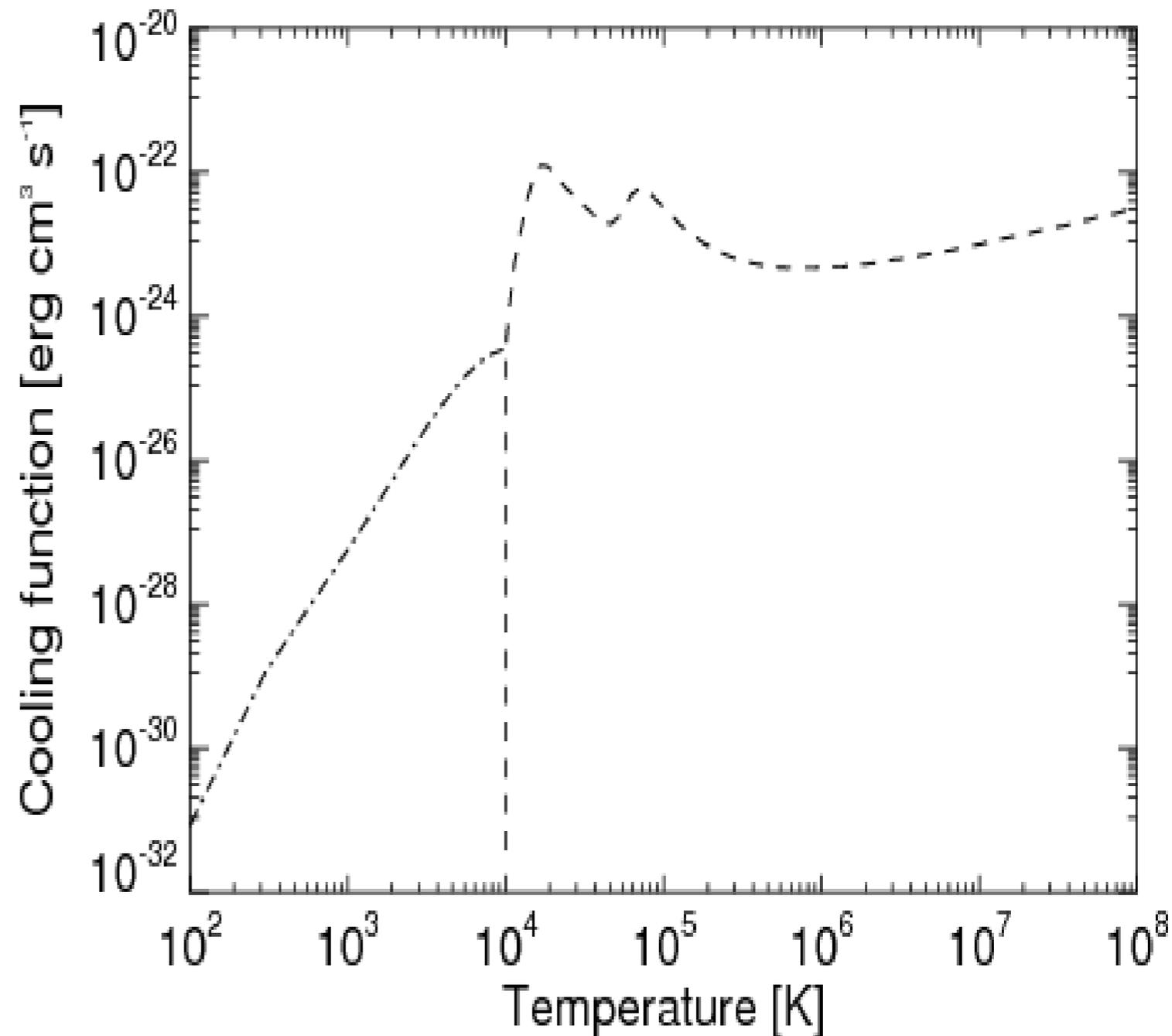
# Origin of Lyman Alpha emission

- ➔ Baryons fall into the center of the galaxy through cold streams ( $\sim 10^4$  K,  $n=0.01-1$  cm<sup>3</sup>)
- ➔ Accretion flows and virialization shocks are the potential drivers of the observed LAEs
- ➔ Lyman alpha flux of  $5 \times 10^{-17}$  erg cm<sup>-2</sup> s<sup>-1</sup> is emerged from the envelope of the halo
- ➔ Emission of Lyman alpha photons is extended Such flux can be probed with JWST



# Primordial gas chemistry

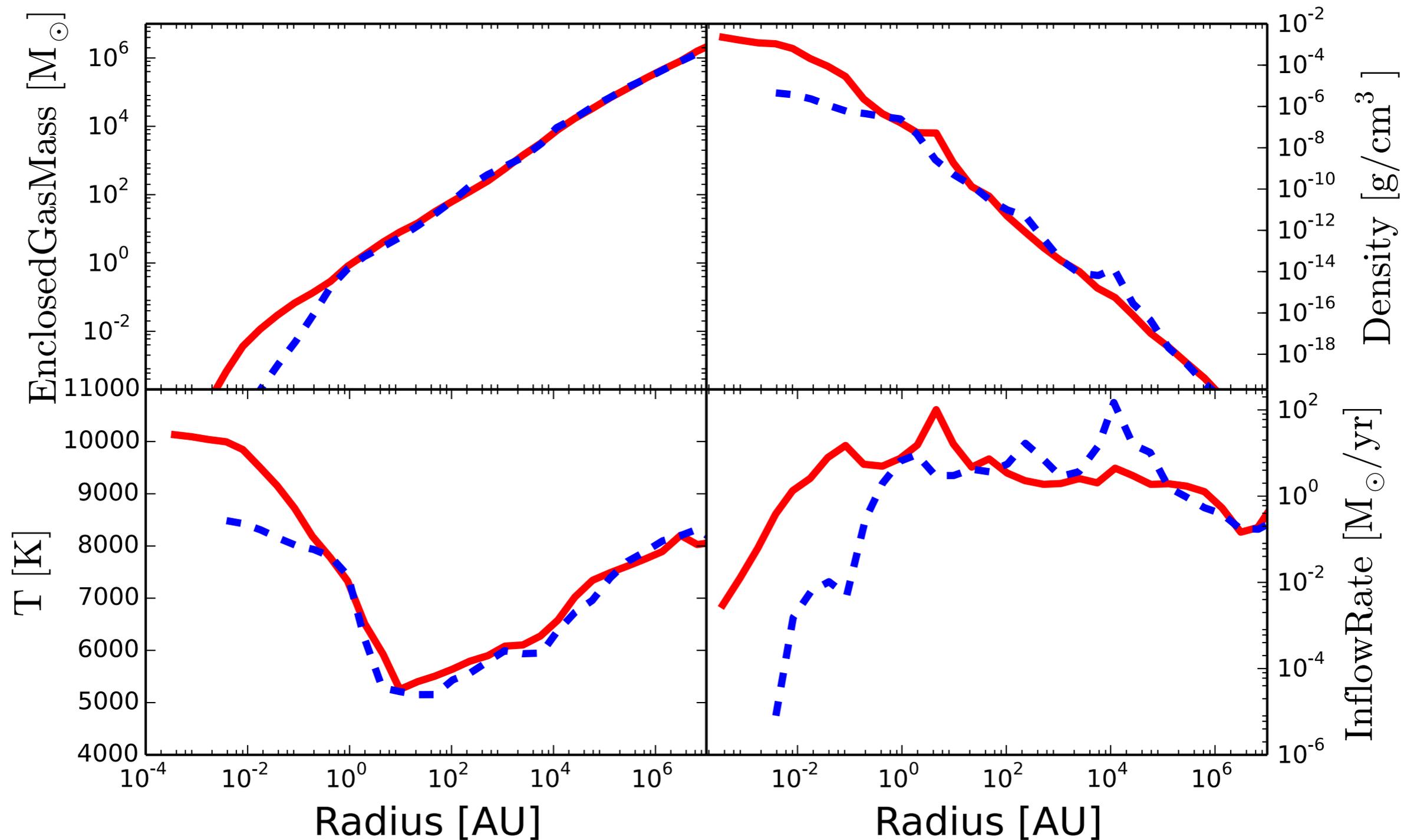
- ★ At  $T > 10^4$  K, Lyman alpha is the main coolant in primordial gas
- ★  $H_2$  cooling becomes efficient at  $T < 8000$  K
- ★ In the presence of strong LW flux,  $H_2$  gets dissociated



# My work on BH formation

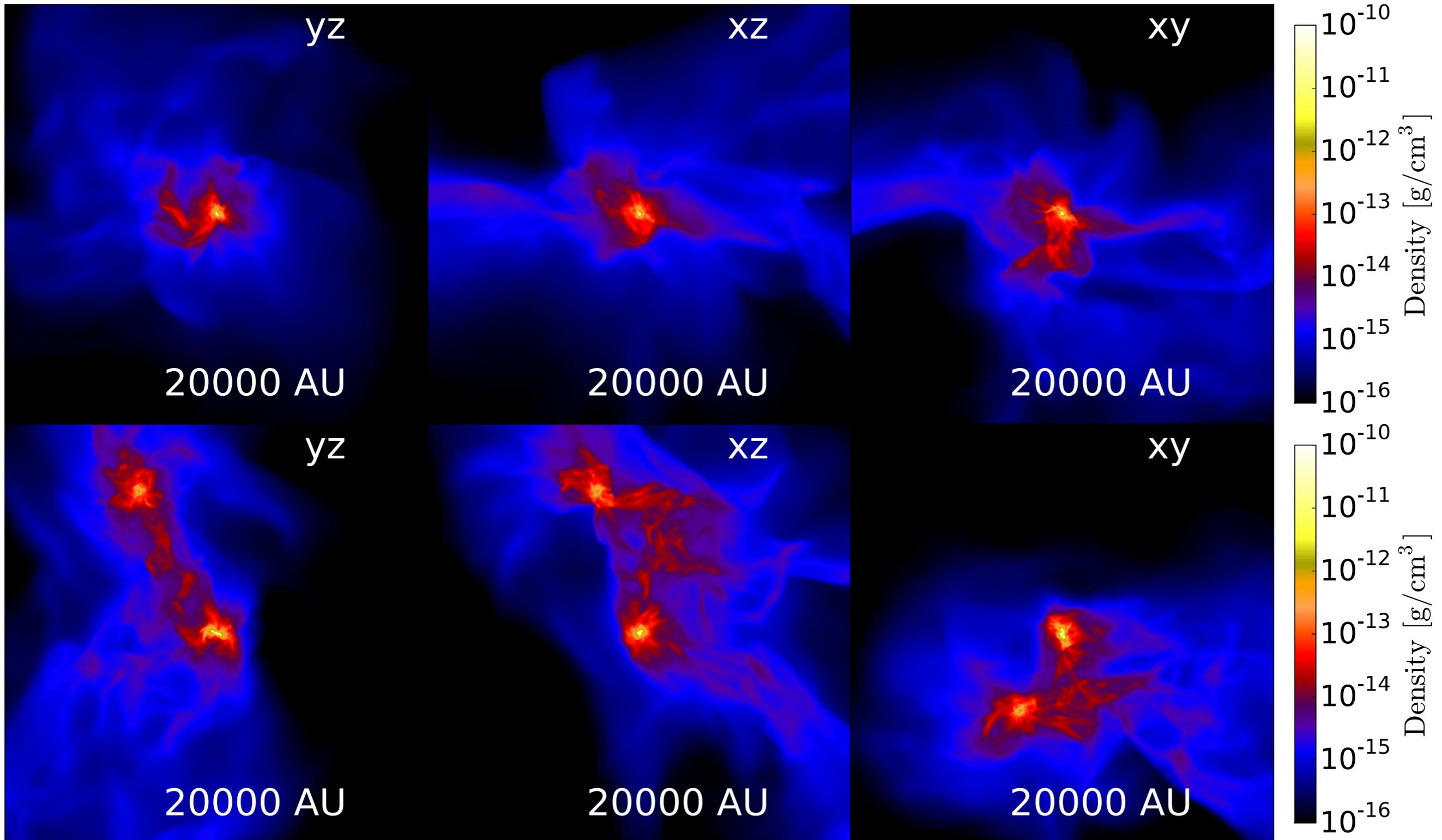
- ✦ **Explored thermal, dynamical and physical properties**
- ✦ **Investigated the role of turbulence and magnetic fields**
- ✦ **Computed the expected masses of supermassive stars which later may collapse into mass black hole.**
- ✦ **Assessed the feasibility of direct collapse black holes by comparing their number density against quasars abundance at  $z=6$**

# Global properties of simulated halos



**Latif, Schleicher & Hartwig 2015 (arXiv:1510.02788)**

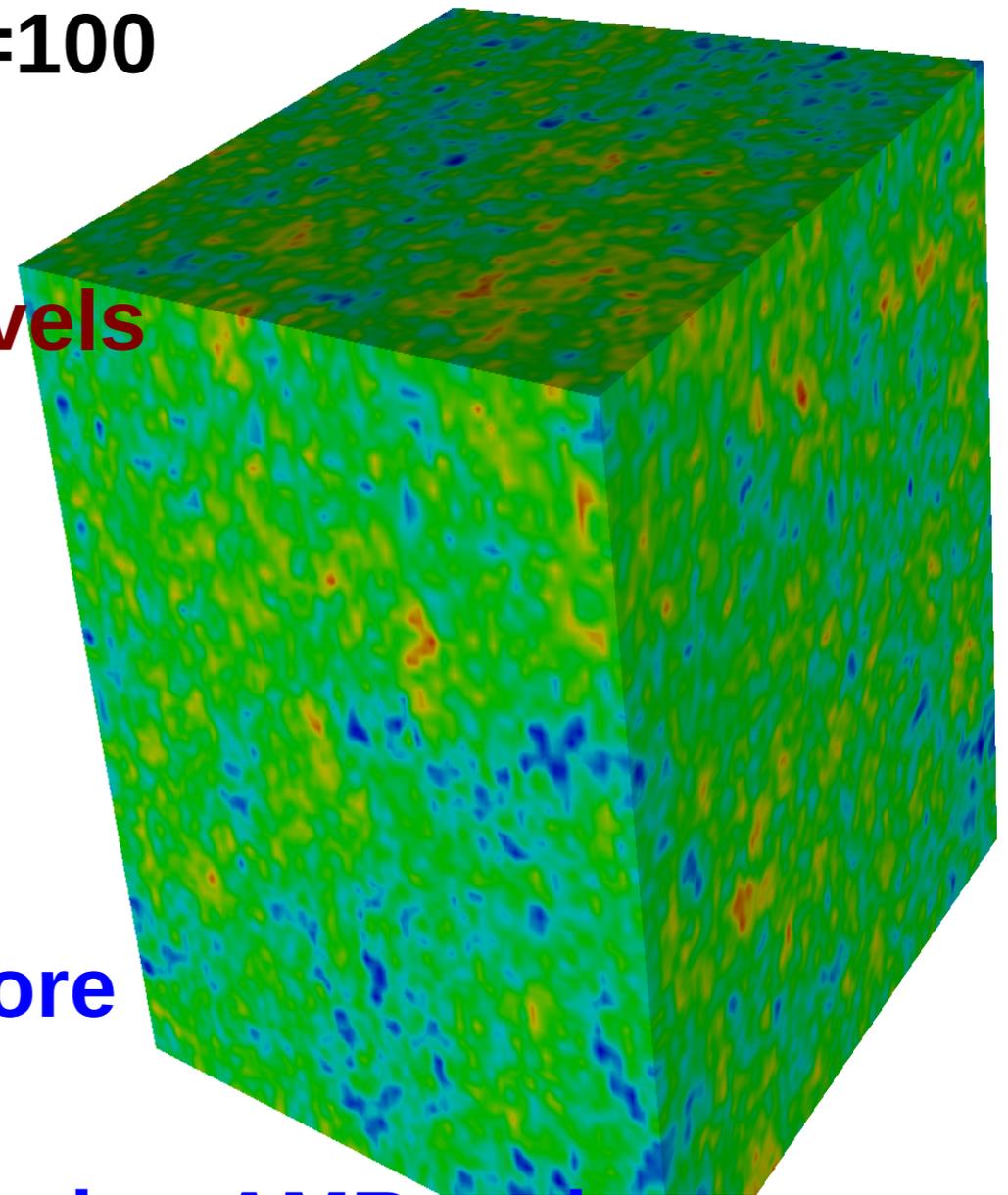
# Impact of $H^-$ cooling & Realistic opacities



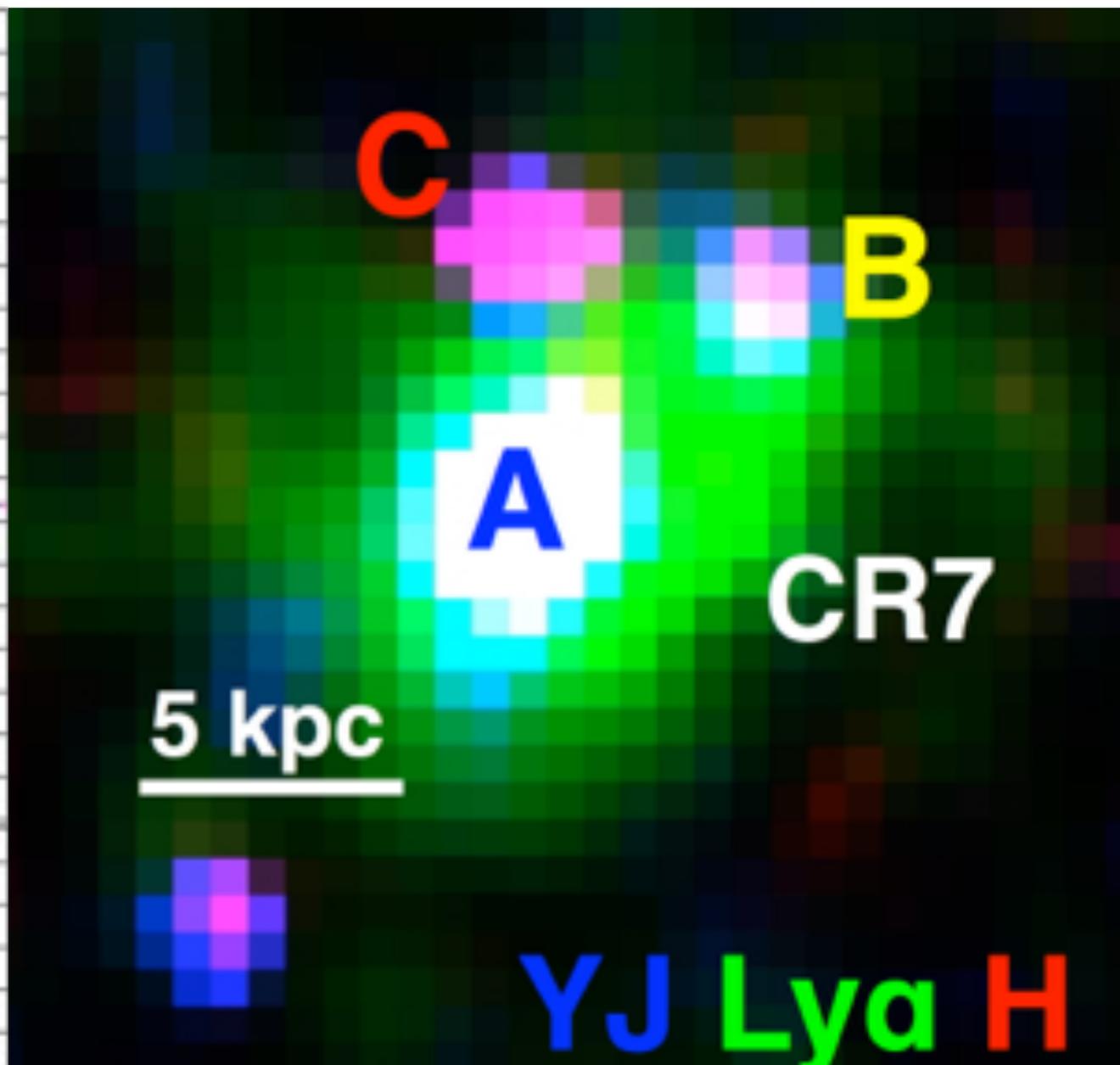
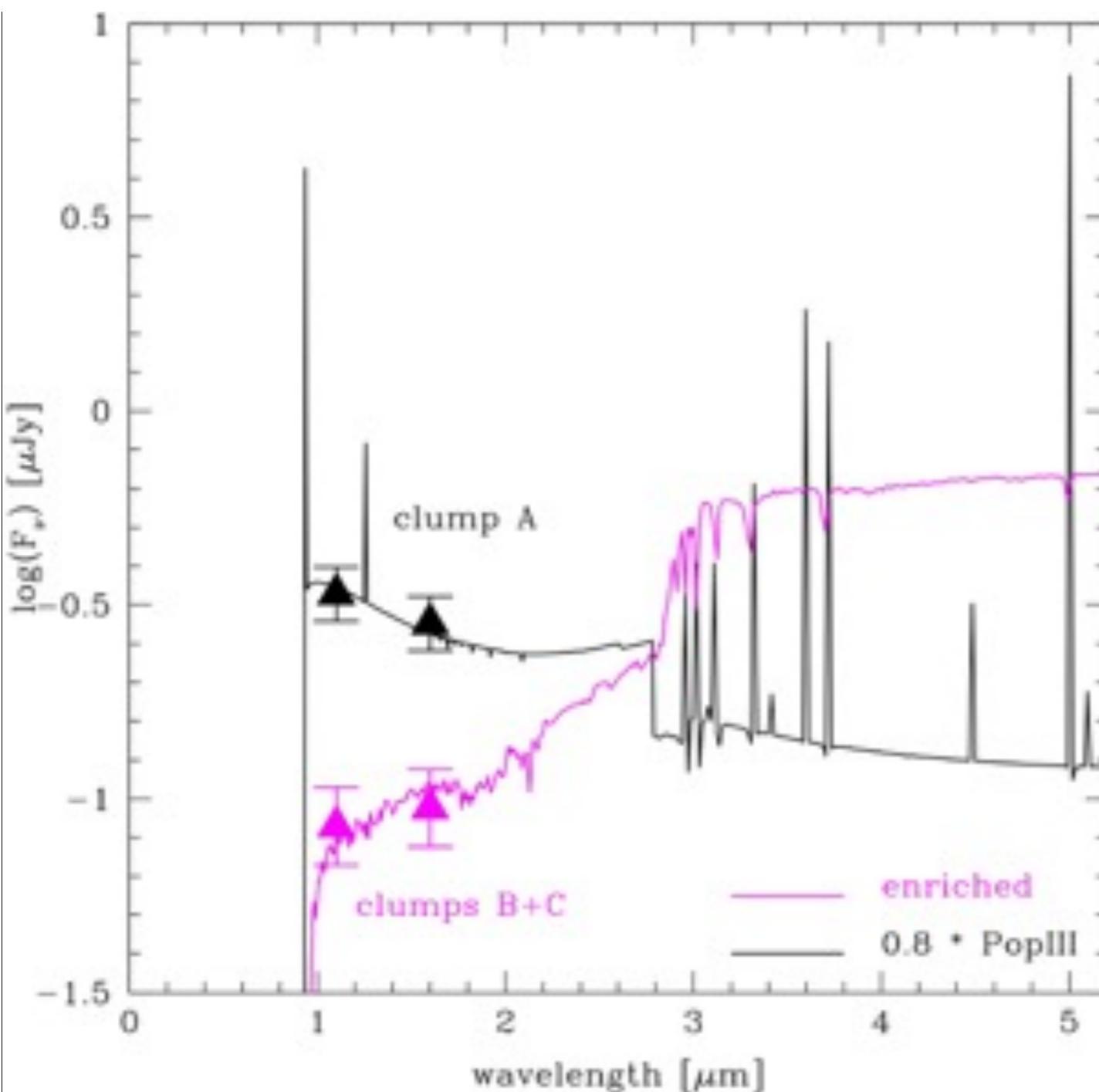
**Latif, Schleicher & Hartwig 2015 (arXiv:1510.02788)**

# Simulation setup

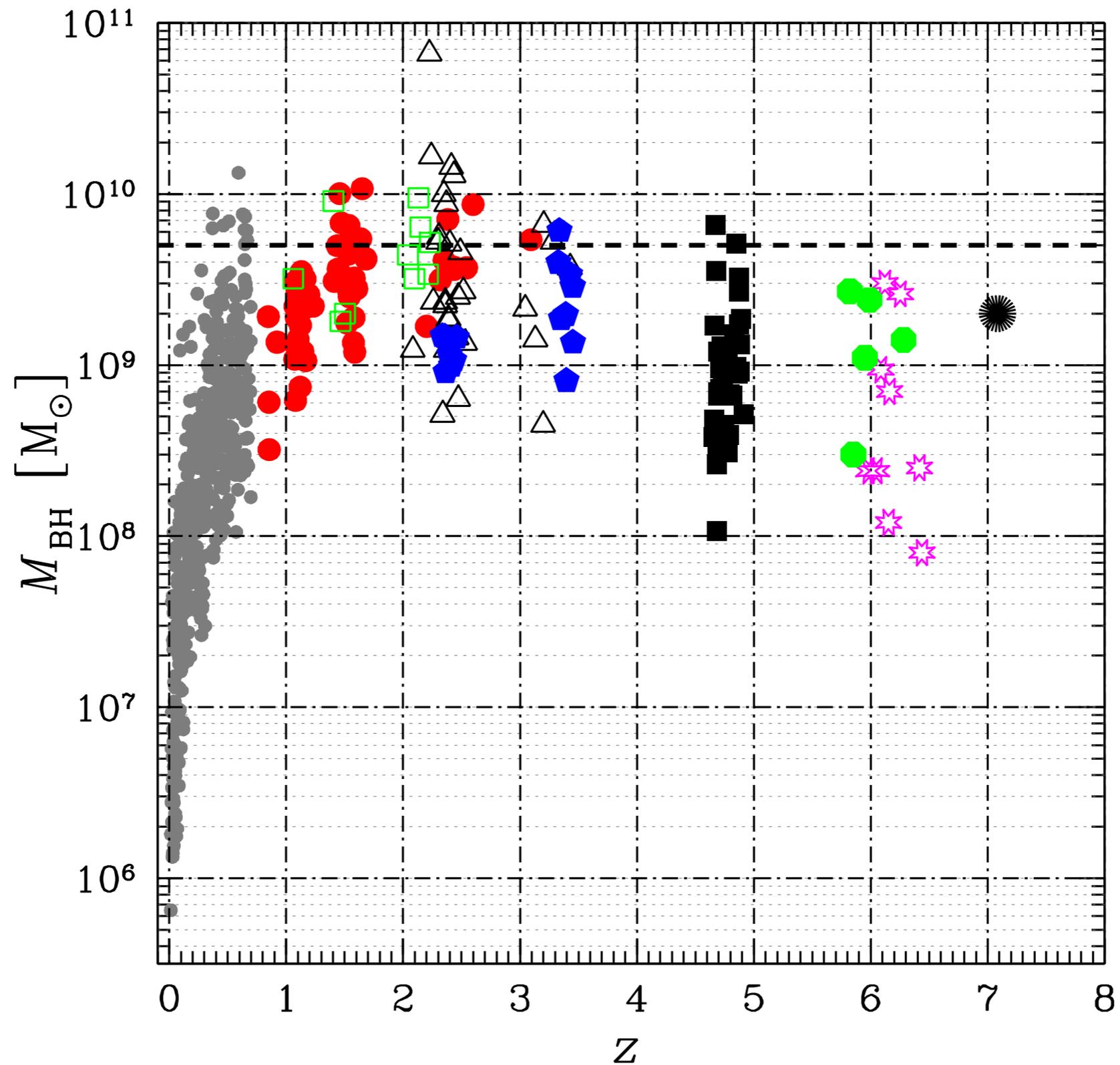
- Comoving period box of 1 Mpc/h in size
- Cosmological Initial conditions at  $z=100$
- 6 Million MD particles
- **Two nested grids + 27 refinement levels**
- Halo masses of  $\sim 10^7 M_{\odot}$
- **UV flux of various strengths in units of  $J_{21}$**
- **X-rays**
- **First high resolution studies to explore the formation of seed BHs**
- **Perform Cosmological simulations using AMR code ENZO**



# CR 7: Potential detection of DCBH



# Black holes mass distribution



# HOW

can you make a  
massive black hole 'seed'?

Wulffmorgenthaler  
wulffmorgenthaler.com

of Mikael Wulff & Anders Morgenthaler

wm@pol.dk



# Mass measurements

## Motions of *test particles*

- Star proper motions and radial velocities
- Radial velocities of single gas clouds (masers)

## Ensemble motions (spatially resolved)

- Stellar Dynamics  
V from Stellar Absorption Lines
- Gas Kinematics  
V from Gas Emission Lines

## Ensemble motions (time resolved)

- Reverberation Mapping  
V from line width, R from time variability

## Virial estimates

- V from line width, R from scaling relations
- Courtesy of A. Marconi

Milky Way

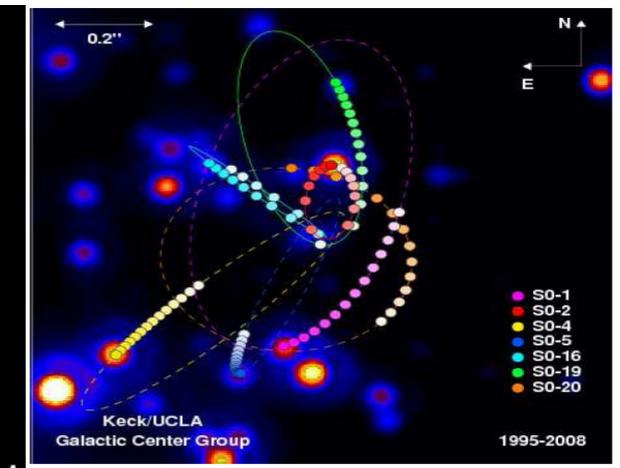
Rare nearby galaxies/AGN with edge-on masers

Quiescent/weakly active MBHs in nearby galaxies with bulges

Quiescent/weakly active MBHs in nearby galaxies with circumnuclear discs

Nearby AGN

Distant quasars



# Brief Introduction

- ✦ **Marie Curie fellow at IAP, France (2015-present)**  
**Project:** The formation of supermassive black holes in the early universe
- ✦ **Postdoc at IAP, France (2014-2015)**  
**Project:** The formation and evolution of black holes across the cosmic time
- ✦ **Postdoc at University of Goettingen, Germany (2012-2014)**  
**Project:** MHD turbulence and the formation of supermassive BHs
- ✦ **Postdoc at Kapteyn Astronomical Institute**  
**Project:** The end of darkness: How the universe ionised its gas
- ✦ **PhD in Astrophysics from Kapteyn Astronomical Institute, University of Groningen, The Netherlands (2007-2011)**  
**Thesis title:** Cosmological Simulations of the first galaxies
- ✦ **Teaching Assistant at PIEAS, Islamabad, Pakistan (2003-2005)**